

## POINTWISE PERIODIC SELF-MAPS OF SUBSPACES OF 2-DIMENSIONAL MANIFOLDS

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Received April 8, 1989.

### ABSTRACT

Let  $M$  be a 2-dimensional closed manifold, orientable or non-orientable. The construction of every compact locally connected subspace  $X$  of  $M$  without cut-points is analyzed. It is proved that every orientation-preserving (or reversing, or relatively preserving) pointwise periodic continuous self-map of  $X$  can be extended to a periodic self-homeomorphism of  $M$  (or of a 2-dimensional compact submanifold of  $M$ ). In addition, every orientation-preserving (or reversing, or relatively preserving) pointwise periodic continuous self-map  $f$  of any path-connected subspace of  $M$  is proved to be a periodic self-homeomorphism, the number of the shorter-periodic points of  $f$  is shown to be finite, and generalization of Weaver's conclusion is given.

**Key words:** pointwise periodic self-map, pseudo-open disc, left (right) side of a directed arc, orientation-preserving (reversing) map, lift of a homeomorphism.

Periodic motions in spaces are objects of study that are universally existing and draw a widespread attention. The periodic self-homeomorphism is one of the significant forms in periodic motion. It is well known that every pointwise periodic self-homeomorphism on a connected topological manifold must be periodic<sup>[1,2]</sup>. Kerékjártó pointed out that every periodic self-homeomorphism on the disc is topologically conjugate to a rotation or a reflection<sup>[3,4]</sup>. Weaver<sup>[5]</sup> solved a problem posed by Epstein<sup>[6]</sup>. He proved that a pointwise periodic self-homeomorphism of a compact connected subset of a 2-dimensional orientable manifold is periodic under some stronger conditions, and that the number of its shorter-periodic points is finite. Recently, periodic differentiable homeomorphisms on surfaces and pointwise periodic self-maps of some spaces have been carrying on uninterruptedly<sup>[7-9]</sup>.

In this paper we analyze the orientation-preserving (or reversing, or relatively preserving) pointwise periodic continuous self-maps of any compact, locally connected subspace of a 2-dimensional manifold  $M$  without cut points, and find an internal relation between the above self-maps and the periodic self-homeomorphisms of  $M$  (or of some 2-dimensional submanifold of  $M$ ). In addition, we discuss self-maps of path-connected subspaces of  $M$  and give generalization of Weaver's conclusion.

I. CHARACTERISTICS OF COMPACT, LOCALLY CONNECTED SUBSPACES OF 2 MANIFOLDS  
WITHOUT CUT POINTS

It is well known that every 2-dimensional manifold can be endowed with the differentiable structure and can be imbedded in the Euclidean space  $R^3$  or  $R^4$ . Hence we might as well assume that in this paper  $M$  is a given 2-dimensional orientable or non-orientable smooth closed manifold in  $R^3$  or  $R^4$ . For any  $x, y \in M$ , let  $d(x, y)$  (or  $d_M(x, y)$ ) denote the length of the shortest arc on  $M$  joining  $x$  to  $y$ , and let

$$B_{xr} = \{z \in M : d(z, x) \leq r\}, \quad (\forall r > 0).$$

For any  $Y \subset M$ , we write  $\hat{Y} = Y - \partial Y$ , where  $\partial Y$  denotes the boundary of  $Y$  in  $M$  if  $Y$  is not an arc, and the two end points of  $Y$  if  $Y$  is an arc (or an open arc). A point  $y \in Y$  is called a cut point<sup>[10]</sup> of  $Y$  if the number of connected components of  $Y - \{y\}$  is greater than that of  $Y$ . For any path-connected set  $W$  in  $Y$ , we write

$$G_y(W) = W \cup (\cup \{D : D \text{ is a disc in } Y \text{ and } \partial D \subset W\}).$$

For any arc  $L$  and  $\{x, y\} \subset L$ , we denote by  $[x, y]_L$  (or  $(x, y)_L$ ) the subarc (or the open subarc) of  $L$  with end points  $x$  and  $y$ .

*Definition 1.1.* Let  $U$  be an open set in  $M$ . If  $\bar{U}$  is a disc and  $\partial U = \partial \bar{U}$ , then we call  $U$  an open disc. If there exists a non-injective continuous map  $h$  from the unit disc  $B^2$  in the complex plane onto  $U$  and an  $\varepsilon > 0$  such that

(i)  $h|_{\hat{B}^2}$  is a homeomorphism from  $\hat{B}^2$  onto  $U$ ,

(ii)  $h|_A$  is also a homeomorphism from  $A$  onto  $h(A)$  for each arc  $A$  on  $\partial B^2$  with length not greater than  $\varepsilon$ , then we call  $U$  a pseudo-open disc, and  $h$  a pseudo-disc projection.

**Lemma 1.1.** *Let  $X$  be a subspace of the sphere  $S^2$  containing more than one point. If  $X$  is compact, connected, locally connected and has no cut points, then every connected component of  $S^2 - X$  is an open disc, and for any  $\varepsilon > 0$ , the number of the connected components of  $S^2 - X$  with diameters greater than  $\varepsilon$  is finite.*

*Proof.* We notice that  $X$  has no cut points. By the proposition (2.3) in Chap. 6 of Ref. [10], it is easy to see that the boundary of any connected component  $U$  of  $S^2 - X$  is a circle, and hence  $U$  is an open disc. Furthermore, by Theorem (4.4) in the same chapter mentioned above it follows that  $X$  has some properties analogous to the  $E$ -continuum. Therefore, the number of the connected components of  $S^2 - X$  with diameters greater than  $\varepsilon$  is finite. The proof is finished.

