Series Parallel Posets with Nonfinitely Generated Clones

LÁSZLÓ ZÁDORI
JATE, Bolyai Intézet, Aradi vértanúk tere 1, H-6720 Szeged, Hungary

Communicated by B. A. Davey

(Received: 10 April 1993; accepted: 30 July 1993)

Abstract. In 1986 Tardos proved that for the poset $1 + 2 + 2 + 2 + 1$, the clone of monotone operations is nonfinitely generated. We generalize his result in the class of series parallel posets. We characterize the posets with nonfinitely generated clones in this class by the property that they have a retract of the form either $1 + 2 + 2 + 2 + 1$, $2 + 2 + 1$, or $1 + 2 + 2$.


Key words. Clone, nuf, series parallel poset, colored poset, zigzag.

Introduction

Throughout the paper the same boldface and slanted capital letters are used to denote a poset and its baseset, respectively.

For a poset $P$ the clone of all monotone operations is called the clone of $P$. For $n \geq 3$ an $n$-ary operation $f$ is a near unanimity function, briefly a nuf, if it obeys the identity

$$f(x, \ldots, x, y, x, \ldots, x) = x$$

for every $1 \leq i \leq n$. If $n = 3$, then $f$ is called a majority function. One of the most intriguing problems on finite bounded posets is the following, mentioned in [1], [4] and [5]. Is it true that if the clone of a finite bounded poset is finitely generated then it contains a near unanimity function? Since the property of admitting a nuf is preserved under retraction, if the answer were yes we would have the following claim. If the clone of a finite bounded poset $P$ is finitely generated, then the clone of every retract of $P$ is also finitely generated. By the result of Tardos in [6], this would imply that for every finite bounded poset having $1 + 2 + 2 + 2 + 1$ as a retract, the clone of monotone operations is nonfinitely generated. But to prove even the claim in the preceding sentence seems very difficult.

---

Research partially supported by Hungarian National Foundation for Research under grant no. 1903.
A 4-element subset in a poset $P$ is called an $n$-subset if it induces a 4-element fence in $P$. A poset is called series parallel if it is finite and does not contain an $n$-subset. Series parallel posets are characterized in [7] as the ones that are obtained from one element posets by using linear sum and disjoint union in a finite number of steps. In this paper we give a full description of the series parallel posets with nonfinitely generated clones. In Theorem 3.8 these posets are characterized by the property that they have one of $1+2+2+2+1$, $2+2+1$, and $1+2+2$ as a retract. We also show that every bounded series parallel poset having a finitely generated clone admits a 5-ary nuf. So for bounded series parallel posets the above mentioned question has an affirmative answer.

1. Zigzags

We need some definitions and claims from [8]. We say that a poset $Q$ is contained in a poset $P$ if $Q \subseteq P$. If $Q$ is contained in $P$ we write $Q \subseteq P$. We say that $Q$ is properly contained in $P$ if $Q \subseteq P$ and $Q \neq P$.

Let $P$ and $Q$ be posets. A pair $(Q, f)$ is called a $P$-colored poset if $f$ is a partially defined map from $Q$ to $P$. If $f$ can be extended to a fully defined monotone map $f': Q \to P$ on $Q$ then $f$ and $(Q, f)$ are called $P$-extendible; otherwise $f$ and $(Q, f)$ are called $P$-nonextendible. A $P$-zigzag is a $P$-nonextendible, $P$-colored poset $(H, f)$, where $H$ is finite and for every $K$, properly contained in $H$, the $P$-colored poset $(K, f|_K)$ is $P$-extendible. Roughly speaking, the $P$-zigzags are the finite, minimal, nonextendible $P$-colored posets. When it is clear what $P$ is we omit it in the terms such as $P$-zigzags, $P$-extendible, etc.

For two $P$-colored posets $(H, f)$ and $(Q, g)$ we say that $(H, f)$ is contained in $(Q, g)$ and we write $(H, f) \subseteq (Q, g)$ if $H \subseteq Q$ and $f = g|_H$. Observe that every finite nonextendible colored poset contains a zigzag. For a $P$-colored poset $(H, f)$ we define the set $C(H, f) = \{h \in H : f(h) \text{ exists}\}$ and $N(H, f) = H \setminus C(H, f)$. We call the elements of $C(H, f)$ colored elements and the elements of $N(H, f)$ noncolored elements. If $C(H, f)$ and $N(H, f)$ are nonempty we define the posets $C(H, f)$ and $N(H, f)$ by the restriction of $\leq_H$ to $C(H, f)$ and $N(H, f)$, respectively. A colored poset $(H, f)$ is called monotone if $f$ is monotone on its domain. Observe that a zigzag $(H, f)$ is monotone if and only if $|N(H, f)| \geq 1$.

We frequently use the following claims about zigzags in the next section without explicitly mentioning them. The reader is encouraged to supply the easy proofs to them.

