Higher order connectivity of graphs

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Minimal Graphs

Lovász's lifting lemma

Flows: Reminder

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Flows: Reminder

In the course *Algorithms and Their Complexity*, the theory of flows was discussed (the necessary definitions can be found there). The following theorem is the fundamental theorem of flows.

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Flows: Reminder

In the course *Algorithms and Their Complexity*, the theory of flows was discussed (the necessary definitions can be found there). The following theorem is the fundamental theorem of flows.

Theorem (the main theorem of flows)

Let \mathcal{H} be a network and f be a flow in it. Then the following are equivalent:

- (i) f is a maximum value flow in the network \mathcal{H} .
- (ii) There is no augmenting path with respect to f in the network \mathcal{H} .
- (iii) There exists a source/sink cut in \mathcal{H} with capacity equal to the value of f.

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Consequence: Maximum Flow-Minimum Cut Theorem, MFMC
Theorem
Let \mathcal{H} : (\overrightarrow{G}, c, s, t) be a network. Then
\max\{\operatorname{val}(f) : f \text{ is a flow in } \mathcal{H}\} = \min\{c(\mathcal{V}) : \mathcal{V} \text{ is a source/sink cut in } \mathcal{H}\}.
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Another consequence of the fundamental theorem is the Ford-Fulkerson algorithm.

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Consequence: Maximum Flow-Minimum Cut Theorem, MFMC Theorem Let $\mathcal{H}: (\overrightarrow{G}, c, s, t)$ be a network. Then

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Another consequence of the fundamental theorem is the Ford-Fulkerson algorithm.

Integral Flow Theorem

If every edge in network \mathcal{H} has an integer capacity $(c: E(\overrightarrow{G}) \to \mathbb{Z})$, then there exists an optimal flow in which every edge carries an integer amount of material.

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Uniform Networks

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Uniform Networks

Let \overrightarrow{G} be a directed graph with source/sink nodes s and t.

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Uniform Networks

Let \overrightarrow{G} be a directed graph with source/sink nodes s and t. If we set the capacity of every edge to be 1, we obtain a network $\mathcal{H}_{\overrightarrow{C}}$.

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Uniform Networks

Let \vec{G} be a directed graph with source/sink nodes s and t. If we set the capacity of every edge to be 1, we obtain a network $\mathcal{H}_{\vec{G}}$.

Easy observation/exercise

Let \overrightarrow{G} be an arbitrary directed graph with two distinguished nodes s and t. Let $\mathcal{H}_{\overrightarrow{G}}$ be the following network: $(\overrightarrow{G}, c \equiv 1, s, t)$. (i)

 $\max\{\operatorname{val}(f): f \text{ is a flow in } \mathcal{H}_{\overrightarrow{G}}\} = \max\{k: P_1, P_2, \dots, P_k \text{ are edge-disjoint } \overrightarrow{st}\text{-paths in } \overrightarrow{G}\}$

(ii)

$$\begin{split} \min\{c(\mathcal{V}): \ \mathcal{V} \text{ is a source/sink cut in } \mathcal{H}_{\overrightarrow{G}}\} = \\ \min\{|S|: \ S \subset E(G) \text{ is a source} \rightarrow \text{sink separating edge set}\}. \end{split}$$

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Menger's First Theorem

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Menger's First Theorem

The MFMC theorem and the observation provide a purely graph-theoretical theorem:

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Menger's Theorem

Let \overrightarrow{G} be an arbitrary directed graph with two distinguished nodes s and t. Then

$$\max\{k : P_1, P_2, \dots, P_k \text{ are edge-disjoint } \overrightarrow{st} \text{ paths in } \overrightarrow{G}\} = \min\{|S| : S \subset E(G) \text{ is a source/sink separating edge set}\}.$$

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Menger's Theorems for Directed Graphs

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Menger's Theorems for Directed Graphs

Menger's Theorems

Let \overrightarrow{G} be an arbitrary directed graph with two distinguished nodes s and t. Then

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(ii)

 $\max\{k: P_1, P_2, \dots, P_k \text{ internally node-disjoint } \overrightarrow{st} \text{ paths in } \overrightarrow{G}\} = \min\{|U|: U \subset V(\overrightarrow{G}) - \{s, t\} \text{ is a source} \rightarrow \text{sink separating node set} \}$

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Menger's Theorems for Undirected Graphs