Now we still consider the given smooth closed manifold  $M$  in  $R^3$  or  $R^4$ . Take a positive constant  $\delta_0 = \delta_0(M)$  such that for any  $\varepsilon \in (0, 9\delta_0]$  and  $x \in M$  it holds that: (i)  $B_{x\varepsilon}$  is a disc in  $M$ ; (ii) if  $y$  and  $z \in B_{x\varepsilon}$ , then the shortest arc  $A_{yz}$  in  $M$  joining  $y$  to  $z$  is unique, and  $\hat{A}_{yz} \subset \hat{B}_{x\varepsilon}$ . For any compact set  $X \subset M$ , if every connected component of  $M - X$  is simply connected, then we say that  $X$  is genus-full in  $M$ . For any connected component  $U$  of  $M - X$  and any  $x \in \partial U$ , if  $x$  is a

boundary point of only one (or more than one) connected component of  $U \cap B_{x\delta_0}$ , then we call  $x$  a unilateral (or multilateral) boundary point.

**Theorem 1.1.** *Let  $X$  be a compact, connected, locally connected and genus-full subspace of  $M$  without cut points. Suppose that  $X$  contains more than one points. Then*

- (1) every connected component of  $M - X$  is an open disc or a pseudo-open disc;
- (2) there is at most a finite number of connected components of  $M - X$  which are pseudo-open discs;
- (3) for any  $\varepsilon > 0$ , the number of the connected components of  $M - X$  with diameters greater than  $\varepsilon$  is finite.

*Proof.* (1) Let  $U$  be a connected component of  $M - X$ . Choose a finite number of points  $x_1, \dots, x_n$  from  $\partial U$  such that  $\bigcup_{i=1}^n \mathring{B}_{x_i\delta_0}$  covers  $\partial U$ . For  $i \in Z_n \equiv \{1, \dots, n\}$ , since  $X$  is locally path-connected, the connected components of  $U \cap \mathring{B}_{x_i, 3\delta_0}$  intersecting  $B_{x_i\delta_0}$  are of a finite number. Suppose they are  $U_{i1}, U_{i2}, \dots, U_{im_i}$  ( $m_i \geq 1$ ). Since  $X$  has no cut point, by Lemma 1.1 we know that  $\partial U_{ij}$  is a circle ( $\forall j \in Z_{m_i}$ ), and the connected components (where every component is an open arc) of  $\partial U_{ij} - \partial B_{x_i, 3\delta_0}$  intersecting  $B_{x_i, 2\delta_0}$  are of a finite number, as well. Suppose they are  $L_{ij1}, L_{ij2}, \dots, L_{ij\tau_{ij}}$  ( $\tau_{ij} \geq 1$ ). Obviously,  $\partial U = \bigcup_{i=1}^n \bigcup_{j=1}^{m_i} \bigcup_{k=1}^{\tau_{ij}} L_{ijk}$ . Therefore, if  $U$  has no multilateral boundary point, then  $\partial U$  is a 1-dimensional compact manifold. Since  $U$  is simply connected,  $\partial U$  is connected. Hence  $\partial U = \partial \bar{U}$  is a circle, and  $U$  is an open disc.

Now we consider the case that  $U$  has multilateral boundary points. Let

$$\delta_{ij} = \min\{\delta_0, d(L_{ijk} \cap B_{x_i, 2\delta_0}, L_{ijl} \cap B_{x_i, 2\delta_0}) : 1 \leq k < l \leq \tau_{ij}\},$$

$$\delta_1 = \min\{\delta_{ij}/9 : j \in Z_{m_i}, i \in Z_n\}.$$

Because  $U$  is simply connected, we can choose a disc  $D' \subset U$  such that the distance  $\varepsilon_w \equiv d(w, D')$  from any point  $w$  of  $U$  to  $D'$  is less than  $\delta_1$ . Write  $B_w = B_{w, \varepsilon_w/2}$ . Choose a finite number of points  $w_1, \dots, w_\lambda$  in  $\partial U$  such that  $\{\mathring{B}_{w_1}, \dots, \mathring{B}_{w_\lambda}\}$  covers  $\partial U$ . Let  $V$  be the connected component of  $U - \bigcup_{\alpha=1}^\lambda B_{w_\alpha}$  containing  $D'$ , and  $D = \bar{V}$ .

Then  $D$  is a disc with piecewise smooth boundary, and  $\partial D = \partial V \subset \bigcup_{\alpha=1}^\lambda \partial B_{w_\alpha}$ . Take a subset  $Y_0$  of  $\partial D$  with a finite number of points such that every connected component of  $\partial D \cap \partial B_{w_\alpha}$  contains at least one point of  $Y_0$  if  $\partial D \cap \partial B_{w_\alpha} \neq \emptyset$ . Suppose that the points of  $Y_0$  are  $y_0, y_1, \dots, y_{\mu-1}, y_\mu = y_0$  in the order of some assigned orientation  $\partial D$  of the circle  $\partial D$ . For each  $\beta \in Z_\mu$ , choose  $\alpha(\beta) \in Z_\lambda$  such that  $y_\beta \in \partial B_{w_{\alpha(\beta)}}$ . Let  $A^{(\beta)}$  be the shortest arc on  $M$  joining  $y_\beta$  to  $w_{\alpha(\beta)}$ ,  $z_\beta$  be the nearest point in  $A^{(\beta)} \cap \partial U$  to  $y$ , and  $A_\beta = [y_\beta, z_\beta]_{A^{(\beta)}}$ . Write  $\alpha(0) = \alpha(\mu)$ ,  $z_0 = z_\mu$ , and  $A_0 = A_\mu$ . Obviously,  $A_{1\beta}$