**CLAIM 1.1.** Let $(H, f)$ be a $P$-zigzag. The subgraph spanned by $N(H, f)$ in the covering graph of $H$ is connected.

**CLAIM 1.2.** Let $(H, f)$ be a monotone zigzag and let $a \in C(H, f)$. For every $b \in H$ which satisfies $a \prec b$ or $b \prec a$ we have $b \in N(H, f)$. 
CLAIM 1.3. Let \((H, f)\) be a \(P\)-zigzag and let \(a\) and \(b\) be two different elements of \(C(H, f)\). Let us suppose that there exists \(c \in N(H, f)\) with \(c \prec a, b\). Then \(f(a)\) and \(f(b)\) are incomparable.

An element \(a \in Q\) is called irreducible if there is a unique \(b \in Q\) with \(a \prec b\) or \(b \prec a\).

CLAIM 1.4. Let \((H, f)\) be a \(P\)-zigzag. Then \(N(H, f)\) has no irreducible element of \(H\).

CLAIM 1.5. If \(P = Q + 1\), then every maximal element of a \(P\)-zigzag \((H, f)\) is colored.

CLAIM 1.6. For a \(P\)-zigzag \((H, f)\) the following hold.

1. If \(|N(H, f)| = 0\), then \((H, f)\) is a two element nonmonotone zigzag.
2. If \(|N(H, f)| = 1\), then \((H, f)\) is the first colored poset shown in the figure, where \(m\) and \(n\) are nonnegative integers such that \(m + n > 0\) and \(m, n, m \neq 1\). Moreover, \(f\) is an order isomorphism on its domain.
3. If \(|N(H, f)| = 2\), then \((H, f)\) is the second colored poset shown in the figure, where \(k, l \geq 1\) and \(m\) and \(n\) are nonnegative integers for which \(m, n \neq 1\). Moreover, any comparable pair in \(\text{Range}(f)\) not shown in the figure is of the form \(d_i < c_j, c_j < b_s\) or \(a_i < d_i\) for some \(1 \leq i \leq k, 1 \leq j \leq l, 1 \leq s \leq m\) and \(1 \leq t \leq n\).

Tardos’s remark in [6] describes via zigzags the finite posets admitting an \(n\)-ary nuf.

REMARK 1.7. Let \(n \geq 3\). A finite poset \(P\) admits an \(n\)-ary near unanimity function if and only if in every \(P\)-zigzag the number of colored elements is at most \(n - 1\).

2. Series Parallel Posets with Noninfinitely Generated Clones

To get instances of series parallel posets possessing clones with no finite generating sets we begin with some claims that serve as the basis of Tardos’s proof in [6].

For an algebra \(A\) let \(\text{Clo}(A)\) be the set of finitary term operations on \(A\) and let \(\text{Clo}(A)\) be the set of \(m\)-ary term operations on \(A\). Recall that for any algebra \(A\) the set of \(n\)-ary relations on \(A\) admitting \(\text{Clo}(A)\) concides with the set of subalgebras of
the \( n \)-th power of \( A \). A set of sets is called an \( m \)-cover if any \( m \)-element subset of their union is a subset of one of them. Note that for all \( n \leq m \) any \( m \)-cover is also an \( n \)-cover.

Let \( A \) be a finite algebra. We characterize the relations admitting \( \text{Clo}_m(A) \) with the help of relations admitting \( \text{Clo}(A) \).

**LEMMA 2.1.** An \( n \)-ary relation \( R \) admits \( \text{Clo}_m(A) \) if and only if \( R \) is of the form \( \bigcup_{i=1}^{s} R_i \) for some \( s \), where each \( R_i \), \( 1 \leq i \leq s \), admits \( \text{Clo}(A) \) and the \( R_i \) form an \( m \)-cover.

**Proof.** Let \( R = \{r_1, \ldots, r_t\} \) an \( n \)-ary relation admitting \( \text{Clo}_m(A) \). Then we have

\[
R = \bigcup_{\{i_1, \ldots, i_m\} \subseteq \{1, \ldots, t\}} \{ f(r_{i_1}, \ldots, r_{i_m}) : f \in \text{Clo}_m(A) \}.
\]

Each set following the cup symbol is subalgebra of \( A^n \). So

\[
R = \bigcup_{i=1}^{s} R_i,
\]

where each \( R_i \) is a subalgebra of \( A^n \) and, clearly, every \( m \)-element subset of \( R \) is contained in some \( R_i \).

Now, let \( R \) be a set of \( n \)-tuples such that \( R = \bigcup_{i=1}^{s} R_i \), where each \( R_i \) admits \( \text{Clo}(A) \) and every \( m \)-element subset of \( R \) is contained in some \( R_i \). When applying any \( f \in \text{Clo}_m(A) \) to \( R \) only \( m \) elements of \( R \) are involved but these elements are in \( R_i \) for some \( i \) and \( f \) preserves \( R_i \). So \( f \) preserves \( R \) as well. Thus \( R \) admits \( \text{Clo}_m(A) \).

