

# Strongly continuous one-parameter groups of automorphisms of multilinear functionals

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21/09/2013, Ploiești

# PROBLEM SETTING

**J. Jamison - F. Botelho 2008**

$X_1, \dots, X_N$  complex Banach spaces

$\mathcal{B} := \{\text{bounded } N\text{-linear maps } X_1 \times \dots \times X_N \rightarrow \mathbb{C}\}$

$\mathbf{U} : \mathbb{R} \rightarrow \mathfrak{A} := \{\text{surjective linear isometries } \mathcal{B} \rightarrow \mathcal{B}\}$   
*strongly cont.* 1-parameter group of surj. lin. isom.

$$\mathbf{U}(t+h) = \mathbf{U}(t)\mathbf{U}(h) \quad (t, h \in \mathbb{R})$$

$$t \mapsto \mathbf{U}(t)\Phi \text{ continuous} \quad (\Phi \in \mathcal{B})$$

**Conjecture:**

$$\mathbf{U}(t) = \underbrace{[U_1^t \otimes \dots \otimes U_N^t]}_{\phi \mapsto \phi(U_1^{x_1}, \dots, U_N^{x_N})}^*$$

$$t \mapsto U_k^t \text{ str.cont. 1-par.grp. in } \mathfrak{A}_k := \{\text{surj.lin.isom. } X_k \rightarrow X_k\}$$

$$\left\{ \text{Surj.lin.isom. of } X \right\} = \left\{ F \in \underbrace{\text{Aut}(\text{Ball}(X))}_{\text{hol.aut. of unit ball}} : F(0) = 0 \right\}$$

$$\|\phi\| = \sup_{\|x_1\|=1, \dots, \|x_N\|=1} |\phi(x_1, \dots, x_N)| \quad \text{in } \mathcal{B}$$

**Question:** What about *strongly cont. 1-par. groups* in  $\text{Aut}(\mathcal{B})$ ?

**Conjecture:**  $\text{Aut}(\mathcal{B})$  LINEAR for  $N > 2$  factors

↑

Stachó 1982: TRUE with HILBERT spaces of  $\dim > 1$

Hilbert cases  $N = 1, 2$ : JB\*-triples  $\mathbf{H}$  resp.  $\mathcal{L}(\mathbf{H}^{(1)}, \mathbf{H}^{(2)})$

# HILBERT CASE $N > 2$ ; MAIN RESULT

$\mathbf{H}^{(1)}, \dots, \mathbf{H}^{(N)}$  Hilbert spaces

$$\text{Aut}(\mathcal{B}) = \{ ([U_1 \otimes \cdots \otimes U_N] \circ I_\pi)^* : U_k \in \mathcal{U}(\mathbf{H}^{(k)}), \pi \text{ adm.index-perm.} \}$$

$$\mathbf{U} : \mathbb{R} \rightarrow \text{Aut}(\mathcal{B}) \quad \text{str*}.\text{cont. 1-par.grp:} \\ t \mapsto [\mathbf{U}^t \phi](\mathbf{x}_1, \dots, \mathbf{x}_N) \text{ cont.}$$

$$\text{Lemma.} \quad \mathbf{U}(t) = [U_{1,t} \otimes \cdots \otimes U_{N,t}]^* \quad (t \in \mathbb{R})$$

**THEOREM.** *There are possibly unbounded self-adjoint  $A_k : \text{dom}(A_k) \rightarrow \mathbf{H}^{(k)}$  (defined on dense linear submanifolds) with*

$$\mathbf{U}(t) = \left( [\exp(itA_1)] \otimes \cdots \otimes [\exp(itA_N)] \right)^* \quad (t \in \mathbb{R}).$$

**Corollary.** *If  $\mathbf{W} : \mathbb{R} \rightarrow \text{Aut}(\mathcal{L}(\mathbf{H}^{(1)}, \mathbf{H}^{(2)}))$  is a str\* .cont. lin. 1-par.grp then  $\mathbf{W}(t)X = \exp(tA_1)X \exp(tA_2)$*

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$$\mathbb{T} := \{\kappa : |\kappa| = 1\}$$

**Ambiguous representation** for unitary ops

$$\begin{aligned} U_1 \otimes \cdots \otimes U_N &= V_1 \otimes \cdots \otimes V_N \\ &\Updownarrow \\ V_k &= \kappa_k U_k, \quad \kappa_k \in \mathbb{T} \quad (k = 1, \dots, N) \end{aligned} \quad \prod_{k=1}^N \kappa_k = 1$$