$\dots, \hat{A}_\mu$  are all contained in  $U$ , and they are pairwise disjoint. If  $z_\tau = z_\beta$  for some  $\tau \in Z_\mu - \{\beta\}$ , then we can make a small movement of  $z_\tau$  or  $z_\beta$  such that  $z_\tau \neq z_\beta$  (while  $z_\tau$  and  $z_\beta$  still are points in  $\partial U$ ,  $A_\tau$  and  $A_\beta$  still are the shortest arcs joining  $y_\tau$  with  $z_\tau$  and  $y_\beta$  with  $z_\beta$ , respectively, and  $\hat{A}_\tau \cup \hat{A}_\beta \subset U$ ). Hence we can assume that  $A_1, \dots, A_\mu$  are also pairwise disjoint. For  $\beta \in Z_\mu$ , let  $L_\beta$  be the arc on  $\partial D$  from  $y_{\beta-1}$  to  $y_\beta$  along the orientation  $\partial D$ . Write  $\Gamma_\beta = A_{\beta-1} \cup L_\beta \cup A_\beta$ . Since  $L_\beta$  consists of arcs of at most two circles in  $\{\partial B_{w_\alpha} : \alpha \in Z_\lambda\}$ , the diameter of  $\Gamma_\beta <$  the sum of the diameters of four discs in  $\{B_{w_\alpha} : \alpha \in Z_\lambda\} < 4\delta_1 < \delta_0/2$ . Choose  $i(\beta) \in Z_n$  such that  $z_\beta \in \hat{B}_{x_{i(\beta)}, \delta_0}$ . By the definition of  $\delta_1$  it follows that there exist  $j(\beta) \in Z_{m_{i(\beta)}}$  and  $k(\beta) \in Z_{r_{i(\beta)}, j(\beta)}$  such that the two end points  $z_{\beta-1}$  and  $z_\beta$  of the arc  $\Gamma_\beta$  are in the same connected component  $L_{i(\beta), j(\beta), k(\beta)}$  of  $\partial U_{i(\beta), j(\beta)} \cap \hat{B}_{x_{i(\beta)}, 3\delta_0}$ . Denote by  $Q_\beta$  the subarc of the open arc  $L_{i(\beta), j(\beta), k(\beta)}$  from  $z_{\beta-1}$  to  $z_\beta$ . Then  $\Gamma_\beta \cup Q_\beta$  is a circle in  $\hat{B}_{x_{i(\beta)}, 3\delta_0}$ . Let  $D_{\beta 1}$  be the closed domain in  $B_{x_{i(\beta)}, 3\delta_0}$  surrounded by this circle. Then  $D_{\beta 1}$  is a disc. Choose a point  $v_0 \in \hat{D}$ . Make arcs  $P_0, P_1, \dots, P_{\mu-1}, P_\mu = P_0$  on  $D$  such that  $\partial P_\beta = \{v_0, y_\beta\}, \hat{P}_\beta \subset \hat{D} (\forall \beta \in Z_\mu)$ , and  $\hat{P}_1, \dots, \hat{P}_\mu$  are pairwise disjoint. Evidently,  $P_{\beta-1} \cup L_\beta \cup P_\beta$  is a circle on  $D$ . Suppose the closed domain in  $D$  surrounded by this circle is  $D_{\beta 2}$ . Then  $D_{\beta 2} \cap D_{\beta 1} = L_\beta$ . Let  $D_\beta = D_{\beta 1} \cup D_{\beta 2}$ . Then  $D_\beta$  is also a disc. For any  $b \in Z$ , let

$$\Delta_b = \{re^{i\sqrt{-1}} \in B^2 : r \in [0, 1], t \in [2(b-1)\pi/\mu, 2b\pi/\mu]\},$$

$$Q_b = \Delta_b \cap \Delta_{b+1}, \quad Q'_b = \overline{\partial \Delta_b - Q_{b-1} - Q_b}.$$

Clearly, we can define a continuous map  $h: B^2 \rightarrow \bar{U}$  such that  $h|_{\Delta_\beta}$  is a homeomorphism from  $\Delta_\beta$  to  $D_\beta$ , and

$$h(Q_\beta) = P_\beta \cup A_\beta, \quad h(Q'_\beta) = Q_\beta, \quad \forall \beta \in Z_\mu.$$

It is easy to check that  $h$  satisfies all conditions in Definition 1.1. Hence in this case  $U$  is a pseudo-open disc.

(2) and (3). From Lemma 1.1 we can deduce easily that the number of the connected components of  $M - X$  with diameters greater than any given positive  $\varepsilon$  is finite. In addition, since  $X$  has no cut-points, by Lemma 1.1 we know that every connected component of  $M - X$  with diameter less than  $9\delta_0$  is an open disc. Hence  $M - X$  at most contains a finite number of connected components which are pseudo-open discs. The proof of Theorem 1.1 is complete.

*Remark 1.1.* Let  $X$  be a compact, locally connected subspace of  $M$  without cut point. Even if  $X$  is not connected, we can apply Theorem 1.1 to describe the structural characteristics of every connected component of  $X$ , and thereby know those of  $X$  itself. Moreover, suppose  $X$  is connected but not genus-full. Then we can take a non-nullhomotopic circle  $C$  in a non-simply-connected connected component  $U$  of  $M - X$  and do surgery<sup>[4]</sup> along  $C$ . Thus we can obtain a manifold with smaller genus but still containing  $X$ . Therefore, the two conditions " $X$  is connected and is genus-full in  $M$ " in Theorem 1.1 can be removed in fact (of course, while these two conditions are removed, the conclusions of the theorem need to be revised accordingly).

## II. POINTWISE PERIODIC SELF-MAPS OF COMPACT, LOCALLY CONNECTED SUBSPACES OF 2 MANIFOLDS WITHOUT CUT POINTS

Let the 2-dimensional manifold  $M$ ,  $d = d_M$  and the positive constant  $\delta_0$  be the same as in the previous section. When  $M$  is orientable, we assume that an orientation of  $M$  has been assigned, and that the positive direction of the boundary  $\partial D$  of any disc  $D$  in  $M$  is derived from the orientation of  $D$  by the boundary operation. For the sake of imagery, we say the positive direction of  $\partial D$  defined above to be in a counter clockwise sense.