\( \square \)

Lemma 2.1 has the following corollary.

**COROLLARY 2.2.** \( \text{Clo}(A) \) is nonfinitely generated if and only if for every \( m \) there exists an \( m \)-cover \( \{R_1, \ldots, R_{s_m}\} \) of \( n \)-ary relations admitting \( \text{Clo}(A) \) such that

\[
R = \bigcup_{i=1}^{s_m} R_i
\]

does not admit \( \text{Clo}(A) \), i.e., \( R \) is smaller than the join of the \( R_i \), \( 1 \leq i \leq s_m \), in the subalgebra lattice of \( A^n \).

Now we are ready to prove the main claim of the paper. The proof is based on the one contained in [6].

**THEOREM 2.3.** If a series parallel poset \( P \) has at least one of \( 2+2+1, 1+2+2 \) and \( 1+2+2+2+1 \) as a retract then the clone of \( P \) is nonfinitely generated.
Proof. Let \( \mathbf{P} \) be a series parallel poset and let \( C \) be its clone. First let us suppose that \( 1+2+2+2+1 \) is a retract of \( \mathbf{P} \). We are going to show that \( C \) is nonfinitely generated. Let \( A \) be the algebra given on the universe \( P \) whose fundamental operations are all the monotone operations on \( \mathbf{P} \). We define some subalgebras of \( A^{m+5} \) with the help of the poset \( Q_m, m \geq 3 \), in the figure below.

Let \( R_0 \subseteq P^{m+5} \) be the set of those \( (m+5) \)-tuples of the form

\[
(a, a', b, b', c_1, \ldots, c_m, c')
\]

for which all the partial functions \( f_i, 1 \leq i \leq m \), given by

\[
 f_i(X) = a, \quad f_i(X') = a', \quad f_i(Y) = b, \quad f_i(Y') = b', \quad f_i(Z') = c', \\
 f_i(Z_0) = c_i, \ldots, f_i(Z_{m-1}) = c_{(m-1)+i},
\]

where the indices are considered modulo \( m \), are \( \mathbf{P} \)-extendible when restricted to both \( Q_m \setminus \{Y\} \) and \( Q_m \setminus \{Y'\} \). Let \( R_i \) contain those elements of \( R_0 \), where \( f_i \) is \( \mathbf{P} \)-extendible to \( Q_m \). We note that in the case of \( P = 1+2+2+2+1 \) Tardos has the same definition in [6].

It is obvious that each \( R_i, 0 \leq i \leq m \), is a subalgebra of \( A^{m+5} \). We show that the \( R_i, 1 \leq i \leq m \), form an \([ (m-1)/2 \)]-cover. Let \( R = \bigcup_{i=1}^{m} R_i \). For an arbitrary \( u \in R \) we define \( \mathcal{S}_u = \{l: u \in R_l\} \). First we prove that \( |\mathcal{S}_u| \geq m-2 \). We assume that there is an \( i \) such that \( u \not\in R_i \) and \( u \in R_{i+1} \) otherwise there is nothing to prove. Observe that \( b' > c' \) is impossible otherwise \( u \in R_0 \) implies \( u \in R_i \). Suppose that \( b' \) and \( c' \) are incomparable. By leaving out \( W_{2m-4} \) from the colored poset \( (Q_m, f_i) \), because of \( u \in R_0 \), the remaining colored poset is \( \mathbf{P} \)-extendible via a map \( f: Q_m \setminus \{W_{2m-4}\} \to P \). Now, \( f(W_{2m-5}), c', f(W_{2m-3}) \) and \( b' \) form an \( n \)-subset in \( \mathbf{P} \) since \( u \not\in R_i \). But this contradicts the fact that \( \mathbf{P} \) is series parallel. So we have \( b' < c' \) and similarly \( b' < c_{m-2+i} \). Let us color \( W_{2m-4} \) by \( b' \) in \((Q_m, f_i)\).
The so obtained colored poset is nonextendible since \( u \not\in R_i \). By leaving out \( W_{2m-6} \) from this colored poset, again, because of \( u \in R_0 \), the remaining colored poset is \( P \)-extendible. By repeating the preceding argument we get \( b' < c_{m-3+i} \). Similarly, by induction, we get \( b' < c_{j+i} \) for all \( 1 \leq j \leq m-2 \). By symmetry \( b < c' \) and \( b < c_{j+i} \) for all \( 1 \leq j \leq m-2 \).