Menger's Theorems for Undirected Graphs

Let G be an arbitrary undirected graph with two distinguished nodes s and t. Then

(i)

 $\max\{k : P_1, P_2, \dots, P_k \text{ edge-disjoint } st \text{ paths in } G\} = \\ \min\{|S| : S \subset E(G) \text{ is a source/sink separating edge set}\}.$

(ii)

 $\max\{k: P_1, P_2, \dots, P_k \text{ internally node-disjoint } st \text{ paths in } G\} = \min\{|U|: U \subset V(G) - \{s, t\} \text{ is a source/sink separating node set}\}.$



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In the case of internally node-disjoint paths, if there exists an \vec{st} or st edge, then the theorem is uninteresting.

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There is no suitable separating set U, and the paths P_i may be the same one-edge path (without internal nodes).

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There is no suitable separating set U, and the paths P_i may be the same one-edge path (without internal nodes).

That is, the optimum of both optimization problems is ∞ . In this case, it is worthwhile to assume the absence of edges between s and t.

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Minimal Graphs

Lovász's lifting lemma

k-Edge Connectivity

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k-Edge Connectivity

Definition

Let k be a positive integer. A graph G is k-edge-connected (shortened as k-edge-connected) if removing any set of fewer than k edges results in a connected graph.

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For every set $F \subseteq E(G)$ with |F| < k, the graph G - F is connected.

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For every set $F \subseteq E(G)$ with |F| < k, the graph G - F is connected.

The condition must hold even for $F = \emptyset$, i.e., our base graph must be connected. Connectivity should be preserved when any proper but not *large* set of edges is removed.

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k-(Vertex) Connectivity

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A graph G is k-(vertex) connected (shortened as kvc), if removing any set of fewer than k vertices results in a connected graph and |V(G)| > k.

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k-(Vertex) Connectivity

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A graph G is k-(vertex) connected (shortened as kvc), if removing any set of fewer than k vertices results in a connected graph and |V(G)| > k. For every set $U \subseteq V(G)$ with |U| < k, the graph G - U is connected, and |V| > k.

The technical condition for the vertex count serves to ensure that the graph is sufficiently large: after removing the *not too large* vertex set mentioned in the definition, at least two vertices should remain.

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Minimal Graphs

Lovász's lifting lemma



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Examples

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Trees are not 2-edge-connected if they have edges.

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Trees are not 2-edge-connected if they have edges.

Example

Cycles are 2-connected (if they have at least three vertices) and therefore 2-edge-connected, but they are not 3-connected.

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Examples

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Trees are not 2-edge-connected if they have edges.

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Cycles are 2-connected (if they have at least three vertices) and therefore 2-edge-connected, but they are not 3-connected.

Example

Among graphs with k + 1 vertices, only the complete graph is k-connected.

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Connections

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Connections

The following diagram summarizes the relationships between various connectivity concepts. Graph classes not derivable from the diagram are not included.

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$$1vc \ \leftarrow \ 2vc \ \leftarrow \ 3vc \ \leftarrow \ \dots \ \leftarrow \ kvc \ \leftarrow \\ \downarrow^* \\ \downarrow^c \qquad \downarrow \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \\ 1ec \ \leftarrow \ 2ec \ \leftarrow \ 3ec \ \leftarrow \ \dots \ \leftarrow \ kec \ \leftarrow \\ \end{cases}$$

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The following diagram summarizes the relationships between various connectivity concepts. Graph classes not derivable from the diagram are not included.

The horizontal connections are obvious from the definitions. The vertical arrows represent a somewhat more challenging relationship. The starred equivalence is only partially true. In 1-vertex-connectedness, the condition of having at least two vertices is essential; this is not a requirement for connectivity. The other vertical implications follow from the lemma below.

Menger



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Lemma

Lemma

Let *e* be any edge of graph *G* and *v* be any vertex. Let $k \ge 2$.

- (a) If G is k-edge-connected, then G e is
 - (k-1)-edge-connected.
- (b) If G is k-vertex-connected, then G v is (k-1)-vertex-connected.
- (c) If G is k-edge-connected, then G v can have any number of components.
- (d) If G is k-vertex-connected, then G e is (k 1)-vertex-connected.

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Theorem

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Theorem

(i) A graph G is k-edge-connected if and only if, for any two of its vertices, there exist k pairwise edge-disjoint paths between them.