**Definition 2.1.** Suppose that  $M$  is orientable,  $A$  is an arc on  $M$  with diameter not greater than  $8\delta_0$ ,  $\partial A = \{u_0, v_0\}$ , and  $\mathbf{A}$  is the directed arc formed by  $A$  with a direction (take  $u_0$  as the initial point, and  $v_0$  the terminal point). Let  $y \in M - A$ ,  $d(y, A) = r_0 \leq \delta_0$ , and  $d(y, \partial A) = r_1 > r_0$ . If the condition

$$(i)_r, [x, z]_A \subset \mathring{B}_{y,r}, \text{ for any } \{x, z\} \subset A \cap \partial B_{y,r}$$

holds for  $r = r_0$ , then there is  $r \in (r_0, r_1)$  such that the condition (i)<sub>r</sub> also holds, and we can choose a connected component  $L$  of  $A - \partial B_{y,r}$  such that  $d(y, L) = r_0$ . Suppose  $\partial L = \{u, v\}$ , where  $u \in (u_0, v_0)_A$ . Denote by  $W$  the connected component of  $\mathring{B}_{y,r} - [u, v]_A$  containing the point  $y$ . If the directed arc  $[u, v]_A$  is in a counter clockwise (or clockwise) sense in the circle  $\partial W$ , then we say that the point  $y$  is on the left (or right) side of the directed arc  $\mathbf{A}$ .

We can easily show that, in Definition 2.1, it is independent of the selections of  $r$  and  $L$  whether the point  $y$  is on the left (or right) side. If in the previous definition, the condition

$$(ii) \ r_1 \geq d(u_0, v_0)/4, \text{ and } r_0 \leq \delta \text{ for some } \delta > 0$$

holds also, then we say that  $y$  is on the  $\delta$ -left (or  $\delta$ -right) side of the middle of  $\mathbf{A}$ . Obviously, if  $y$  is on the left (or right) side of  $\mathbf{A}$  and  $d(y, A) \leq \delta$ , then  $y$  is on the  $\delta$ -left (or  $\delta$ -right) side of the middle of some directed subarc of  $\mathbf{A}$ .

**Definition 2.2.** Suppose  $M$  is orientable,  $X \subset M$ , the number of the path connected components of  $X$  is finite, and  $f: X \rightarrow M$  is a continuous injection. We say that  $f$  is orientation-preserving (or reversing) if for any directed arc  $\mathbf{A}$  in  $X$  with diameter not greater than  $8\delta_0$ , there exists a positive number  $\delta = \delta(\mathbf{A})$  such that when a point  $y$  of  $X - A$  is on the  $\delta$ -left side of the middle of  $\mathbf{A}$ ,  $f(y)$  is on the left (or right) side of the directed arc  $f(\mathbf{A})$ .

Obviously, Definition 2.2 is a generalization of the usual definition of orientation-preserving (and reversing) self-homeomorphisms of a 2-dimensional orientable manifold.

**Theorem 2.1.** *Suppose that  $M$  is orientable, and  $X$  is a compact, connected, locally connected and genus-full subspace of  $M$  without cut points. Then a map  $f: X \rightarrow X$  can be extended to an orientation-preserving (or reversing) periodic self-homeomorphism of  $M$  if and only if  $f$  is an orientation-preserving (or reversing) pointwise periodic continuous self-map of  $X$ .*

*Proof.* The necessity of the condition is evident. In the following we consider only the sufficiency.

We see first that the pointwise periodic self-map  $f$  is surely injective and surjective. Moreover,  $X$  is a compact Hausdorff space. Hence  $f$  is a homeomorphism.

If  $X$  contains a circle only, then  $M$  is a sphere. In this case, the theorem holds obviously. Now we assume that  $X$  contains more than one circles. Let the connected components of  $M - X$  with a finite or infinite number be  $W_1, \dots, W_n, U_1, U_2, \dots$ , where  $W_1, \dots, W_n$  are pseudo-open discs, and  $U_1, U_2, \dots$  are open discs. We may consider only the case  $n > 0$ . For any directed arc  $\mathbf{L}$  on  $\partial W_i$ , whose corresponding undirected arc is  $L$ , if there exists an open set  $V$  in  $M$  containing  $\mathring{L}$  such that every point in  $V$  on the left (or right) side of  $\mathbf{L}$  is in  $W_i$ , then we call  $\mathbf{L}$  a counter clockwise (or clockwise) regular (directed) arc on  $\partial W_i$ . Let  $B_i = B^2 \times \{i\}$ . For each  $i \in \mathbb{Z}_n$ , by Theorem 1.1 we can choose a pseudo disc projection  $h_i: B_i \rightarrow \bar{W}_i$  as described in Definition 1.1 and take a positive  $\varepsilon_0$  independent of  $i$  such that

(i) If  $A$  is an arc on  $\partial B_i$  with length not greater than  $\varepsilon_0$ , then  $h_i|_A$  is a homeomorphism from  $A$  onto  $h_i(A) \subset \partial W_i$ . Furthermore, let  $\mathbf{A}$  be the directed arc formed by  $A$  together with the counter clockwise sense of  $\partial B_i$ . Then  $h_i(\mathbf{A})$  is a counter clockwise regular arc on  $\partial W_i$ .

(ii) For any counter clockwise (or clockwise) regular arc  $\mathbf{L}$  on  $\partial W_i$ , there exists a unique counter clockwise (or clockwise) directed arc  $\mathbf{A}$  on  $\partial B_i$  satisfying  $h_i(\mathbf{A}) = \mathbf{L}$ .