Let \( M_j = \{ p \in P : a, a' \leq p \leq c', c_{j+i+1} \} \), where \( 1 \leq j \leq m-2 \). Let \( M \) be the subposet of \( P \) induced by the set \[
\bigcup_{j=1}^{m-2} M_j \cup \{ b, b' \}.
\]

Since \( u \in R_{i+1} \) and \( b \) and \( b' \) are connected by a path in \( M \). Because \( u \not\in R_i \) and \( M \) is series parallel there exists a \( d \in M \) with \( b, b' < d \leq c_{i+m-1}, c' \).

Now, in both cases when either \( u \in R_{i-1} \) or not, by the preceding two paragraphs we get \( b, b' \leq c_i \). But then each \((Q_m, f_{j+i})\), \( 1 \leq j \leq m-2 \), is extendible by coloring \( W_k \) by \( b \) if \( k < 2(m-1) - 2j \), \( W_{2(m-1)-2j} \) by \( d \) and \( W_k \) by \( b' \) if \( k > 2(m-1) - 2j \). Thus we have \( |S_u| \geq m - 2 \).

Since any \( [(m-1)/2] \) elements of \( R \) avoid at most \( 2[(m-1)/2] \leq m - 1 \) of the \( R_i \), where \( 1 \leq i \leq m \), there is at least one \( R_i \) which contains these \( [(m-1)/2] \) elements. So the \( R_i \) form an \( [(m-1)/2] \)-cover. By Corollary 2.2, it remains to show that \( R \) is not preserved by a monotone operation on \( P \). Let us select a subposet \( T \) of \( P \) that is isomorphic to \( 1 + 2 + 2 + 2 + 1 \) such that there exists an idempotent monotone map \( r \) from \( P \) onto \( T \). By Lemma 5 in [6] there is a monotone function \( g : T^{2m} \rightarrow T \) that does not preserve \( \bigcup_{i=1}^{m} r(R_i) \). But then \( g(r(x_1), \ldots, r(x_{2m})) \) does not preserve \( R \).

For the case when \( 2 + 2 + 1 \) is a retract of \( P \), we note that the proofs and claims in Tardos's paper [6] can simply be carried over to the poset \( 1 + 2 + 2 \) and so can the above argument to \( P \) by leaving out the two minimal elements when defining \( Q_m \). The case when \( 1 + 2 + 2 \) is a retract of \( P \) is the dual of the preceding one. \( \square \)

3. Series Parallel Posets with Finitely Generated Clones

We will prove that the posets described in Theorem 2.3 are the only ones with clones not having finite generating sets among series parallel posets. First we show, if none of \( 2 + 2, 1 + 2 + 2, 2 + 2 + 1 \) and \( 1 + 2 + 2 + 2 + 1 \) is a retract of a series parallel poset \( P \) then the clone of \( P \) contains a 5-ary nuf.

**THEOREM 3.1.** Let \( P \) be a connected series parallel poset. If \( P \) has neither of \( 2 + 2, 1 + 2 + 2 + 2 + 1, 2 + 2 + 1, \) and \( 1 + 2 + 2 \) as a retract then every monotone \( P \)-zigzag is one of the form

\[
\begin{align*}
&\text{\begin{array}{c}
\begin{array}{c}
\text{a}_1 \\
\text{b}_1 \\
\end{array}
\end{array}} \\
&\begin{array}{c}
\begin{array}{c}
\text{a}_2 \\
\text{b}_2 \\
\end{array}
\end{array} \\
&\text{,}
\end{align*}
\]

and

\[
\begin{align*}
&\text{\begin{array}{c}
\begin{array}{c}
\text{a}_1 \\
\text{b}_1 \\
\end{array}
\end{array}} \\
&\begin{array}{c}
\begin{array}{c}
\text{a}_2 \\
\text{b}_2 \\
\end{array}
\end{array} \\
\end{align*}
\]
for some appropriate $a_1, a_2, b_1$ and $b_2$ in $P$. In particular, $P$ admits a majority function (when $1 + 2 + 2 + 1$ is not a retract of $P$) or a $5$-ary nuf.

Proof. Let $P$ be a connected series parallel poset. Let us suppose that $(Q, g)$ is a $P$-zigzag with $|N(Q, g)| \geq 2$. We are going to prove that $P$ has a retract of the form $2+2, 1+2+2+2+1, 2+2+1$ or $1+2+2$. Let $h_1$ and $h_2$ be two elements in $N(Q, g)$ such that $h_1$ is covered by $h_2$. By the minimality of $(Q, g)$ there is an extension $g'$ of $g$ to $Q \setminus \{h_1, h_2\}$ such that $(Q, g')$ contains a zigzag $(H, f)$ with noncolored elements $h_1$ and $h_2$.

