Greedy algorithms

Peter Hajnal

Bolyai Institute, University of Szeged, Hungary

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Basic Idea

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Basic Idea

• We consider optimization problems. In *F*, the set of feasible solutions we must find an optimal element, i.e. an element where $c: F \to \mathbb{R}$, the objective function takes minimal/maximal value.

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The basic idea Minimal cost spanning tree Shortest path, Dijkstra Huffman coding Matching problem

Basic Idea: Why greedy?

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• Greedy algorithms are very simple to implement. They are very fast. Unfortunately very often they are not able to guarantee that the output is optimal.

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• The above description is not a mathematical definition. It is a scheme, that very often leads to good algorithms. Sometimes (rarely) greediness makes us to be able to find the optimal solution.

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• Let us see an example.

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The basic idea

Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

Break



The minimal cost spanning tree problem

The problem

Given a connected graph, for each edge we have a positive cost $(c : E(G) \to \mathbb{R}_{++})$. This cost function can be naturally extended to subsets of E(G) (the cost of an edge set is the sum of the costs of its elements).

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Find a cheapest spanning tree of the input graph (the tree is considered as a set of edges).

The following algorithm, first described by Kruskal is a "prototype" of the greedy algorithm design.

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Shortest path, Dijkstra

Huffman coding

Matching problem

Kruskal's algorithm

Kruskal's algorithm (1956)

(SORTING STEP) Sort the edges of the input graph in ascending order of cost. Let $E(G) : e_1, e_2, \ldots, e_m$, i.e. e_1 is the cheapest edge, e_m is the most expensive edge $(c(e_1) \le c(e_2) \le \ldots \le c(e_m))$.

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The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
The ma	in theorem			

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The main theorem

One can say: all our choices are the best decision at the time. Later some of the edges have been discarded. After that it is possible that we need to throw away an edge. This is a questionable choice. It was based on the fact that the previously selected edges form a part of the output. If our previous decisions are overruled, then we could have chosen to use the currently discarded edge (the cheapest edge of the remaining edge set). The cost of the calculated spanning tree cannot simply be compared to the tree calculated above.

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Despite the huge question mark above, the calculated tree is optimal.

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Theorem (Kruskal's Theorem)

The output of the above algorithm is a minimum cost spanning tree of the input graph.

The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
Initial n	otations			

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• Let F be an arbitrary spanning tree. We list the edges in increasing order of cost: f_1, \ldots, f_{n-1} , i.e.

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• Based on the connectivity of the input graph it is easy to see that Kruskal's algorithm computes a spanning tree, i.e. $\ell = n - 1$.

The basic idea

Minimal cost spanning tree

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Strong form of Kruskal's Theorem

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Strong form of Kruskal's Theorem

Theorem (Strong form of Kruskal's Theorem)

For $i = 1, 2, \ldots, n-1$ we have

 $c(e_i) \leq c(f_i).$

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Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

Strong form of Kruskal's Theorem: The proof

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• Induction on *i*.

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The analysis of Kruskal's algorithm

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The analysis of Kruskal's algorithm

• The cost of the sorting step is

 $\mathcal{O}(|E|\log|E|).$

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basic	

Shortest path, Dijkstra

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Matching problem

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• The cost of the sorting step is

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- Cost of the complete run

 $\mathcal{O}(|E|\log|E|) + |E| \cdot \mathcal{O}(|V|) = \mathcal{O}(|E| \cdot |V|).$

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$$\mathcal{O}(|E|\log|E|) + |E| \cdot \mathcal{O}(|V|) = \mathcal{O}(|E| \cdot |V|).$$

• We have performed an analysis of a naive implementation. There are more clever solutions.

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Break



The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
The has	sic question			

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The basic question

The computational problem

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The basic question

The computational problem

Given

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The basic question

The computational problem

 $\operatorname{Given} \rightarrow$

(i)
$$G$$
 directed graph

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The basic question

The computational problem

Given (i) \overrightarrow{G} directed graph, (ii) $\ell : E(\overrightarrow{G}) \to \mathbb{R}_{++}$ length function,

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The basic question

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(iii) s, t two distinguished.

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The basic question

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Given

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$$\overrightarrow{G}$$
 directed graph,
(ii) $\ell : E(\overrightarrow{G}) \to \mathbb{R}_{++}$ length function,
(iii) *s*, *t* two distinguished.