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Theorem

- (i) A graph G is k-edge-connected if and only if, for any two of its vertices, there exist k pairwise edge-disjoint paths between them.
- (ii) A graph G is k-vertex-connected if and only if, for any two of its vertices, there exist k paths, whose internal vertices form pairwise disjoint sets (Path system is vertex-independent), and |V(G)| > k.

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Proof: Trivial Direction

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One direction of each statement is straightforward: the existence of the required paths ensures the corresponding connectivity.

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One direction of each statement is straightforward: the existence of the required paths ensures the corresponding connectivity.

Indeed: Suppose that after the appropriate reduction of our graph, we obtain a non-connected graph between two vertices — x and y.

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Proof: Trivial Direction

One direction of each statement is straightforward: the existence of the required paths ensures the corresponding connectivity.

Indeed: Suppose that after the appropriate reduction of our graph, we obtain a non-connected graph between two vertices — x and y.

Applying the condition to x and y, the guaranteed path system between x and y is in our graph. Removing the edges/vertices must eliminate each of them. Due to the independence of the paths, this cannot happen.

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Proof: Non-trivial Direction (i)

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Let G be a graph, and $x, y \in V$ be any two vertices, with k given.

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Let G be a graph, and $x, y \in V$ be any two vertices, with k given.

Assume that G is k-edge-connected, and apply Menger's theorem.

 $k \leq \min\{|L|: L \subseteq E(G), G - L \text{ does not have an } xy \text{ path}\} =$

 $= \max\{I : P_1, \ldots, P_I \text{ pairwise edge-disjoint } xy \text{ paths in } G\}$

Let G be a graph, and $x, y \in V$ be any two vertices, with k given. Assume that G is k-edge-connected, and apply Menger's theorem.

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Thus, there exist k pairwise edge-disjoint xy paths in G.

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Proof: Non-trivial Direction (ii)

Assume that G is k-vertex-connected.

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Assume that G is k-vertex-connected.

Let P be the set of xy edges, and let p be its cardinality.

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Assume that G is k-vertex-connected.

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Assume that G is k-vertex-connected.

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If $p \ge k$, then the statement holds.

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Proof: Non-trivial Direction (ii)

Assume that G is k-vertex-connected.

Let P be the set of xy edges, and let p be its cardinality. The edges in P are vertex-independent xy paths.

If $p \ge k$, then the statement holds. If $p \le k - 1$, then G - P is (k - p)-vertex-connected.

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Assume that G is k-vertex-connected.

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We show that there exist k - p vertex-independent xy paths in G - P.

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We show that there exist k - p vertex-independent xy paths in G - P.

Apply the undirected, vertex-independent version of Menger's theorem (x and y are not connected in G - P):

 $\begin{aligned} k-p \leq \min\{|U|: \ U \subseteq V(G) \setminus \{x, y\}, \ G-P-U \text{ does not have an } xy \\ = \max\{I: \text{ vertex-independent } xy \text{ paths in } G-P\} \end{aligned}$

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Hence, there exist k - p vertex-independent xy paths in G - P.

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Let P be the set of xy edges, and let p be its cardinality. The edges in P are vertex-independent xy paths.

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We show that there exist k - p vertex-independent xy paths in G - P.

Apply the undirected, vertex-independent version of Menger's theorem (x and y are not connected in G - P):

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Hence, there exist k - p vertex-independent xy paths in G - P. Adding the elements of P as 1-length xy paths, we obtain k vertex-independent xy paths in G.

Connectivity Parameters

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Connectivity Parameters

Definition

The connectivity parameters of graph G:

$$\kappa_e(G) = \begin{cases} \max\{k : G \text{ is } k \text{-edge-connected}\}, & \text{if } G \text{ is connected} \\ 0, & \text{if } G \text{ is not connected} \end{cases}$$
$$\kappa(G) = \begin{cases} \max\{k : G \text{ is } k \text{-vertex-connected}\}, & \text{if } G \text{ is connected} \\ 0, & \text{if } G \text{ is not connected} \end{cases}$$

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Observation

For every graph G, the following hold:

$$\kappa_{e}(G) = \min_{\substack{x,y \in E(G) \\ x,y \in E(G)}} \max\{k : P_{1}, \dots P_{k} \text{ pairwise edge-disjoint } xy \text{ paths in } G\}$$
$$= \min_{\substack{x,y \in E(G) \\ v \text{ xy cut}}} \min_{\substack{v \text{ xy cut}}} |E(\mathcal{V})| = \min_{\substack{v \text{ cut}}} |E(\mathcal{V})|,$$
where $\mathcal{V} = \{S, T\}, S \cup T = V(G), S \cap T = \emptyset, S, T \neq \emptyset.$

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Algorithmic Remarks

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Algorithmic Remarks

Theorem

 $\kappa_e({\sf G})$ and $\kappa({\sf G})$ can be efficiently calculated with a flow algorithm.