We show that $(H, f)$ is one of the form

Note, if $h_2$ had no upper covers and $h_1$ had no lower covers in $H$ then $(H, f)$ would be $P$-extendible because $P$ has no $n$-subsets. Hence, if $(H, f)$ is not of the above form then, in $(H, f)$, up to duality, either there exist three upper covers $s, s'$ and $s''$ of $h_2$ colored by $c, c'$ and $c''$, respectively, or there exist two lower covers $t$ and $t'$ of $h_2$ colored by $b, b'$, respectively. In the first case, let us delete $s$ in $(H, f)$. We extend the remaining colored poset coloring $h_1$ by $u$ and $h_2$ by $v$. Similarly, by deleting $s'$ in $(H, f)$ the remaining colored poset is extended coloring $h_1$ by $u'$ and $h_2$ by $v'$. Now, it is easy to check that $v, c''$, $v'$ and $c$ form an $n$-subset in $P$. In the second case, by deleting $t$ in $(H, f)$ the remaining colored poset is extended coloring $h_1$ by $u$ and $h_2$ by $v$. Let $v' \in P$ an element that extends $f$ to $h_2$. Now $b, v', b''$ and $v$ form an $n$-subset in $P$. So $(H, f)$ is of the desired form.

In all three cases for $(H, f)$ we claim that $a, a' \leq b, b'$ and $b, b' \leq c, c'$, whichever applies. We prove this in the first case. For the others the proof is similar. If $b \leq b'$ then by deleting the covering edge $(h_1, h_2)$ in $(H, f)$ the remaining colored poset extends coloring $h_1$ by $u$ and $h_2$ by $v$. But then $u, b', b$ and $v$ form an $n$-subset of $P$. Hence $b$ and $b'$ are incomparable. In this case, by the main argument in Theorem 2.3, $a, a' \leq b \leq c, c'$ holds if and only if $a, a' \leq b' \leq c, c'$ does. So if $a, a' \leq b, b' \leq c, c'$ does not hold then, up to symmetry, $b$ is incomparable to $a$ and $b'$ is incomparable to $c$. Then $b, c, a$ and $b'$ form an $n$-subset in $P$. So we have the claim.

Finally, we show that one of $2+2, 1+2+2+2+1, 2+2+1$, and $1+2+2$ is a retract of $P$. Let $T$ be the subset of $P$ that is obtained from Range($f$) by augmenting it by an element above both $c$ and $c'$ and an element below both $a$ and $a'$, provided there exist such. Let $T$ be the subposet in $P$ induced by $T$. Let $R$ be a maximal subposet of $T$ such that $R$ is isomorphic to one of $2+2, 1+2+2+2+1, 2+2+1$, and $1+2+2$. We claim that $R$ is an idempotent image of $P$. In other words, the colored poset $(P, id_R)$ is $R$-extendible, i.e., $(P, id_R)$ does not contain an $R$-zigzag. This claim is obvious if $R$ is isomorphic to one of $1+2+2+2+1, 2+2+1$ and
1 + 2 + 2 by using the form of R-zigzags described in Tardos [6], the facts that P is series parallel and that (H, f) is not extendible. The only more complicated case, up to duality, comes up when (H, f) is of the form shown here from the middle of the preceding figure

and $T = R = \{b, b', c, c'\}$. Then let $S$ be the set of elements dominating both $b, b'$ in P. So $c, c' \in S$. The subposet S induced by $S$ in P is disconnected and $c, c'$ belong to different components. For otherwise $c$ and $c'$ are connected in S by a path of length two which contradicts the definition of T or the fact that (H, f) is not extendible. Now, let $S'$ be the subposet of P induced by $S' = P \setminus S$. Again, $S'$ is disconnected and $b$ and $b'$ belong to different components of $S'$. For otherwise $b$ and $b'$ are connected in $S'$ by a path of length two which contradicts the definition of $S$ or the fact that (H, f) is not extendible. So one can easily define an idempotent monotone map from P onto R.

Thus, if P has none of $2 + 2, 1 + 2 + 2 + 2 + 1, 2 + 2 + 1$, and $2 + 2 + 1$ as a retract then every P-zigzag has at most one noncolored element. To show that such a zigzag is of the form stated in the claim use the first half of the second paragraph of this proof. The last claim of the theorem follows by Remark 1.7.

We have the following corollary of the previous two theorems.

**COROLLARY 3.2.** Let P be a bounded series parallel poset. The following are equivalent:

1. P admits a 5-ary near unanimity function.
2. P has a finitely generated clone.
3. $1 + 2 + 2 + 2 + 1$ is not a retract of P.

Theorem 3.1 has a generalization as follows.

**COROLLARY 3.3.** Every series parallel poset P that has neither of $2 + 2, 1 + 2 + 2 + 2 + 1, 2 + 2 + 1$ and $1 + 2 + 2$ as a retract admits a majority function (when $1 + 2 + 2 + 1$ is not a retract of P) or a 5-ary nuf.