We write the directed arc  $\mathbf{A}$  mentioned in the property (ii) by  $\mathbf{A} = h_i^{-1}(\mathbf{L})$ . It should be noticed that the inverse image  $h_i^{-1}(\mathbf{L})$  of the arc  $\mathbf{L}$  corresponding to  $\mathbf{L}$  may contain not only the arc  $A$  corresponding to  $\mathbf{A} = h_i^{-1}(\mathbf{L})$  since  $h_i|_{\partial B_i}$  is not injective. Because  $f$  is orientation-preserving (or reversing), for any counter clockwise regular arc  $\mathbf{L}$  on  $\partial W_i$ ,  $f(\mathbf{L})$  is a counter clockwise (or clockwise) regular arc on the boundary  $\partial W_{f(i)}$  of some pseudo-open disc  $W_{f(i)}$ . Thus the homeomorphism  $f|_{\partial W_i}: \partial W_i \rightarrow \partial W_{f(i)}$  can be lifted to  $\tilde{f}_i: \partial B_i \rightarrow \partial B_{f(i)}$ , where the definition of  $\tilde{f}_i$  is given as follows: For any  $z_0 \in \partial B_i$ , take arbitrarily a counter clockwise directed arc  $\mathbf{A}$  on  $\partial B_i$  with the initial point  $z_0$  and with length not greater than  $\varepsilon_0$ , and let  $\tilde{f}_i(z_0)$  be the initial point of the directed arc  $h_{f(i)}^{-1}f h_i(\mathbf{A})$ . Obviously, if  $\mathbf{A}_1$  is a directed subarc of  $\mathbf{A}$  and the initial point of  $\mathbf{A}_1$  is also  $z_0$ , then  $h_{f(i)}^{-1}f h_i(\mathbf{A}_1)$  and  $h_{f(i)}^{-1}f h_i(\mathbf{A})$  have the same initial point. Hence the definition of  $\tilde{f}_i(x)$  is independent of the length of the taken directed arc  $\mathbf{A}$ . Since  $\tilde{f}_i(\mathbf{A}) (\equiv \{\tilde{f}_i(z): z \in \mathbf{A}\},$  with the initial point  $\tilde{f}_i(z_0)) = h_{f(i)}^{-1}f h_i(\mathbf{A})$ ,  $\tilde{f}_i$  is continuous. By the 1-1 correspondence between the set  $\{\mathbf{L}\}$  of counter clockwise regular arcs on  $\partial W_i$  and the set  $\{f(\mathbf{L})\}$  of counter clockwise (or clockwise) regular arcs on  $\partial W_{f(i)}$ , and by the above properties (i) and (ii), we see that  $\tilde{f}_i$  is both injective and surjective. Hence  $\tilde{f}_i$  is a homeomorphism, satisfying  $h_{f(i)}\tilde{f}_i = fh_i|_{\partial B_i}$ .

Let the extension  $\tilde{F}_i: B_i \rightarrow B_{f(i)}$  of  $\tilde{f}_i$  be defined by

$$\tilde{F}_i(re^{i\sqrt{-1}}) = r \cdot \tilde{f}_i(e^{i\sqrt{-1}}), \quad \forall r \in [0, 1], t \in \mathbb{R},$$

where for simplicity, we denote points of  $B_i$  and  $B_{f(i)}$  by complex numbers in  $B^2$ .

Clearly,  $\tilde{F}_i$  is a homeomorphism. Define an extension  $F_i: \bar{W}_i \rightarrow \bar{W}_{j(i)}$  of  $f|_{\partial W_i} = h_{j(i)} \tilde{f}_i h_i^{-1}$  by  $F_i = h_{j(i)} \tilde{F}_i h_i^{-1}$ . From the properties of pseudo disc projection described in Definition 1.1, we know that  $F_i$  is a homeomorphism.

Let  $B' = \bigcup_{i=1}^n B_i$ ,  $\partial B' = \bigcup_{i=1}^n \partial B_i$ , and  $W' = \bigcup_{i=1}^n \bar{W}_i$ . Define the self-homeomorphisms  $\tilde{\varphi}$ ,  $\tilde{\Phi}$  and  $\Phi$  of  $\partial B'$ ,  $B'$  and  $W'$  by  $\tilde{\varphi}|_{\partial B_i} = \tilde{f}_i$ ,  $\tilde{\Phi}|_{B_i} = \tilde{F}_i$  and  $\Phi|_{\bar{W}_i} = F_i$  ( $\forall i \in Z_n$ ), respectively. Then  $\Phi$  is an extension of  $\varphi \equiv f|_{\left(\bigcup_{i=1}^n \partial W_i\right)}$ . By the pointwise periodicity of  $\varphi$ , it is easy to check that the lift  $\tilde{\varphi}$  of  $\varphi$  is also pointwise periodic, and hence we can further derive that  $\tilde{\varphi}$  is periodic. Thus  $\tilde{\Phi}$  and  $\Phi$  are periodic.

Similarly (in fact it is simpler), let  $U' = U_1 \cup U_2 \cup \dots$ . We can extend  $\phi \equiv f|_{\partial U'}$  to  $\Psi: \bar{U}' \rightarrow \bar{U}'$  and make  $\Psi$  be pointwise periodic. Let  $F: M \rightarrow M$  be  $F|_X = f$ ,  $F|_{W'} = \Phi$  and  $F|_{\bar{U}'} = \Psi$ . Then  $F$  is a pointwise periodic self-homeomorphism of  $M$ . By the Montgomery theorem<sup>[2]</sup> it follows that  $F$  is periodic. This completes the proof of Theorem 2.1.