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Algorithmic Remarks

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Theorem

Calculating
$$\max_{\mathcal{V} \text{ cut}} |E(\mathcal{V})|$$
 is hard,

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Algorithmic Remarks

Theorem

 $\kappa_e(G)$ and $\kappa(G)$ can be efficiently calculated with a flow algorithm.

Theorem

Calculating $\max_{\mathcal{V} \text{ cut}} |E(\mathcal{V})|$ is *hard*, an \mathcal{NP} -complete problem.

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Definition

Let G be a graph, k a positive integer. G is called minimal k-edge-connected if

(i) k-edge-connected, and

(ii) for any edge e, G - e is not k-edge-connected.

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For k = 1, minimal k-edge-connected graphs are trees.

If G is minimal k-edge-connected, then it has no loops.

If G is k-edge-connected and has at least two vertices, then every vertex has degree at least k.

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Boundary of a Vertex Set: Definition

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Boundary of a Vertex Set: Definition

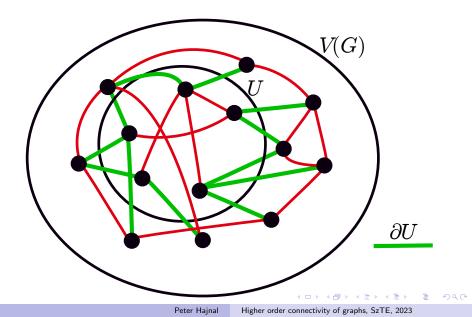
Notation

The boundary of $U \subseteq V(G)$:

 $\partial U = \{xy \in E(G) : x \in U \text{ and } y \notin U, \text{ or } x \notin U \text{ and } y \in U\}$

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 $\partial U = \partial \overline{U}$, where $\overline{U} = V(G) \setminus U$.

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If G has no loops, then for any $x \in V(G)$, $d(x) = |\partial \{x\}|$.

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$$\partial U = \partial \overline{U}$$
, where $\overline{U} = V(G) \setminus U$.

If G has no loops, then for any $x \in V(G)$, $d(x) = |\partial \{x\}|$.

G is k-edge-connected if and only if the boundary of any proper, non-empty subset of V(G) contains at least k edges.

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Mader's Theorem

Let k be a positive integer, G a minimal k-edge-connected graph with $|V(G)| \ge 2$. Then the following hold:

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Definition

k a positive integer, G a minimal k-edge-connected graph. A set $P \subseteq V(G)$ is called a precise set if its boundary contains exactly k edges.

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Mader's Theorem

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Definition

k a positive integer, G a minimal k-edge-connected graph. A set $P \subseteq V(G)$ is called a precise set if its boundary contains exactly k edges.

The statement (i) of the theorem is equivalent to the existence of a singleton precise set in G.

Observation

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Observation

Observation

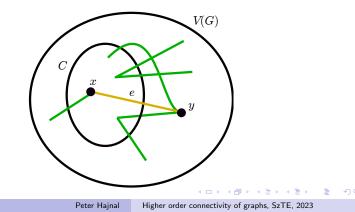
If, for any $e = xy \in E(G)$, G - e is not k-edge-connected, then there exists a separating set $C \subset V(G)$ such that $|\partial_{G-e}C| < k$. In this case, C is a precise set in G and separates x and y.

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Minimal Graphs

Lovász's lifting lemma

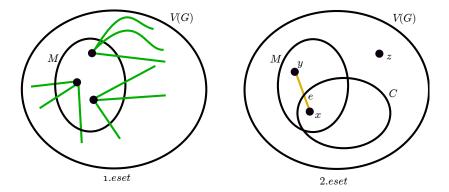
Proof of Mader's Theorem (i): Cases

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Let M be a minimal precise set in G, i.e., a precise set such that none of its proper subsets is precise. We claim that M is a singleton set.