**Proof.** By Theorem 3.1 we have the claim for the connected components of P. Now, P is obtained as a retract of a product formed by a power of the two element antichain and the components of P. Since the property of admitting an n-ary nuf is inherited for product and retract we have the claim.
It was shown by Demetrovics and Rónyai in [3] that the clone of every crown is finitely generated although it does not contain a nuf. Next we will show that a similar result is true for the series parallel posets having \( 2 + 2 \) and not having any of \( 1 + 2 + 2 + 2 + 1, 2 + 2 + 1, \) and \( 1 + 2 + 2 \) as a retract.

Let \( P_i \) and \( P_i, 1 \leq i \leq n, \) be posets. Let \( Q \) denote the product of \( P_i, 1 \leq i \leq n. \) For \( a \in Q \) let \( a_i \) stand for the \( i \)-th component of \( a \) and let \( a^i \) stand for the \((n-1)\)-tuple obtained from \( a \) by leaving out its \( i \)-th component \( a_i. \) We use the notation \( a[i, p] \) for the \( n \)-tuple whose \( i \)-th component is \( p \) and whose other components coincide with the other components of \( a \), respectively. Let \( Q[i, p] \) denote the set of all the elements of the form \( a[i, p], \) where \( a \in Q. \) For a function \( f: Q \to P \) and \( p \in P_i \) let \( f[i, p] = f\vert_{Q[i, p]} \).

**Lemma 3.4.** Let \( P_i \) and \( P_i, 1 \leq i \leq n, \) be connected posets. Let \( Q \) denote the product of \( P_i, 1 \leq i \leq n. \) Let \( f: Q \to P \) be a monotone function with \( |\text{Range}(f)| \geq 3 \) which depends on at least two of its variables. Then there exist \( a, b, c \in Q \) such that \( a_i = b_i, b^i = c^i \) for some \( i \) and \( f(a), f(b) \) and \( f(c) \) form a three element connected subposet of \( P. \)

**Proof:** Let \( f: Q \to P \) be a monotone function with \( |\text{Range}(f)| \geq 3 \) such that it depends on its \( i \)-th variables, where \( i \neq j. \) Since \( f \) depends on its \( j \)-th variable there is \( p \in P_i \) such that \( |\text{Range}(f[i, p])| \geq 2. \) Since \( f \) depends on its \( i \)-th variable there is \( q \in P_i \) such that \( f[i, p] \neq f[i, q]. \) By using that \( |\text{Range}(f)| \geq 3 \) we choose \( q \in P_i, e, b \in Q[i, p] \) and \( d \in Q[i, q] \) in such a way that \( b^i = d^i \) and \( f \) takes on different values for \( e, b \) and \( d. \) Since \( P_i \) is connected there is a path between \( p \) and \( q \) in \( P. \) Let \( s \) be the closest point to \( p \) on this path such that \( f(b[i, s]) \) is different from both \( f(e) \) and \( f(b). \) Let \( c = b[i, s]. \) If there is a \( t \) on the path between \( p \) and \( s \) satisfying \( f(b[i, t]) = f(e) \) we set \( a = e. \) Then for the so obtained \( a, b \) and \( c \) we get the claim. For otherwise, since the points of \( Q[i, p] \) span a connected subposet of \( Q \) the points \( b \) and \( c \) are connected by a path in this subposet. Let \( a \) be the closest point on this path to \( b \) with the property that \( f(a) \) is different from both \( f(b) \) and \( f(c). \) Again, by taking the so obtained \( a, b \) and \( c \) we get the claim. \( \Box \)

**Lemma 3.5.** Let \( P = A_1 + A_2, \) where \( A_1 \) and \( A_2 \) are two antichains. For every \( 1 \leq i \leq n \) let \( P_i \) be a finite connected poset whose each element is either comparable to all minimal or to all maximal elements. Let \( Q \) denote the product of \( P_i, 1 \leq i \leq n. \) Then for every monotone map \( f: Q \to P \) depending on at least two of its variables either \( |\text{Range}(f) \cap A_1| = 1 \) or \( |\text{Range}(f) \cap A_2| = 1. \)

**Proof:** Let \( f: Q \to P \) be a monotone map depending on at least two of its variables. We assume that \( |\text{Range}(f)| \geq 3, \) otherwise there is nothing to prove. Lemma 3.4 applies. Hence there are \( a, b, c \in Q \) such that \( a_i = b_i \) and \( b^i = c^i \) for some \( i \) and \( f(a), f(b), f(c) \) form a three element connected subposet of \( P. \) Without loss of generality we assume that one of \( f(a), f(b) \) and \( f(c) \) is minimal and the other two are maximal in \( P. \) By contradiction, let us assume that there is a \( d \in Q \) such that \( f(a), f(b), f(c), \) and \( f(d) \) form a 4-element crown in \( P. \)
Now we replace $a_i, b_i, c_i,$ and $d_i$ by new elements in $P^n$ such that the values of $f$ will not change. As a result of this replacement the new $a$, $b$, $c$, and $d$ will satisfy the old properties for $a_i$, $b_i$, $c_i$, and $d_i$, moreover for every $1 \leq j \leq n$ the elements $a_j$, $b_j$, $c_j$, and $d_j$ will form a connected subposet in $P_j$. We replace $d$ by a minimal element of $Q$ below $d$. If $f(a)$ is minimal we replace $c$ by a maximal element of $Q$ above $c$ and then replace $b$ by $c[i, b_i]$. If $f(b)$ is minimal we replace $c$ by $c[i, p]$, where $p$ is maximal in $P_i$ above $c_i$ and we replace $a$ by a maximal element above $a$ in the poset spanned by the elements of $Q[i, a_i]$ in $Q$. If $f(c)$ is minimal we replace $a$ by a maximal element of $Q$ above $a$ and then replace $b$ by $b[i, a_i]$.