# ELEMENTARY FUNCTIONALS

$$\mathbf{h}_1^* \otimes \cdots \otimes \mathbf{h}_N^* : (\mathbf{x}_1, \dots, \mathbf{x}_N) \mapsto \prod_{k=1}^N \mathbf{h}_k^*(\mathbf{x}_k) = \prod_{k=1}^N \langle \mathbf{x}_k | \mathbf{h}_k \rangle$$

**Lemma.**  $\Phi := \mathbf{g}_1^* \otimes \cdots \otimes \mathbf{g}_N^*, \Psi := \mathbf{h}_1^* \otimes \cdots \otimes \mathbf{h}_N^*$  (unit vectors)

$$\|\Phi - \Psi\| \leq \varepsilon \implies \underbrace{\text{dist}(\mathbf{Tg}_k, \mathbf{Th}_k)}_{\min_{\kappa, \mu \in \mathbb{T}} \|\kappa \mathbf{g}_k - \mu \mathbf{h}_k\|} \leq 2^{N-1} \varepsilon$$

$$\mathbb{P}(\mathbf{H}) := \{\mathbf{Tg} : \mathbf{g} \in \partial \text{Ball}(\mathbf{H})\}$$

**Lemma.**  $\mathbf{F} : \mathbb{R} \rightarrow \mathbb{P}(\mathbf{H})$  cont.  $\implies \exists t \mapsto \mathbf{h}_t \in \partial \text{Ball}(\mathbf{H})$  cont.

$$\mathbf{F}(t) = \mathbf{Th}_t \quad (t \in \mathbb{R})$$

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# ADJUSTED STRONG\* CONTINUITY

**Lemma\*.**  $\Psi(t) = \mathbf{h}_{1,t}^* \otimes \cdots \otimes \mathbf{h}_{N,t}^*$  (with unit vectors)

$\Psi : \mathbb{R} \rightarrow \mathcal{B}$  continuous,  $\implies \exists \kappa_1, \dots, \kappa_N : \mathbb{R} \rightarrow \mathbb{T}$

$$\prod_{k=1}^N \kappa_k(t) \equiv 1, \quad t \mapsto \kappa_k(t) \mathbf{h}_{k,t} \text{ norm-continuous}$$

**Proposition.**  $t \mapsto [\overbrace{U_{1,t}}^{\text{unit.}} \otimes \cdots \otimes \overbrace{U_{N,t}}^{\text{unit.}}]^*$  str\*.cont.  $\mathbb{R} \rightarrow \mathfrak{A}$ ,  $\implies$

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# EXTENSION: MORE BANACH SPACE GEOMETRY

$\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(N)}$  **uniformly smooth** Banach spaces

**Recall:**  $\mathbf{X}$  is uniformly convex (rotund):

$$\inf \left\{ 1 - \|\mathbf{x} + \mathbf{y}\| / 2 : \mathbf{x}, \mathbf{y} \in \partial \text{Ball}(\mathbf{X}) \right\} > 0$$

$\mathbf{X}$  is uniformly smooth:

$$\lim_{\tau \searrow 0} \frac{1}{\tau} \sup \left\{ \|\mathbf{x} + \mathbf{y}\| / 2 + \|\mathbf{x} - \mathbf{y}\| / 2 - 1 : \|\mathbf{x}\| = 1, \|\mathbf{y}\| \leq \tau \right\} = 0$$

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2\*)  $\mathbf{X}$  unif.convex: weak conv. + conv of norms  $\Rightarrow$  norm conv.

3) Unif.conv. and unif.sm. spaces are reflexive.

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**Lemma.** Let  $\phi^{(k)}, \psi^{(k)} \in \partial\text{Ball}[\mathbf{X}^{(k)}]^*$ . T.f.a.e.:

- (1)  $\phi^{(1)} \otimes \dots \otimes \phi^{(N)} = \psi^{(1)} \otimes \dots \otimes \psi^{(N)}$ ,
- (2)  $\exists \kappa_1, \dots, \kappa_N \in \mathbb{T}$  with  $\prod_k \kappa_k = 1$ ,  $\psi^{(k)} = \kappa_k \phi_k$ .