Now we consider a 2-dimensional non-orientable closed manifold  $M_q$  of genus  $q$  (for any  $q \in Z_+$ ). It is well known that  $M_q$  has a 2-sheeted orientable covering space  $H_{q-1}$  of genus  $q-1$  (see [11, p. 234]). Concretely speaking, if in  $R^3$  we center-symmetrically add an even number of handles ( $2 \cdot [(q-1)/2]$  handles) to the sphere or the torus with the origin  $O$  as the center, then the resulting 2-dimensional orientable closed manifold can be taken as  $H_{q-1}$ . Identify every pair of symmetric points  $x$  and  $-x$  in  $H_{q-1}$ . Then the resulting identification space can be taken as  $M_q$ , and the relevant identification map  $\pi: H_{q-1} \rightarrow M_q$  is a 2-sheeted covering map.

*Definition 2.3.* Let  $\pi: H_{q-1} \rightarrow M_q$  be as stated above,  $X \subset M_q$ ,  $\tilde{X} = \pi^{-1}(X)$ , and let  $f$  be a continuous self-map of  $X$ . If  $f$  can be lifted to an injective continuous self-map  $\tilde{f}$  of  $\tilde{X}$  such that  $\pi \tilde{f} = f \pi|_{\tilde{X}}$  and  $\tilde{f}$  is orientation-preserving, then we say that  $f$  is relatively (to the covering map  $\pi$ ) orientation-preserving (or orientation-relatively-preserving).

In the definition,  $f$  has an orientation-preserving lift  $\tilde{f}$  if and only if  $f$  has an orientation-reversing lift  $\tilde{g}$  ( $\tilde{g}$  and  $\tilde{f}$  can be determined each other by  $\tilde{g}(x) = -\tilde{f}(x)$  ( $\forall x \in \tilde{X}$ )). Hence we need not define the conception "relatively orientation-reversing".

**Theorem 2.2.** *Let  $X$  be a compact, connected, locally connected and genus-full subspace of  $M_q$  without cut points, and  $f$  a continuous self-map of  $X$ . Then  $f$  can be extended to a periodic self-homeomorphism of  $M_q$  if and only if  $f$  is a relatively orientation-preserving pointwise periodic self-map.*

*Proof.* Since any self-homeomorphism of  $M_q$  can be lifted to an orientation-preserving self-homeomorphism of  $H_{q-1}$ , the necessity of the condition in the theorem is clear. Now we prove the sufficiency of the condition. Let  $\tilde{X} = \pi^{-1}(X)$ . From the properties of  $X$  it is easy to check that  $\tilde{X}$  is also a compact, connected,

locally connected and genus-full subspace of  $H_{q-1}$  without cut points. By Definition 2.3,  $f$  can be lifted to an orientation-preserving continuous self-map  $\tilde{f}$  of  $\tilde{X}$ . Evidently,  $\tilde{f}$  has symmetry, that is,  $\tilde{f}(-x) = -\tilde{f}(x)$  for any  $x \in X$ . From the pointwise periodicity of  $f$  it can be deduced that  $\tilde{f}$  is also pointwise periodic. Hence, using Theorem 2.1, we can extend  $\tilde{f}$  to a periodic self-homeomorphism  $\tilde{F}$  of  $H_{q-1}$ , and by the symmetry of  $\tilde{f}$  we can make  $\tilde{F}$  also symmetric. Let  $F = \pi\tilde{F}\pi^{-1}$ . Then  $F$  is an extension of  $f$  and a periodic self-homeomorphism of  $M_q$ . The proof of Theorem 2.2 is complete.

Now we consider a pointwise periodic continuous self-map  $\varphi$  on a space  $Y$  with  $n$  connected components  $Y_1, \dots, Y_n$ . For any  $i \in Z_n$ , choose  $m(i) \in Z_n$  such that  $\varphi^{m(i)}(Y_i) = Y_i$  and  $\varphi^k(Y_i) \neq Y_i$  for  $1 \leq k < m(i)$ . Clearly, in the sense of topological

equivalence,  $\varphi| \left( \bigcup_{k=1}^{m(i)} \varphi^k(Y_i) \right)$  is determined by  $\varphi^{m(i)}|Y_i$ . Thus, by Remark 1.1, from Theorems 2.1 and 2.2 we can immediately derive the following

**Theorem 2.3.** *Let  $Y$  be a compact, locally connected subspace of a 2-dimensional closed manifold  $M$  without cut points, and  $\varphi$  a continuous self-map of  $Y$ . Then the following conditions (1) and (2) are equivalent (in which the word "orientation-preserving (or reversing)" is used in the case that  $M$  is orientable, and the word "orientation-relatively-preserving" is used in the case that  $M$  is non-orientable).*

(1)  $\varphi$  is an orientation-preserving (or reversing or relatively-preserving) pointwise periodic self-map.

(2)  $\varphi$  can be extended to an orientation-preserving (or reversing or relatively-preserving) periodic self-homeomorphism of some 2-dimensional compact submanifold  $M_0$  of  $M$  containing  $Y$  (where  $M_0$  may contain some boundary circles and may contain more than one connected components).

*Remark 2.1.* For clarifying the construction of every orientation-preserving (or reversing or relatively preserving) pointwise periodic continuous self-map of any compact, locally connected subspace  $X$  without cut points of a 2-dimensional closed manifold  $M$ , we only need to make a further research about the corresponding periodic self-homeomorphism of  $M$  (or of a compact submanifold  $M_0$  of  $M$ ) by the above three theorems.

### III. POINTWISE PERIODIC SELF-MAPS OF PATH-CONNECTED SUBSPACES OF 2 MANIFOLDS

In this section we still discuss the pointwise periodic self-map  $f$  on a subspace  $X$  of a 2-dimensional manifold  $M$ . But here we only require  $X$  to be path-connected, and do not require  $X$  to be compact, locally connected and without cut points again. For any  $n \in Z_+$ , in the following we write  $P_n(f) = \{x \in X; \text{the}$

(minimum) period of  $x$  under  $f$  is  $n\}$ , and  $P_n^z(f) = \bigcup_{i=1}^n P_i(f)$ . Let  $P_0(f) = P_0^z(f) = \emptyset$ .