Determine the distance of s and t.

The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
Refresh	ing memory			

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Definition: Walk in a directed graph \overrightarrow{uv} -walk in \overrightarrow{G} : \overrightarrow{S} : $u = w_0, \overrightarrow{e}_1, w_1, \overrightarrow{e}_2, \dots, w_{L-1}, \overrightarrow{e}_L, w_L = v,$

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Definition: Walk in a directed graph \overrightarrow{w} -walk in \overrightarrow{G} : \overrightarrow{S} : $u = w_0, \overrightarrow{e}_1, w_1, \overrightarrow{e}_2, \dots, w_{L-1}, \overrightarrow{e}_L, w_L = v$, where $w_i \in V$ $(i = 0, 1, \dots, L), \ \overrightarrow{e}_i \in E$ $(i = 1, \dots, L), e_i$ is an outgoing edge from w_{i-1} , and ingoing edge in w_i $(i = 1, \dots, L)$.

In the case of the existence of an \overrightarrow{uv} -walk we say that v is reachable from u.

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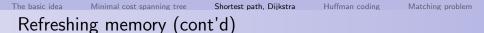
The graph theoretical length of $\overrightarrow{\mathcal{S}}$, an \overrightarrow{uv} -walk is L.

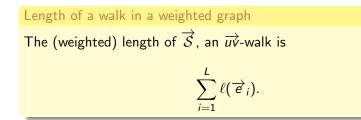
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The basic idea Minimal cost spanning tree Shortest path, Dijkstra Huffman coding Matching problem Refreshing memory (cont'd)

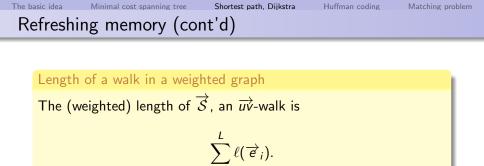
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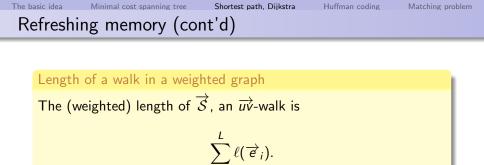
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Definition: The distance of two vertices in a weighted graph

d(u, v) denotes the distance of two vertices u and v, that is the minimal length among the \overrightarrow{uv} -walks.

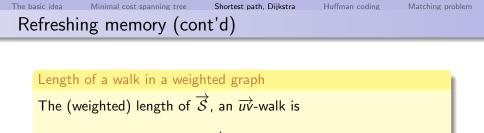
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$\sum_{i=1}^{L} \ell(\overrightarrow{e}_i).$

Definition: The distance of two vertices in a weighted graph

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Observation The shortest \overrightarrow{uv} -walk will be a path. Peter Hajnal Greedy algorithms, University of Szeged, 2023

The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
Initial re	emarks			

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Initial remarks

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• We assume the our graph has no loop. We assume that for any two vertices there is at most one edge from u to v. I.e. we assume that \overrightarrow{G} is simple (in directed sense).

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Shortest path, Dijkstra

Huffman coding

Matching problem

The case of graph theoretical distance: unweighted case

Shortest path, Dijkstra

Huffman coding

Matching problem

The case of graph theoretical distance: unweighted case

• We solve a harder problem.

Shortest path, Dijkstra

The case of graph theoretical distance: unweighted case

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The case of graph theoretical distance: unweighted case

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The basic idea Minimal cost spanning tree Shortest path, Dijkstra Huffman coding Matching problem The case of graph theoretical distance: unweighted case

- We solve a harder problem. The input will be (\vec{G}, s) . We determine S, the set of vertices that are reachable from s.
- The set of reachable vertices will be $S = S_0 \dot{\cup} S_1 \dot{\cup} \dots \dot{\cup} S_L$,

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The basic idea Minimal cost spanning tree Shortest path, Dijkstra Huffman coding Matching problem The case of graph theoretical distance: unweighted case

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Shortest path, Dijkstra

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The basic idea Minimal cost spanning tree

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- Each edge between S and $\overline{S} = V(G) S$ are oriented from \overline{S} to S.

Shortest path, Dijkstra

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- *L* denotes the length of longest path starting at *s*.
- Each edge between S and $\overline{S} = V(G) S$ are oriented from \overline{S} to S. This property will be a proof of the fact that the elements of \overline{S} are not reachable from s.

