Crossing number and its applications

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Peter Hajnal Crossing number, SzTE, 2023

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The crosoing number will be a non-negative integer that is 0 iff our graph is planar.

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Definition

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Definition: Crossing number of a drawing

Let G be a graph, and λ be a regular drawing of it.

 $x(G,\lambda) = |\{P \in \mathbb{R}^2 - \lambda(V) : P \text{ is on more than one edge-curve}\}|.$

An alternative definition can be: Consider an arbitrary drawing. We count points P, that are an inner point of k edge-curves with multiplicity $\binom{k}{2}$.

Examples



Several drawings of $G = K_5$, and their crossing number: $x(K_5, \lambda) = 5$, $x(K_5,\lambda')=4,\ x(K_5,\lambda''')=3,\ x(K_5,\lambda'''')=1.$

Peter Hajnal Crossing number, SzTE, 2023

The basics	The crossing Lemma	Geometry: The theorem of Szemerédi, Trotter	Arithmetic: Additive combinatorics

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The case of K_6 .

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The basics The crossing Lemma Geometry: The theorem of Szemerédi, Trotter Arithmetic: Additive combinatorics

Example (continued)

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Notation

If $R \subseteq G$, then any drawing λ of G can be restricted to R: $\lambda|_R$.

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If $R \subseteq G$, then any drawing λ of G can be restricted to R: $\lambda|_R$.

Observartion

Let *H* be an arbitrary simple graph on *n* vertices, i.e. $H \subseteq K_n$. Then $x(H, \lambda|_H) \leq {n \choose 4} = O(n^4)$, where λ is the drawing of the complete graph, we desribed above.

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Let G be a graph. We obtain G_0 from G by deleting the loops of G. We can think the other way around: we obtain G from G_0 by adding loops.

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Any drawing λ of G_0 can be extended to a $\widehat{\lambda}$ drawing of G, such a way that the crossing number is not changed: $x(G, \widehat{\lambda}) = x(G_0, \lambda)$.

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Consider the drawing of G_0 around a vertex x. In a small enough neighborhood, the edge-curves meeting at x form a star shape. Between the branches there is "enough space" for the nice drawing of arbitrary number of loops.

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Loops don't count: On figure

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Observation

Given a nice drawing λ of G_0 . We can extend it to $\hat{\lambda}$, a nice drawing of G, i.e if $x(G_0, \lambda) = 0$ then $x(G, \hat{\lambda}) = 0$.



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For any simple graph G on n vertices $x(G) = O(n^4)$.

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That is, the question is to find the value of $x(K_{n,m})$. Later the question to determine the value of $x(K_n)$ was raised too.

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That is, the question is to find the value of $x(K_{n,m})$. Later the question to determine the value of $x(K_n)$ was raised too.

Although in both cases, the optimal drawings are conjectured, the conjecture is still a central open question.

The basics The crossing Lemma Geometry: The theorem of Szemerédi, Trotter Arithmetic: Additive combinatorics

An inportant remark

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Assume that e and f are two edges, with common endvertex v. If the two edge-curves cross each other then the drawing is not optimal.

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Indeed, from the neighbors of v we approach v. As soon as two edge-curves meet we redraw/switch, we avoid the crossing.

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Indeed, from the neighbors of v we approach v. As soon as two edge-curves meet we redraw/switch, we avoid the crossing.

We obtain a drawing of the same graph. Meanwhile, the crossing number cannot increase.

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A drawing λ is V-nice iff any two edge-curves that share a common end vertex-point do not cross each other.

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Observation

For any drawing λ of a graph G one can find a V-nice drawing λ' , that $x(G, \lambda') \leq x(G, \lambda)$.

Break



An easy bound on the crossing number

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Reminder

Let G be a simple planar graphs. If
$$|V| \ge 3$$
, then $|E| \le 3|V| - 6$.

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Let G be a simple planar graphs. If $|V| \ge 3$, then $|E| \le 3|V| - 6$.

Corollary

Let G be a simple graph and λ is its regular drawing. Then

 $x(G,\lambda) \geq |E| - 3|V|.$

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Let R be a subgraph of G, that V(G) = V(R) and E(R) a maximal edge set with the property that $\lambda|_R$ is nice.

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Hence we have at least |E(G)| - 3|V| edges outside R.

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Hence we have at least |E(G)| - 3|V| edges outside R.

For each $e \in E(G) - E(R)$ the edge-curve $\lambda_E(e)$ crosses ate least one edge-curve of $(R, \lambda|_R)$.

Let R be a subgraph of G, that V(G) = V(R) and E(R) a maximal edge set with the property that $\lambda|_R$ is nice.

We know that $|E(R)| \leq 3|V|$.

Hence we have at least |E(G)| - 3|V| edges outside R.