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Proof of Mader's Theorem (i): Case 1

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Case 1: No edge crosses within M.

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Case 1: No edge crosses within M.

In this case, the following equality holds:

$$k = |\partial M| = \sum_{m \in M} |\partial \{m\}| = \sum_{m \in M} d(m)$$

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Case 1: No edge crosses within M.

In this case, the following equality holds:

$$k = |\partial M| = \sum_{m \in M} |\partial \{m\}| = \sum_{m \in M} d(m)$$

Since every vertex in G has degree at least k, M can only be a singleton set.

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Lovász's lifting lemma

Proof of Mader's Theorem (i): Case 2

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Case 2: At least one edge crosses within M.

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Case 2: At least one edge crosses within M.

Let xy be such an edge. Since G has no loops, x and y are distinct vertices.

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Proof of Mader's Theorem (i): Case 2

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M is precise, so $M \neq V(G)$.

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Let $z \in V(G) \setminus M$.

Case 2: At least one edge crosses within M.

Let xy be such an edge. Since G has no loops, x and y are distinct vertices.

M is precise, so $M \neq V(G)$.

Let $z \in V(G) \setminus M$.

Due to the observations, there exists a precise set $C \subseteq V(G)$ that separates x and y. Without loss of generality, we can assume $z \notin C$; if z was an element of C, we could replace C with \overline{C} .

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Proof of Mader's Theorem (i): Submodularity

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Minimal Graphs

Lovász's lifting lemma

Proof of Mader's Theorem (i): Submodularity

Lemma

$|\partial(A \cap B)| + |\partial(A \cup B)| \le |\partial(A)| + |\partial(B)|.$

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Both sides count edges.

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Proof of Mader's Theorem (i): Submodularity

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Let $e = xy \in E(G)$.

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Proof of Mader's Theorem (i): Submodularity

Lemma

$|\partial(A \cap B)| + |\partial(A \cup B)| \le |\partial(A)| + |\partial(B)|.$

Both sides count edges.

Let $e = xy \in E(G)$.

There are eight cases. In all cases the right hand side counts e at least as many times as the left hand side.

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Proof of Mader's Theorem (i): Case 2

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Apply the lemma to M and C.

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Apply the lemma to M and C.

By our choices, $M \cap C \neq \emptyset$ and $M \cup C \neq V(G)$.

 $k + k \leq |\partial(M \cap C)| + |\partial(M \cup C)| \leq |\partial M| + |\partial C| = 2k$

The first and last terms in the inequality are equal, so all our estimates are tight, in particular, $|\partial(M \cap C)| = k$.

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Since x and y belong to different subsets of C, $M \cap C$ is a proper precise subset of M.

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This contradicts the minimality of M, so the second case is not possible.

Apply the lemma to M and C.

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Since x and y belong to different subsets of C, $M \cap C$ is a proper precise subset of M.

This contradicts the minimality of M, so the second case is not possible.

(ii) Let P be a precise set in G. In this case, \overline{P} is also precise. P and \overline{P} each have a minimal precise subset for containment, let these be M_1 and M_2 . These are two different singleton precise sets in G.



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Example

Let $m \ge 2$ be an integer. If we replace each edge in a tree T with m parallel edges, we obtain a minimal m-edge-connected graph.

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Lovász's Lifting Lemma

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Suppose that the number of edges between u and G_0 is even and positive, and u satisfies the following condition:

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Then, there exist two edges e = ux and f = uy incident to u such that the graph $\tilde{G} = G - e - f + xy$ also satisfies condition (L).

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Lovász's Lifting Lemma in Pictures

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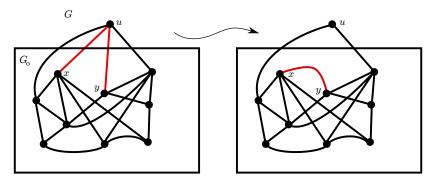


Figure: In the figure, the red edges are being exchanged. If an edge already exists between x and y, we add a new edge parallel to the existing xy edges.

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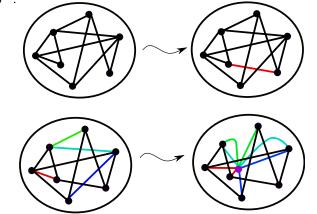
G graph, k positive even integer, two operations

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G graph, k positive even integer, two operations

Edge addition: We add a new edge between two vertices of *G*: $G \rightarrow G^+$.