**Lemma.** Let  $\phi_j^{(k)} \in \partial\text{Ball}[\mathbf{X}^{(k)}]^*$  be nets such that

$$\phi_j^{(k)} \otimes \dots \otimes \phi_j^{(N)} \rightarrow \phi^{(k)} \otimes \dots \otimes \phi^{(N)} \quad \text{pointwise.}$$

Then  $\exists [\kappa_j^{(k)}]$  in  $\mathbb{T}$  with  $\left\| \kappa_j^{(k)} \phi_j^{(k)} - \phi^{(k)} \right\| \rightarrow 0 \quad (k=1, \dots, N)$ .

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# BANACH EXTENSIONS (continued)

$\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(N)}$  unif.smooth Banach spaces

**Proposition.** Let  $t \in \mathbb{R} \mapsto \phi_t^{(k)} \otimes \dots \otimes \phi_t^{(N)}(\mathbf{x}_1, \dots, \mathbf{x}_N)$  cont. for any fixed  $\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(N)}$  where  $\phi_t^{(k)} \in \partial \text{Ball}[\mathbf{X}^{(k)}]^*$ .

Then  $\exists$  functions  $t \in \mathbb{R} \mapsto \kappa_t^{(k)} \in \mathbb{T}$  such that

$$\prod_{k=1}^N \kappa_t^{(k)} = 1 \quad (t \in \mathbb{R}), \quad t \mapsto \kappa_t^{(k)} \phi_t^{(k)} \text{ is norm-cont.}$$

**Corollary.** If  $U_{k,t} \in \mathcal{L}(\mathbf{X}^{(k)})$  ( $t \in \mathbb{R}$ ,  $1 \leq k \leq N$ ) are **isometries** and  $t \mapsto [U_{1,t} \otimes \dots \otimes U_{N,t}]^*$  is **str\*.cont.** then  $\exists$  functions  $t \in \mathbb{R} \mapsto \kappa_t^{(k)} \in \mathbb{T}$  such that each  $t \mapsto U_{k,t}$  is **str.cont.** (pointwise cont.).

# SEPARATE COMMUTATIVITY (smooth Banach setting)

$t \mapsto \mathbf{U}(t) := [U_{1,t} \otimes \cdots \otimes U_{N,t}]^*$  str.cont. 1-par.grp

Proposition  $\implies$  without loss of generality:

- 1)  $t \mapsto U_{k,t} \in \mathcal{U}(\mathbf{X}^{(k)})$  strongly continuous;
- 2)  $U_{k,0} = \text{Id}, \quad U_{k,-t} = U_{k,t}^* \quad (t \in \mathbb{R}).$

**Lemma.**  $\{U_{k,t} : t \in \mathbb{R}\} \ (k = 1, \dots, N)$  are Abelian.

[ A priory only  $U_{k,t}U_{k,s} \in \mathbb{T}U_{k,s}U_{k,t} !$  ]

**Theorem.**  $\exists \kappa_1, \dots, \kappa_N : \mathbb{R} \rightarrow \mathbb{T}, \quad \exists$  1-par.grp-s  $t \mapsto U_k^t$

$$U_{k,t} = \kappa_k(t)U_k^t, \quad \kappa_k(0) = 1 \quad (t \in \mathbb{R}, 1 \leq k \leq N).$$

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$$\mathbf{U}(t) = \left( [\kappa_1(t)U_1^t] \otimes \cdots \otimes [\kappa_N(t)U_N^t] \right)^*$$

$k$  fixed,  $\mathbf{X} \equiv \mathbf{H} := \mathbf{H}^{(k)}$

$t \mapsto U^t := U_k^t \in \mathcal{U}(\mathbf{H})$  **non.cont.** 1-pgr.,  $\kappa = \kappa_n : \mathbb{R} \rightarrow \mathbb{T}$   
 $t \mapsto \kappa(t)U^t$  **str.cont.** ~~1-pgr.~~,  $\kappa(-t) = \overline{\kappa(t)}$ ,  $\kappa(0) = 1$ .

**Cyclic decomp:**  $\mathbf{H} = \oplus_{\alpha \in \mathcal{A}} \mathbf{H}_\alpha$ ,  $\mathbf{H}_\alpha := \overline{\text{Span}\{\mathbf{U}^t \mathbf{x}_\alpha : t \in \mathbb{R}\}}$

**Main idea:** It suffices to see

$$\kappa(t)U^t|_{\mathbf{H}_\alpha} = \chi_\alpha(t)\tilde{U}_\alpha^t$$

$\exists \chi_\alpha \in \mathcal{C}(\mathbb{R}, \mathbb{T})$ ,  $t \mapsto \tilde{U}_\alpha^t$  **str.cont.** 1-pgr. in  $\mathcal{U}(\mathbf{H}_\alpha)$