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Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

Breadth first search algorithm

Breadth first search

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Shortest path, Dijkstra

Huffman coding

Matching problem

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Breadth first search algorithm

Breadth first search

(I) // Inicialization // Let
$$S_0 = \{s\}$$
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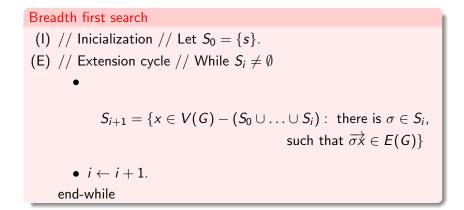
Shortest path, Dijkstra

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Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

The correctness of breadth first search algorithm

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Minimal cost spanning tree

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The correctness of breadth first search algorithm

Theorem

The above algorithm is correct. I.e.

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(i) In the case of $x \in S_i$ the graph theoretical distance of s and x is *i*.

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Shortest path, Dijkstra

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The correctness of breadth first search algorithm

Theorem

The above algorithm is correct. I.e.

- (i) In the case of $x \in S_i$ the graph theoretical distance of s and x is i.
- (ii) If $x \notin S$, then x is not reachable from s.

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See the following modification of the algorithm:

Shortest path, Dijkstra

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Breadth first search tree

See the following modification of the algorithm:

Breadth first search tree (modification) (I*) $F := \emptyset$

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See the following modification of the algorithm:

Breadth first search tree (modification)

 $(\mathsf{I}^*) \ \mathsf{F} := \emptyset$

(E⁺) In cycle (E), when we insert x into S_{i+1} ($i \ge 0$), then the algorithm search for a suitable $\sigma \in S_i$ and find one: $\sigma_x \in S_i$.

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In the graph $G|_S$ the edge set F will be the edge set of a spanning tree \mathcal{F} . (\mathcal{F}, s) is a rooted tree.

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Theorem

In the graph $G|_S$ the edge set F will be the edge set of a spanning tree \mathcal{F} . (\mathcal{F}, s) is a rooted tree.

For each vertex $x \in S$ there is exactly one \overrightarrow{sx} -path in \mathcal{F} . This one of the (graph theoretically) shortest \overrightarrow{sx} -path.

Shortest path, Dijkstra

Huffman coding

Matching problem

Weighted case: The basic idea

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Weighted case: The basic idea

• We also assume that all vertices are reachable from s.

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Weighted case: The basic idea

- We also assume that all vertices are reachable from s.
- Assume that for a vertex set $S \subset V(\overrightarrow{G})$ we have

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Weighted case: The basic idea

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Weighted case: The basic idea

- We also assume that all vertices are reachable from s.
- Assume that for a vertex set $S \subset V(\overrightarrow{G})$ we have
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- One should think about the promised information as a labeling, $c: V(\overrightarrow{G}) \to \mathbb{R} \cup \{\infty\}$, of the vertices.

The basic idea Minimal cost spanning tree Shortest path, Dijkstra

Huffman coding

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Dijkstra's algorithm

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The basic idea

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Correctness of the algorithm

Peter Hajnal Greedy algorithms, University of Szeged, 2023

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Theorem

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The basic idea

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Analysis of the algorithm

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$$\mathcal{O}(|V|^2+|E|).$$

• The above argument followed a naive implementation.

- \overline{S}_{fin} denotes the set of nodes from \overline{S} with finite label.
- Extension step: Delete the node with minimum label from \overline{S}_{fin} . The number of extension steps is at most |V| 1.
- Label updates steps: Some vertex with label " ∞ " enters \overline{S}_{fin} . Some labels in \overline{S}_{fin} will be decreased by a value $\delta(> 0)$. Computation for label updates is needed for at most |E| times.
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• The above argument followed a naive implementation. There are cleverer ways to implement Dijkstra's high level description.

The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
Final re	marks			

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Final remarks

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Final remarks

- Every label has a corresponding edge, that is responsible for its value.
- Changing the value of the label/updating: changing the "responsible" node too.

• If we keep track of these edges responsible for the actual value we will obtain a rooted, directed spanning tree of the original graph (see the computation of breadth first search tree). This tree maintains/contains for each vertex a shortest path leading to that vertex.