For each $e \in E(G) - E(R)$ the edge-curve $\lambda_E(e)$ crosses ate least one edge-curve of $(R, \lambda|_R)$.

We obtain, that

$$x(G,\lambda) \geq |E(G)| - 3|V|.$$

The Crossing Lemma

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The Crossing Lemma

Theorem (Crossing Lemma)

If G is a simple graph and $|E| \ge 4|V|$, then

$$x(G) \geq \frac{1}{64} \frac{|E|^3}{|V|^2}.$$

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If G is a simple graph and $|E| \ge 4|V|$, then

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The bound $|E| \ge 4|V|$ guarantees that G is not planar, i.e. $x(G) \ge 1$.

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$$x(K_n) \geq rac{1}{64} rac{{\binom{n}{2}}^3}{n^2} = rac{1}{128} n^4 + O(n^3) = \Omega(n^4).$$

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$$x(K_n) = \Theta(n^4).$$

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The proof the Crossing Lemma I

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The proof the Crossing Lemma I

Let λ be a V-nice drawing of G.

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Let <u>R</u> be a random plane subgraph, that we obtain by the following random process: for each vertex (independently) we leave it untouched with property p, and delete it with probability 1 - p. The suitable p will be determined later.

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We apply the easy bound on \underline{R} :

 $x(\underline{R},\lambda|\underline{R}) \ge |E(\underline{R})| - 3|V(\underline{R})|.$

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The inequality holds for expected values too:

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\mathbb{E}(x(\underline{R},\lambda|\underline{R})) \geq \mathbb{E}(|E(\underline{R})|) - 3\mathbb{E}(|V(\underline{R})|).
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From this:

$$p^4x(G,\lambda) \ge p^2|E(G)| - 3p|V(G)|.$$

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From this:

$$p^4x(G,\lambda) \ge p^2|E(G)| - 3p|V(G)|.$$

p will be positive, so we can divide the inequality by p^4 :

$$x(G,\lambda) \geq \frac{|E(G)|}{p^2} - \frac{3|V(G)|}{p^3}$$

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Let
$$p = \frac{4|V|}{|E|}$$
.
 $x(G, \lambda) \ge \frac{1}{16} \frac{|E|^3}{|V|^2} - \frac{3}{64} \frac{|E|^3}{|V|^2} = \frac{1}{64} \frac{|E|^3}{|V|^2}$.

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The claim is proven.

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With more attention it can be improved,

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The coefficient $\frac{1}{64}$ is a byproduct of the proof.

With more attention it can be improved, but the optimal value is not known.

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A geometric theorem

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A geometric theorem

Definition

Let $\mathcal{P}\subseteq \mathbb{R}^2$ a finite planar point set and \mathcal{E} a finite set of lines on the plane.

$$I(\mathcal{P},\mathcal{E})=|\{(P,e):P\in\mathcal{P},\;e\in\mathcal{E}\; ext{and}\;P\:I\:e\}|,$$

P I e denotes, that the point P is incident to the line e.

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Theorem (Szemerédi—Trotter's theorem)

$$I(\mathcal{P}, \mathcal{E}) \leq 4(|\mathcal{P}||\mathcal{E}|)^{2/3} + 4|\mathcal{P}| + |\mathcal{E}|.$$

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The basics

The crossing Lemma

Geometry: The theorem of Szemerédi, Trotter

Arithmetic: Additive combinatorics

The magnitude of the upper bound

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The magnitude of the upper bound

$$\mathcal{O}(|\mathcal{P}|^{2/3}|\mathcal{E}|^{2/3}+|\mathcal{P}|+|\mathcal{E}|)=\mathcal{O}(\mathsf{max}\{|\mathcal{P}|^{2/3}|\mathcal{E}|^{2/3},|\mathcal{P}|,|\mathcal{E}|\}).$$

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The magnitude of the upper bound

$$\mathcal{O}(|\mathcal{P}|^{2/3}|\mathcal{E}|^{2/3} + |\mathcal{P}| + |\mathcal{E}|) = \mathcal{O}(\max\{|\mathcal{P}|^{2/3}|\mathcal{E}|^{2/3}, |\mathcal{P}|, |\mathcal{E}|\}).$$

Note that for arbitrary p and e positive integers one can give a set of points \mathcal{P} of size p and a set of lines \mathcal{E} of size e such that number of incidences between them is at least a thousandth of the upper estimate.

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Note that for arbitrary p and e positive integers one can give a set of points \mathcal{P} of size p and a set of lines \mathcal{E} of size e such that number of incidences between them is at least a thousandth of the upper estimate.

That is, the magnitude of the upper estimate is optimal.

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We can assume that any line $e \in \mathcal{E}$ is incident to at least one point in \mathcal{P} .