If  $P_n(f) \neq \emptyset$ , then we call any point in  $P_{n-1}^z(f)$  a shorter-periodic point.

**Lemma 3.1.** *Suppose  $M$  is a 2-dimensional, orientable, smooth, closed manifold,  $\delta_0$  is the same as mentioned in Sec. I,  $X$  is a subset of  $M$  with diameter  $\rho$ ,  $f$  is an orientation-preserving pointwise periodic self-map of  $X$ , and  $A$  is an arc in  $X$  with end points  $u$  and  $v$ . If  $u \in P_\lambda(f)$ ,  $\mathring{A} \subset P_m(f)$ , and  $m > \lambda \geq 1$ , then*

(1) *there exists some  $\delta > 0$  such that  $B_{u\delta} \cap P_{m-1}^\sigma(f) = \{u\}$ ;*

(2) *let positive numbers  $\varepsilon_1 < \varepsilon_2 < \varepsilon_3 < \varepsilon_4 < \min\{\delta_0, \rho\}/2$  be taken such that  $d(f^i(x), f^i(y)) < \varepsilon_{\alpha+1}/2$  for any  $\alpha \in \mathbb{Z}_3, i \in \mathbb{Z}_m$  and  $\{x, y\} \subset B_{u\varepsilon_\alpha} \cap X$ . Then  $v \in P_m(f)$  if  $A \subset B_{u\varepsilon_1}$ .*

*Proof.* (1) If  $\lambda > 1$ , then we can consider another map  $f' = f^\lambda$ . Thus we might as well assume that  $\lambda = 1$ . It is easy to show that there exists an arc  $A_0$  in

$$\bigcup_{i=1}^m f^i(A - \{v\})$$

such that  $u \in \partial A_0$  and  $A_1 - \{u\}, \dots, A_m - \{u\}$  are pairwise disjoint, where we write  $A_j = f^j(A_0)$  for any  $j \in \mathbb{Z}$ . Since  $f$  is orientation-preserving, there exist sufficiently small positive numbers  $\varepsilon > \delta$  such that for any  $i, j \in \mathbb{Z}_m, k \in \mathbb{Z}_{m-1}$  and  $y \in B_{u\varepsilon} \cap X$ , when  $y$  is on the left side of the directed arc  $A_i \cup \overrightarrow{A_{i+k}}$  (the direction is from  $A_i$  to  $A_{i+k}$ ),  $f^i(y)$  is a point in  $B_{u\varepsilon}$  on the left side of  $A_{i+j} \cup \overrightarrow{A_{i+k+j}}$ . It follows that  $B_{u\varepsilon} \cap P_{m-1}^\sigma(f) = \{u\}$ .

(2) Suppose  $v \in P_\mu(f)$ . Let  $\tau$  be the least common multiple of  $\lambda$  and  $\mu$ . Then  $\lambda, \mu$  and  $\tau$  are all factors of  $m$ . If  $\mu < m$ , then there are two cases:

a)  $\tau < m$ . In this case we put

$$L = A, m' = m/\tau, g = f^\tau, v' = v.$$

b)  $\tau = m$  and  $\mu < m$ . In this case, let  $L$  be an arc joining  $u$  to  $f^\mu(u)$  in  $A \cup f^\mu(A)$ , and let

$$m' = m/\lambda, g = f^\lambda, v' = f^\mu(u).$$

Then, in both cases a) and b), we have  $m' > 1, \mathring{L} \subset P_{m'}(g), \partial L = \{u, v'\} \subset P_1(g)$ , and  $L \subset B_{u\varepsilon_2}$  (derived by  $A \subset B_{u\varepsilon_1}$ ). Similarly to the proof of the conclusion (1)

mentioned above, we can choose an arc  $A_0$  in  $\bigcup_{i=1}^{m'} g^i(L)$  such that  $\partial A_0 = \{u, v'\}$ , and

$\mathring{A}_1, \dots, \mathring{A}_{m'}$  are pairwise disjoint, where  $A_j \equiv g^j(A_0)$  for any  $j \in \mathbb{Z}$ . Since  $A_1 \cup \dots \cup A_{m'} \subset B_{u\varepsilon_3} \subset B_{u\delta_0}$ , we see that  $D_1 \equiv G_{B_{u\delta_0}}(A_1 \cup \dots \cup A_{m'})$  is a disc, and  $\partial D_1 = A_\beta \cup A_{\beta+\gamma}$  for some  $\beta$  and  $\gamma \in \mathbb{Z}_{m'-1}$ . Since  $g$  is orientation-preserving, there exists  $\alpha \in \mathbb{Z}_{m'} - \{\beta\}$  such that  $D_2 \equiv G_{B_{u\delta_0}}(A_\alpha \cup A_{\alpha+\gamma}) \subset D_1$  and  $g^{\beta-\alpha}(X \cap D_2) = X - \mathring{D}_1$ . Thus, from  $X \cap D_2 \subset B_{u\varepsilon_3}$  it follows that  $X - \mathring{D}_1 \subset B_{u\varepsilon_4}$ , and hence  $X \subset B_{u\varepsilon_4}$ . But this contradicts the given condition  $2\varepsilon_4 < \rho$ . Therefore it cannot hold that  $\mu < m$ . The proof of Lemma 3.1 is complete.

**Lemma 3.2.** *Let  $X \subset M \subset R^3$  and  $f: X \rightarrow X$  be the same as in the above lemma. Suppose that  $A$  is an arc in  $X$ . Then there exists  $m \in \mathbb{Z}_+$  such that  $A \subset P_m^\sigma(f)$  and  $A - P_m(f)$  at most contains a finite number of points.*

*Proof.* Let  $V_n = \dot{A} - \overline{(A - P_n(f))}$  for  $n \geq 0$ . By Lemma 3.1, we know that  $\Gamma_0 - V_n$  is a finite set of points for any connected component  $\Gamma_0$  of  $\bar{V}_n$ .