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Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

Break



Shortest path, Dijkstra

Huffman coding

Matching problem

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Coding texts

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Coding texts

Definition of Text

Let Σ be a finite alphabet. The elements of Σ are called characters.

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An algorithm/function $c:\Sigma^\star\to\{0,1\}^\star$

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Definition of Text

Let Σ be a finite alphabet. The elements of Σ are called characters. A *text* is a finite sequence of characters($\in \Sigma^*$).

The length of a text is the number of characters in the text.

Coding texts

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An algorithm/function c: \Sigma^{\star} \rightarrow \{0,1\}^{\star}
```

decoding algorithm $d: \{0,1\}^* \to \Sigma^*$.

Character based coding: $c_0 : \Sigma \to \{0,1\}^*$ coding of characters. The code of a text is obtained by "putting together" the codes of its characters.

Fixed-length codes, Example: ASCII (1972) (source: wiki)

b ₇ b ₆ b ₅						° ° °	° ° ,	° _{' o}	° ,	۱ _{0 0}	' o ₁	' _{' 0}	' ' '
Bits	b₄ ↓	b₃ ↓	^b ₂ ↓	▶ ₁	Column Row	0	I	2	3	4	5	6	7
	0	0	0	0	0	NUL	DLE	SP	0	@	Р	`	р
	0	0	0	1	1	SOH	DCI	!	1	Α	Q	a	q
	0	0	1	0	2	STX	DC2		2	В	R	b	r
	0	0	Ι	1	3	ETX	DC3	#	3	С	S	с	s
	0	Т	0	0	4	EOT	DC4	\$	4	D	Т	d	t
	0	1	0	Ι	5	ENQ	NAK	%	5	Ε	υ	e	u
	0	1	Τ	0	6	ACK	SYN	8	6	F	V	f	v
	0	1	1	1	7	BEL	ETB	'	7	G	W	g	w
	1	0	0	0	8	BS	CAN	(8	н	x	h	x
	Ι	0	0	I	9	HT	EM)	9	I	Y	i	У
	1	0	1	0	10	LF	SUB	*	:	J	Z	j	z
	1	0	I	Ι	н	VT	ESC	+	;	к	1	k	
	1	1	0	0	12	FF	FS	,	<	L	1	1	
	1	1	0	Ι	13	CR	GS	_	=	м]	m	}
	Ι	Ι	1	0	14	SO	RS	•	>	N	^	n	~
	1	1	I	Ι	15	SI	US	/	?	0	_	0	DEL
									4		7 🕨 🔹	⇒ < E	▶ ―――――

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Shortest path, Dijkstra

Huffman coding

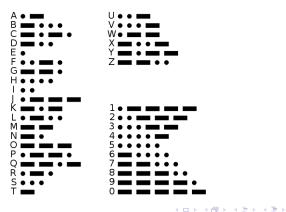
Matching problem

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Variable-length codes, Example: Morse code (1837–44) (source:wiki)

International Morse Code

- 1. The length of a dot is one unit.
- 2. A dash is three units.
- 3. The space between parts of the same letter is one unit.
- 4. The space between letters is three units.
- 5. The space between words is seven units.



Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

Variable-length codes without comma: Prefix codes

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Huffman coding

Matching problem

Variable-length codes without comma: Prefix codes

Definition

Rooted binary plane tree.

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Shortest path, Dijkstra

Huffman coding

Matching problem

Variable-length codes without comma: Prefix codes

Definition

Rooted binary plane tree. Leaf of a rooted binary plane tree.

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Shortest path, Dijkstra

Huffman coding

Matching problem

Variable-length codes without comma: Prefix codes

Definition

Rooted binary plane tree. Leaf of a rooted binary plane tree.

Definition: Prefix tree for Σ

Let (T, r) be a rooted binary plane tree. Let L be the set of leaves of (T, r). pause (T, r, ℓ) is a prefix tree for Σ , iff $\ell : \Sigma \to L$ is a bijection.

The coding of the characters based on a prefix tree

$$k(\in \Sigma)\mapsto ext{labels}$$
 of the $r ext{-}\ell(k)$ path in T

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Shortest path, Dijkstra

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The fundamental question

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Shortest path, Dijkstra

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Matching problem

The fundamental question

Problem

Given Σ alphabet and a text τ (\rightarrow probability distribution over Σ / frequency table($\in \mathbb{N}^{\Sigma}$)).

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Shortest path, Dijkstra

Huffman coding

The fundamental question

Problem

Given Σ alphabet and a text τ (\rightarrow probability distribution over Σ / frequency table($\in \mathbb{N}^{\Sigma}$)). Find a prefix tree over Σ , that minimize the lenth of the code of τ .

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Minimal cost spanning tree

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Huffman's algorithm: Basic idea