Contraction of k/2 **edges:** We remove k/2 edges from G, replace their endpoints with new vertices, and then identify the k/2 new vertices: $G \rightarrow \widetilde{G}$.

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If G is k-edge-connected, then G^+ and \widetilde{G} are also k-edge-connected.

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Let G_0 be the graph with one vertex and no edges.

Assume that G can be built in the following way:

$$G_0 \rightarrow G_1 \rightarrow \ldots \rightarrow G_l = G,$$

where for every i = 0, ..., l - 1, the $G_i \rightarrow G_{i+1}$ operation is either edge addition or contraction of k/2 edges.

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Then G is k-edge-connected.

Application of the Lifting Lemma: Growth of 2ℓ -edge-connected graphs

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Our goal is to prove the converse of the observation above.

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Application of the Lifting Lemma: Growth of 2ℓ -edge-connected graphs

Our goal is to prove the converse of the observation above.

Theorem

If k is a positive even number, and G is a k-edge-connected graph, then G can be built from G_0 (see above) using the previous two operations.

Minimal Graphs

Lovász's lifting lemma

Proof of the Enhanced Lifting Lemma

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G is not minimally *k*-edge-connected.

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 G_0 and all graphs with at most one edge can be trivially constructed.

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From now on: G is minimally k-edge-connected, $|V(G)| \ge 2$.

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If we contract the edges in $E(H) \setminus E(G)$ to a single vertex u, we obtain the graph G.

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Lemma⁺

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(L) If U is a nontrivial subset of $V(G_0)$, then $|\partial_G U| \ge k$.

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the graph $\widetilde{G} = G - e - f + xy$ also satisfies property (L).

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Let G, u, k, and e = ux be given.

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If C_f separates x and y, then $|\partial_{\widetilde{G}}C_f| = |\partial_G C_f| \ge k$, which is a contradiction.

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The Proof

Assume $u \notin C_f$. If C_f separates x and y, or $x, y \notin C_f$, then C_f would not be a counterexample. Thus, $x, y \in C_f$.

Then
$$k > |\partial_{\widetilde{G}} C_f| = |\partial_G C_f| - 2$$
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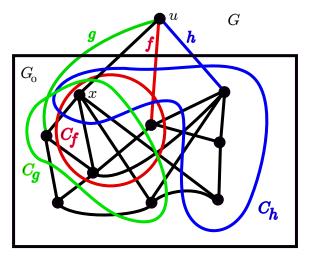
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Thus, at most half of the edges incident to u can go to the counterexample set C_f .

Iteration

Repeat the procedure for other edges.



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Let C_0 be the obtained system. Due to (1), it cannot be the case that C_0 consists of only two counterexample sets: Otherwise, at most half of the edges incident to u could extend to both sets in a way that the edge ux is included in both, and the two sets together still cover the neighborhood of u. This is clearly impossible.

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Lemma

For any graph H and sets $A, B, C \subseteq V(H)$, the following inequality holds:

 $\begin{aligned} |\partial(A \cap B \cap C)| + |\partial(A \cap \overline{B} \cap \overline{C})| + |\partial(\overline{A} \cap B \cap \overline{C})| + |\partial(\overline{A} \cap \overline{B} \cap C)| \\ \leq |\partial A| + |\partial B| + |\partial C| \end{aligned}$

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The proof of the lemma (like proving submodular inequalities) involves simple calculations. We need to check for each edge how many times it contributes to the left and right sides. Each edge contributes at least as much to the right side as to the left side.

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Let $C_1, C_2, C_3 \in C_0$. Apply the lemma to these, with the additional observation that the edge ux is counted once on the left side but three times on the right side:

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Each of the four terms in the starting four-term sum involves the intersection of three sets, all of which are non-empty (the first has x as an element, the others are empty due to the minimality of C_0). Thus, due to property (L), each term is at least k. Summing up, we have $4k \leq 3k + 1$, i.e., after sorting, $k \leq 1$.

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This is a contradiction because we assumed $k \ge 2$. Thus, one of the edges uy satisfies the lemma.

This is the End!

Thank you for your attention!

Peter Hajnal Higher order connectivity of graphs, SzTE, 2023

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