Let

$$H = \prod_{j=1}^{n} \{a_j, b_j, c_j, d_j\}.$$ 

Let $H$ be the subposet spanned by the elements of $H$ in $Q$. Then $f|_H : H \to P$ is monotone with a 4-element crown in its range. This is impossible since each factor of $H$ is a connected poset with at most three elements, so it contains an element with distance at most 1 from each extremal element, which property is preserved under taking product and monotone image.

From Lemma 3.5 it follows immediately that the clone of the linear sum of two finite antichains, each of which having at least two elements, is contained in the Slepcev clone on the underlying set. We note that an argument similar to the one given in the last paragraph of the proof of Lemma 3.5 can be used to show for other posets, such as braids of height one defined in [2], that their clones are contained in the Slepcev clone.

Observe that a connected series parallel poset $P$ which does not have any of $1 + 2 + 2 + 2 + 1$, $2 + 2 + 1$, and $1 + 2 + 2$ but does have $2 + 2$ as a retract is of the form $A_1 + A_2$, where each $A_i$ is a disjoint sum of series parallel posets $B_{i,1}, \ldots, B_{i,l_i}$ with $l_i \geq 2$. Each $B_{i,j}$ has neither one of $2 + 2, 1 + 2 + 2 + 1, 2 + 2 + 1, and 1 + 2 + 2$ as a retract and contains a largest element $b_{i,j}$ for $i = 1$ and a smallest one $b_{i,j}$ for $i = 2$. On $P$ we define the monotone idempotent maps $r_{i,j}$ by $r_{i,j}(x) = x$ if $x \in B_{i,j}$ and $r_{i,j} = b_{i,j}$ otherwise.

**Lemma 3.6.** Let $P$ be a connected series parallel poset that has $2 + 2$ but does not have any of $1 + 2 + 2 + 2 + 1, 2 + 2 + 1$, and $1 + 2 + 2$ as a retract. We assume that $P$ is given in the above form. For every $1 \leq i \leq n$ let $P_i$ be a finite connected poset whose each element is either comparable to all minimal or to all maximal elements. Let $Q$ denote the product of $P_i$, $1 \leq i \leq n$. Let $f : Q \to P$ be a monotone map such that its range has a nonempty intersection with at least two of the $B_{i,j}$ for each $i = 1, 2$. Let $f_{i,j} : Q \to P$ be defined $r_{i,j} \circ f$. Then there exists a monotone map
NONFINITELY GENERATED CLONES

$h: \mathbf{P}_t \times \mathbf{P}^{i_1+1} \to \mathbf{P}$ for some $1 \leq t \leq n$ such that

\[
f(x_1, \ldots, x_n) = h(x_t, f_{1,1}(x_1, \ldots, x_n), \ldots, f_{1,i_1}(x_1, \ldots, x_n), f_{2,1}(x_1, \ldots, x_n), \ldots, f_{2,i_2}(x_1, \ldots, x_n)).
\]

Proof: Let $\mathbf{P}$ be a series parallel poset of the above form and let $f: \mathbf{Q} \to \mathbf{P}$ be an arbitrary monotone map. Let $r: \mathbf{P} \to \mathbf{P}$ be an idempotent map defined by $r(p) = b_{i,j}$ for all $p \in B_{i,j}$. Then the map $r \circ f: \mathbf{Q} \to \mathbf{P}$ is a monotone map and its image is the linear sum of two at least two element finite antichains. So, by Lemma 3.5, $r \circ f$ is essentially unary. Hence there exists a $1 \leq t \leq n$ such that for each $q \in P_t$, $\text{Range}(f_{t,q})$ is a subset of some $B_{i,j}$.

We define $h: \mathbf{P}_t \times \mathbf{P}^{i_1+1} \to \mathbf{P}$ as follows. The value of $h$ on

\[(q, p_{1,1}, \ldots, p_{1,i_1}, p_{2,1}, \ldots, p_{2,i_2})\]

is given by $r_{i,j}(p_{i,j})$ that corresponds to the $(i,j)$ for which $\text{Range}(f_{t,q}) \subseteq B_{i,j}$. Now, observe that the so defined $h$ is monotone and satisfies the identity in the claim.