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# LOCAL FUNCTION REPRESENTATION (Hilbert spaces)

Henceforth  $\alpha$  fixed

**Gelfand repr.**  $\mathbf{T} : \mathcal{C}(\underbrace{\Omega}_{comp}) \leftrightarrow \overline{\text{Span}}_{t \in \mathbb{R}} U^t, \quad \underbrace{u^t}_{\Omega \rightarrow \mathbb{T}} \mapsto U^t$

~Halmos's idea  $\mathbf{H}_\alpha$  cyclic  $\Rightarrow$

$\exists$  prob. Radon  $(\Omega, \underbrace{\mu}_{=\mu_\alpha})$ ,  $\mathbf{T} \hookrightarrow \mathbf{T}_\alpha : L^2(\Omega, \mu) \leftrightarrow \mathbf{H}_\alpha$  isometry

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# PROBLEMS CONCERNING GENERALIZATIONS

**Remark.** The proof works if

*each  $\mathbf{X}^{(k)} = [\mathbf{H}^{(k)}]$  with an equivalent smooth norm],  
+ the surjective linear isometries on each  $\mathbf{X}^{(k)}$  are of scalar type.*

**Conjecture.** *If  $\mathbf{X} = [\text{Hilbert space with an equivalent norm}]$  then any surjective linear isometry of  $\mathbf{X}$  is unitary with respect some scalar product.*

(In finite dimensions this is TRUE)

**Problem.** *Let  $\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(N)}$  be Banach spaces and let  $U$  be a surj. lin. isometry of  $\mathcal{B}(\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(N)})$ . Under which geometric hypothesis can we write it in the form  $U = [U_1 \otimes \dots \otimes U_N]^*$  ?*

Cartan factors: Types 1–6

*Every  $JB^*$ -triple embeds into an  $\ell^\infty$ -product of Cartan factors*

Type 1:  $\mathcal{L}(\mathbf{H}^{(1)}, \mathbf{H}^{(2)})$

Types 2,3:  $\mathcal{L}^{(T,\pm)}(\mathbf{H}) = \{L \in \mathcal{L}(\mathbf{H}) : L = \pm L^T\}$

Type 4: Spin factor on  $\mathbf{H}$ ,  $\{\mathbf{xay}\} := \langle \mathbf{x}|\mathbf{a}\rangle \mathbf{y} + \langle \mathbf{y}|\mathbf{a}\rangle \mathbf{x} - \langle \mathbf{x}|\bar{\mathbf{y}}\rangle \bar{\mathbf{a}}$

Type 5:  $\mathbf{0}^{1 \times 2}$   $1 \times 2$  complex octonion matrices (dim=16)

Type 6:  $\mathcal{H}_3(\mathbf{0})$   $3 \times 3$  complex Hermitian octonion matrices (dim=27)

$\mathbf{h} \mapsto \bar{\mathbf{h}}$  conjugation:  $\overline{\mathbf{e}_\alpha} = \mathbf{e}_\alpha$ ,  $\overline{i\mathbf{e}_\alpha} = -i\mathbf{e}_\alpha$  for a fixed ON basis

$L \mapsto L^T$  transposition (wrt. conjugation  $\bar{\cdot}$ ):  $L^T \mathbf{h} = \overline{L^* \bar{\mathbf{h}}}$

# STR. CONT. 1PRGs of LIN. AUTs on FACTORS

Factors of type  $> 3$  are not interesting (str. cont. wrt. Hilbert norm)

Type 1:  $\mathcal{L}(\mathbf{H}^{(1)}, \mathbf{H}^{(2)}) \simeq \{2\text{-lin. funct. } \mathbf{H}^{(1)} \times \mathbf{H}^{(2)} \rightarrow \mathbb{C}\} \longrightarrow \text{Case } N = 2.$

Types  $k = 2, 3$ :

$$\mathfrak{A}_k := \{ \text{surjective lin. isom. of } \mathcal{L}^{(T, (-1)^k)}(\mathbf{H}) \}$$

$$\mathcal{L}^{(T, (-1)^k)}(\mathbf{H}) \simeq \left\{ \begin{array}{l} \text{symm.} \\ \text{antisymm.} \end{array} \quad 2\text{-lin. } \mathbf{H} \times \mathbf{H} \rightarrow \mathbb{C} \text{ funct.} \right\}$$

$$\mathbf{U} \in \mathfrak{A}_2 \iff \exists U \in \mathcal{U}(\mathbf{H}) \quad \underline{\mathbf{U} : L \mapsto ULU^T}$$