We construct a simple graph from \mathcal{P} and \mathcal{E} : \mathcal{P} forms the vertex set. Two vertices, $P, Q \in \mathcal{P}$ are connected iff there is a line $e \in \mathcal{E}$ that contains both and on *e* there are no other elements of \mathcal{P} between P and Q.

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From geometry it is obvious that $x(G) \le x(G, \lambda) \le {|\mathcal{E}| \choose 2} \le |\mathcal{E}|^2$.

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The proof III

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The proof III

1st Case: |E| < 4|V|.

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After rearrengment we obtain

$$4|\mathcal{P}|^{2/3}|\mathcal{E}|^{2/3} \geq I(\mathcal{P},\mathcal{E}) - |\mathcal{E}|.$$

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The proof III

1st Case:
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After rearrengment we obtain

$$4|\mathcal{P}|^{2/3}|\mathcal{E}|^{2/3} \geq I(\mathcal{P},\mathcal{E}) - |\mathcal{E}|.$$

In both cases the theorem is proven.

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Definition

Let $A, B \subset \mathbb{R}$ be finite set of numbers. $A + B = \{a + b : a \in A \text{ és } b \in B\}$ and $A \cdot B = \{a \cdot b : a \in A \text{ és } b \in B\}.$

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Question: How big and how small can be |A + A| and $|A \cdot A|$, assuming |A| = n?

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Basic observations: Sum-set

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$$|A+A| \leq \binom{n}{2} + n.$$

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If A is a random set of nimbers of size n then the size of A + A is $\binom{n}{2} + n$ almost surely.

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We will give a lower bound on |A + A|. We can assume that the elements A are $a_1 < a_2 < \ldots < a_n$.

Using

 $a_1 + a_1 < a_1 + a_2 < \ldots < a_1 + a_n < a_2 + a_n < \ldots < a_n + a_n$ we have at least 2n-1 different values in A+A.

• If A contains n consegutive elements of an arithmetic progression, then |A + A| = 2n - 1.

Basic observations: Product-set

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$$|A \cdot A| \le \binom{n}{2} + n.$$

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If A contains n consequtive elements of an geometric progression, then $|A \cdot A| = 2n - 1$.

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Easy to give a linear lower bound on $|A \cdot A|$.

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The fundamental question

In the case of minimization the structure of the extreme sets are completely different (arithmetic and geometric sequences).

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Is there a set where the sum set and the multiplication set will be small at the same time?

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Conjecture (Erdős—Szemeredi)

For every positive ε

$$\min_{A\subseteq\mathbb{R},|A|=n}\max\{|A+A|,|A\cdot A|\}=\Omega(n^{2-\varepsilon}).$$

The conjecture is still open today.

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Theorem of György Elekes

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Theorem of György Elekes

Theorem (György Elekes)

For large enough *n*

$$\min_{A\subseteq\mathbb{R},|A|=n}\max\{|A+A|,|A\cdot A|\}\geq \frac{1}{10}n^{5/4},$$

i.e. for any *n* element set of numbers A we have $\max\{|A + A|, |A \cdot A|\} = \Omega(n^{5/4}).$

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Elekes' proof I

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Elekes' proof I

Assume that $0 \notin A$.

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Assume that $0 \notin A$.

We define a planar point set and a set of lines on the plane:

$$\mathcal{P}_{A} = \{(\pi, \sigma) : \pi \in A \cdot A, \ \sigma \in A + A\},\$$

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$$\mathcal{P}_{A} = \{(\pi, \sigma) : \pi \in A \cdot A, \ \sigma \in A + A\},$$
$$\mathcal{E}_{A} = \{e_{a,a'} : \ y = \frac{1}{a} \cdot x + a', \ a, a' \in A\}.$$

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Elekes' proof II

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Elekes' proof II



The points and lines in the case of $A = \{1, 2, 3, 6\}$

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Elekes' proof III

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- The equation of the line e_{a,a'} is ¹/_a ⋅ y ¹/_{a⋅a'} ⋅ x = 1. It can be seen that the intersections with the axes and (a, a') determine each other. Hence |E_A| = |A|².

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- The line $e_{a,a'}$ contains $(a \cdot a_1, a_1 + a')$, $(a \cdot a_2, a_2 + a'), \ldots$, where $A = \{a_1, a_2, \ldots\}$. We obtain that $I(\mathcal{P}_A, \mathcal{E}_A) \ge |A| |\mathcal{E}_A| = |A|^3$.

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We use the Szemerédi—Trotter Theorem:

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 $0.15 \cdot \textit{n}^{5/4} \leq \sqrt{|A \cdot A||A + A|} \leq \max\{|A \cdot A|, |A + A|\}.$

This is the end!

Thank you for your attention!

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