If  $V_n = \emptyset$  for any  $n \in \mathbb{Z}_+$ , then each  $P_n^\sigma(f)$  does not contain any subarc of  $A$  yet. Therefore, there exists a subarc  $L_n$  of  $A$  in  $A - P_n^\sigma(f)$  such that  $L_1 \supset L_2 \supset L_3 \supset \dots$ , and hence we obtain a nonempty set  $\bigcap_{n=1}^{\infty} L_n$  of non-periodic points of  $f$ . But this contradicts the condition of the lemma. Thus there exists  $m = m_0 \in \mathbb{Z}_+$  such that  $V_m \neq \emptyset$  and  $V_i = \emptyset$  for  $i < m$ .

Let  $A_0$  be a non-trivial connected component of  $\bar{V}_m$ . If  $A_0 \neq A$ , then by the same reason we know that there exists  $m_1 > m_0$  such that  $V_{m_1} \neq \emptyset$ . Let  $A_1$  be a non-trivial connected component of  $\bar{V}_{m_1}$ . By (1) of Lemma 3.1 we see that  $A_1 \cap A_0 = \emptyset$ , and that there exists an open subarc  $K_1$  of  $A$  between  $A_0$  and  $A_1$  satisfying

$$(i) K_1 \cap P_{m_0}^\sigma(f) = \emptyset;$$

$$(ii) K_1 \not\subset P_{m_1}^\sigma(f);$$

(iii)  $K_1$  and  $A_1$  have a common end point.

From the three properties we can deduce again and again that there exist a strictly increasing sequence  $m_1, m_2, m_3, \dots$  of integers, a non-trivial connected component  $A_n$  of each  $\bar{V}_{m_n}$ , and an open subarc  $K_n$  of  $A$  between  $A_{n-1}$  and  $A_n$  such that

$$A_n \cap K_{n-1} \neq \emptyset, K_n \cap P_{m_{n-1}}^\sigma(f) = \emptyset, K_n \not\subset P_{m_n}^\sigma(f),$$

and  $K_n$  and  $A_n$  have exactly a common end point for  $n \geq 2$ . But in this case we also obtain a nonempty set  $\bigcap_{n=1}^{\infty} K_n (= \bigcap_{n=1}^{\infty} \bar{K}_n!)$  of non-periodic points of  $f$ , and it leads to a contradiction. Hence we have  $A_0 = A$ .

Finally, as indicated above, from  $A_0 = A$  it follows at once that  $A - P_m(f) \subset A_0 - V_m$  is a finite set of points. Lemma 3.2 is proved.

**Theorem 3.1.** *Suppose  $M$  is a 2-dimensional orientable manifold with genus  $q \geq 0$ ,  $X$  is a path-connected subspace of  $M$ , and  $f$  is an orientation-preserving pointwise periodic self-map of  $X$ . Then  $f$  is a periodic self-homeomorphism, and all shorter-periodic points are isolated.*

*Proof.* Take an arc  $A \subset X$  and let  $m \in \mathbb{Z}_+$  be as mentioned in Lemma 3.2. For any  $x \in X$ , take another arc  $A'$  in  $X$  containing both  $x$  and a subarc of  $A$ . Applying Lemma 3.2 to  $A'$ , we know that  $A' \subset \overline{P_m(f)}$ . Thus  $f$  is a periodic self-homeomorphism with period  $m$ . In addition, by Lemma 3.1 we see that all shorter-periodic points are isolated. Theorem 3.1 follows.

**Theorem 3.2.** *Let  $X$  be a path-connected subspace of a 2-dimensional orientable manifold  $M$ . Then every orientation-reversing pointwise periodic self-map  $g$  of  $X$  is a periodic self-homeomorphism. Furthermore, suppose that the period of  $g$  is  $2m$ . Then all shorter-periodic points of  $g$  are isolated for even  $m$ , and all shorter-periodic points of  $g$  except those with periods  $m$  are also isolated for odd  $m$ .*

*Proof.* Applying Theorem 3.1 to  $f = g^2$ , we obtain Theorem 3.2 at once.

**Theorem 3.3.** *Let  $X$  be a path-connected subspace of the 2-dimensional non-orientable manifold  $M_q$ . Then every relatively orientation-preserving pointwise periodic self-map  $f$  of  $X$  is periodic. Furthermore, suppose the period of  $f$  is  $m$ . Then all shorter-periodic points of  $f$  are isolated if  $m$  is odd, and all shorter-periodic points of  $f$  except those with periods  $m/2$  are also isolated if  $m$  is even.*

*Proof.* Let  $\pi: H_{q-1} \rightarrow M_q$  and  $\tilde{X} = \pi^{-1}(X)$  be as described in Sec. II. Lift  $f$  to an orientation-preserving continuous map  $\tilde{f}: \tilde{X} \rightarrow \tilde{X}$ . Then  $\tilde{f}$  is also pointwise periodic. By Theorem 3.1,  $\tilde{f}$  is periodic, and shorter-periodic points of  $\tilde{f}$  are isolated. Thus, from  $f = \pi\tilde{f}\pi^{-1}|_X$  we see that Theorem 3.3 holds.

*Remark 3.1.* It will be proved<sup>1)</sup> that, even if  $X$  is not compact, the numbers of the shorter-periodic points mentioned in the above three theorems are all still finite. For example, in Theorem 3.1, we can further obtain that the number of points of  $P_{m-1}^\sigma$  is not greater than  $m + 12q$ .

The author wishes to thank Prof. Liao Shan-tao and Associate Professor Liu Li-yu for supplying the information on the relevant references.

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