**Theorem.** Let  $k = 2, 3$ ,  $\mathbf{U}_k : \mathbb{R} \rightarrow \mathfrak{A}_k$  str. cont. 1prg. Then

$$\exists A \text{ unbded. self-adj. } \mathbf{H}\text{-op.} \quad \mathbf{U}(t) = [L \mapsto \exp(itA)L \exp(it \underbrace{A^T}_{\bar{A}})]$$

**Proof.**  $\mathbf{U}_k : \underbrace{U_t}_{\text{unit.}} \otimes U_t^T | F_k$

$$\exists \sigma : \mathbb{R} \rightarrow \{-1, 1\} \quad t \mapsto \sigma(t)U(t) \text{ str. cont.}$$

SEP. CONT. + LOC. + PRB.  $\longrightarrow$  Theorem.

# GENERAL JB\*-TRIPLES

$$E \text{ JB}^*\text{-triple} \quad E \hookrightarrow \text{Atomic}(E^{**}) = \bigoplus_{k \in \mathcal{K}} \underbrace{F_k}_{\text{Cartan}}$$

$\mathbf{U} : \mathbb{R} \rightarrow \text{Aut}(E)$  str. cont, 1prg.

$\Pi_k : E \rightarrow F_k$  canonical proj.

$$t \mapsto \mathbf{U}(t)^{**} \circ \Pi_k \underbrace{\text{str. cont. 1prg.}}_{???} \mathbb{R} \rightarrow \text{Aut}(F_k)$$

If YES , Gelfand-Neumark description for str.cont. 1prg of  $\text{Aut}(E)$ .

**Problems.** (1)  $E$   $w^*$ -dense  $\text{JB}^*$ -subtriple in  $F$  (Cartan factor),  
 $t \mapsto \mathbf{U}(t)$  str.cont. 1prg.  $\mathbb{R} \rightarrow \text{Aut}(E) \implies^? t \mapsto \mathbf{U}^{w*}(t)$  str.cont.?  
 (2) Category description of  $w^*$ -dense  $\text{JB}^*$ -subtriples of Cartan factors

**Conjecture.** [ $\sim 2003$  Isidro-Stacho]  $E$   $w^*$ -dense  $\text{JB}^*$ -subtrp in  
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# PROBLEMS IN NON-LINEAR CASE

$N < 3$ :  $\mathbf{H}, \mathcal{L}(\mathbf{H}^{(1)}, \mathbf{H}^{(2)})$  JB\*-triples

$E$  JB\*-triple  $B := \text{Ball}(E)$

$t \mapsto \Phi^t \in \text{Aut}(B)$  str.cont 1-prg:  $t \mapsto \Phi^t(x)$  norm.cont.  $\forall x$

$$\Phi^t = M_{\Phi^t(0)} \circ \underbrace{U_t}_{\text{LIN} \in \text{Aut}(B)} \quad M_a(x) = a + \underbrace{\beta(a)^{1/2}}_{I - 2D(a) + Q(a)^2} [I - D(x, a)]^{-1} x$$

**Lemma.**  $t \mapsto \Phi^t$  str.cont.  $\iff t \mapsto \Phi^t(0)$  cont.,  $t \mapsto U_t$  str.cont.

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$$E := L^2(\mathbb{R}) \quad S^t : f \mapsto f(x+t) \quad \text{shifts} \quad \text{INF.GEN: } A := \frac{d}{dx}$$

$$\text{Consider: } \Phi^t = \exp \left[ t \left( a - \{aa^*f\} + Af \right) \frac{\partial}{\partial f} \right] \quad \Phi^t(0) : x \mapsto f(t, x)$$

$$\frac{\partial f}{\partial t} = a - \int_{-\pi}^{\pi} f(t, \xi) \overline{a(\xi)} d\xi \cdot f + \frac{\partial f}{\partial x} \quad f(0, x) \equiv 0$$

$$y := x+t, \quad u := t, \quad f(t, x) = g(y, u), \quad b(u, y) = a(y-u) \Rightarrow$$

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## Acknowledgement

Supported by the project

*TAMOP-4.2.2.A-11/1/KONV-2012-0060 -*

*Impulse Lasers for Use in Materials Science and Biophotonics*

of the European Union and co-financed by the European Social Fund.