Let $f$ be an $n$-ary operation on $P$. An $l$-ary operation on $P$ is called an $l$-ary polymer of $f$, if it is obtained from $f$ by identifying some variables.

**Lemma 3.7.** Let $C$ be a clone on a finite set $P$. Suppose that there is an $l$-ary $m \in C$ that is near unanimity when restricted to some $R \subseteq P$. Let $n \geq |P|^{l-1}$. Then every $n$-ary operation $f \in C$ with $\text{Range}(f) \subseteq R$ can be built from its $|P|^{l-1}$-ary polymers, $m$ and the projections via composition of functions.

**Proof:** Let $f \in C$ be an operation with arity $n \geq |P|^{l-1}$ such that $\text{Range}(f) \subseteq R$. Observe that for an arbitrary $l-1$-element subset $H$ of $P^n$ there is an $n$-ary operation which interpolates $f$ on $H$ and is obtained by a $|P|^{l-1}$-ary polymer of $f$ substituted in a suitable variable of an $n$-ary projection. By using these operations, $m$ and the projections, $f$ is easily built.

**Theorem 3.8.** Let $\mathbf{P}$ be a series parallel poset. The clone of $\mathbf{P}$ is nonfinitely generated if and only if one of $1+2+2+2+1, 2+2+1,$ and $1+2+2$ is a retract of $\mathbf{P}$.

**Proof:** The if part of the theorem has been shown in Theorem 2.3. Now, we will prove the only if part. Let $\mathbf{P}$ be a series parallel poset having neither of $1+2+2+2+1, 2+2+1,$ and $1+2+2$ as a retract. Suppose that $\mathbf{P}$ has $k$ connected components. Then $\mathbf{P}^n$ has $k^n$ connected components, say, $C_1, \ldots, C_{k^n}$. Let $f: \mathbf{P}^n \to \mathbf{P}$ be a monotone operation. Let $r_i: \mathbf{P}^n \to C_i$ be an onto idempotent monotone map defined by componentwise idempotent maps $s_i: P \to P$, $1 \leq i \leq n$, and let $f_i = f \circ r_i$.
for each $1 \leq i \leq k^n$. First we want to show that $f_i$ is generated by the $|P|^4$-ary operations on $P$. Let the image of $f_i$ be contained in a connected component $C$ of $P$. If the image of $f_i$ does not contain a four element crown that is an idempotent image of $C$ then there exist a subposet $R$ of $C$ with $\text{Range}(f_i) \subseteq R$ and an onto idempotent map $r: P \to R$ such that $R$ is connected, does not have a four element crown as a retract and so, by Theorem 3.1, it admits a 5-ary nuf $m$. Hence by taking $m(r(x_1), r(x_2), r(x_3), r(x_4), r(x_5))$ and applying Lemma 3.7 we get the claim. If the image of $f_i$ contains a four element crown that is an idempotent image of $C$ then Lemma 3.6 applies to the map $f|_{C_i}$. So there exist $t$ and $h$ such that

$$
 f_i(x_1, \ldots, x_n)
 = h\left(s_t(x_1), f_{1,1}(s_1(x_1), \ldots, s_n(x_n)), \ldots, f_{1,l_1}(s_1(x_1), \ldots, s_n(x_n)),
 f_{2,1}(s_1(x_1), \ldots, s_n(x_n)), \ldots, f_{2,l_2}(s_1(x_1), \ldots, s_n(x_n))\right).
$$

The range of $f_{i', j'} \circ r_i$ is contained in $B_{i', j'}$ for all $i'$ and $j'$ hence the preceding argument applies for $f_{i', j'} \circ r_i$. Since $h$ extends to the whole $P^{1+l_1+l_2}$ we have the claim in this case as well.

Finally, we show that $f$ is obtained by using only $|P|^4$-ary monotone operations on $P$. Observe that $f$ is obtained by substituting the operations $f_i(x_1, \ldots, x_n)$ in the $i$-th variable of the $(k^n + n)$-ary operation $v_n$ that projects to its $l$-th variable, if its last $n$ coordinates form an $n$-tuple in $C_i$. But $v_n$ is obtained, via a $(k + 1)$-ary monotone operation $v_1$ that projects to its $j$-th variable if its last coordinate is in the $j$-th component of $P$, in the following way:

$$
 v_n(y_0, \ldots, y_k, x_0, \ldots, x_{n-1})
 = v_1( v_{n-1}(y_0, \ldots, y_k, x_0, \ldots, x_{n-2}), \ldots,
 v_{n-1}(y(k-1)k, x_0, \ldots, x_{n-2}, x_{n-1}).
$$

References