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• We consider the the characters as a one-node prefix trees.

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- The natural idea: choose the two trees with lowest frequencies.

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// During the algorithm we always have a set of prefix trees with f-values: \mathcal{T} .

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Huffman's algorithm (1951)

(INITIALIZATION) We construct a rooted binary plane tree for each character. Each tree has an f-value, the frequency of the corresponding character.

// During the algorithm we always have a set of prefix trees with f-values: \mathcal{T} .

(CHOICE) (until $|\mathcal{T}| > 1$) Take the two trees with the lowest frequencies: T_1 , T_2 .

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Shortest path, Dijkstra

Huffman coding

Matching problem

The correctness of Huffman's algorithm: the main idea

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Shortest path, Dijkstra

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Matching problem

The correctness of Huffman's algorithm: the main idea

Huffman's theorem

The output of the Huffman's algorithm is a prefix tree the produces the shortest prefix coding of the input text.

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Let β' be the code of τ based on T'. Let β be the code of τ based on T.

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Observation

The length of β' is at most the length of β .

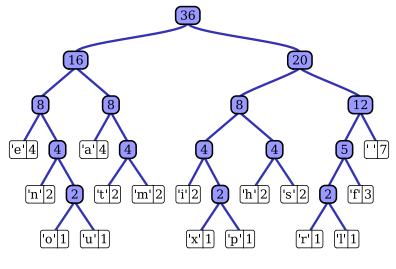
Minimal cost spanning tree

Shortest path, Dijkstra

Huffman coding

Matching problem

"this is an example of a huffman tree"



Source: wikipedia 🚕 🤊

Break



Huffman coding

Matching problem

The greedy algorithm for matchings

Greedy algorithm for finding large matchings

Peter Hajnal Greedy algorithms, University of Szeged, 2023

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Huffman coding

Matching problem

The greedy algorithm for matchings

Greedy algorithm for finding large matchings (Initialization) Start with a matching *M*.

Peter Hajnal Greedy algorithms, University of Szeged, 2023

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The greedy algorithm for matchings

Greedy algorithm for finding large matchings

(Initialization) Start with a matching M. WHILE there is an edge $e \in E(G) - M$ such that $M \cup \{e\}$ is a matching too, do (Greedy extension step) $M \leftarrow M \cup \{e\}$.

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- There is no fear of infinite loop.
- We know that int the case of halting the output can't be augmented by extensions (by adding further edges to the output).

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Huffman coding

Matching problem

Example

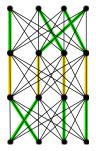


Figure: Our graph has four disjoint levels of equal sized vertex sets (let n be the size of the levels, in our example n = 4). Between two adjacent levels all possible edges are present and there are no further edges. It is possible that the greedy algorithm first chooses the yellow edges, matching the two middle levels. Then it halts. The green edges form a perfect matching.

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The basic idea	Minimal cost spanning tree	Shortest path, Dijkstra	Huffman coding	Matching problem
Analysis				

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Theorem

Let $\nu_{\rm greedy}(G)$ denote the size of the output of the greedy algorithm. Then

$$\frac{\nu(G)}{2} \le \nu_{\mathsf{greedy}}(G) \le \nu(G).$$

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The second inequality is obvious since our algorithm computes a matching.

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Analysis: the proof

- \bullet Let $M_{\rm greedy}$ denote the output matching of the greedy algorithm.
- $L = V(M_{\text{greedy}})$ is the set of matched vertices.
- It is obvious that L is a covering vertex set, and $|L| = 2\nu_{\text{greedy}}(G)$.
- The size of L gives an upper bound on the size of an arbitrary matching, hence $\nu(G) \leq |L| = 2\nu_{\text{greedy}}(G)$.

This is the end!

Thank you for your attention!

Peter Hajnal Greedy algorithms, University of Szeged, 2023

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