Mathematische Zeitschrift © Springer-Verlag 2000

Classification of sequentially weakly continuous JB^* -triples

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Received August 27, 1998; in final form February 10, 1999

Abstract. Let D be the open unit ball of a JB^* -triple A and let Aut(D) be the group of all biholomorphic automorphisms of D. It is shown that every element of Aut(D) is sequentially weakly continuous if and only if every primitive ideal of A is a maximal closed ideal and A^{**} is a type I JBW^* -triple without infinite-spin part. Implications for general structure theory are explored. In particular, it is deduced that every JB^* -triple A contains a smallest ideal J for which the sequentially weakly continuous biholomorphic automorphisms of the open unit ball of A/J are all linear.

Mathematics Subject Classification (1991):46L10

1 Introduction

Kaup and Upmeier [31] (see also [39]) analysed complete holomorphic vector fields on the open unit ball D of a complex Banach space A to uncover a closed subspace V of A and partial Jordan triple product $\{ \} : A \times V \times A \rightarrow$ A which, via the group Aut(D) of all biholomorphic automorphisms of D, they use to show that A is completely determined as a Banach space by the holomorphic structure of D. When A = V, A is said to be a JB^* -triple and, by a deep result of Kaup [27] is characterised by a certain normed ternary Jordan algebraic structure (see Sect. 2). A Gelfand-Naimark type theorem due to Friedman and Russo [15] proves that most JB^* -triples are the J^* algebras (hereafter referred to as JC^* -triples) of Harris [20] which are, for arbitrary Hilbert spaces H and K, the norm closed subspaces of B(H, K) algebraically closed under the triple product

$$\{a \ b \ c\} = \frac{1}{2}(ab^*c + cb^*a)$$
.

The class of JC^* -triples is stable under the action of contractive projections [14] (as is the category of all JB^* -triples by a result of Kaup [28] and Stachó [36]) and contains all Hilbert spaces, spin factors, C^* -algebras and most Jordan C^* -algebras.

Weak continuity and sequential weak continuity of elements in Aut(D)and of certain natural maps on A, where A is a JB^* -triple, have been considered in a number of recent papers [37, 24, 30, 25, 10] variously to investigate weakly continuous 1-parameter subgroups of Aut(D) and to explore structure in A. The JB^* -triple A is said to be *weakly continuous* if all elements in Aut(D) are weakly continuous and is said to be *sequentially weakly continuous* accordingly.

Kaup and Stachó [30], in equivalent terms, prove that A is weakly continuous if and only if all primitive ideals of A are maximal closed ideals and A^{**} is an ℓ^{∞} -sum of Cartan factors none of which are infinite dimensional spin factors. In particular, for a locally compact Hausdorff space $X, C_0(X)$ is weakly continuous precisely when X is scattered.

On the other hand results of Isidro and Kaup [24] show that every abelian JB^* -triple is sequentially weakly continuous.

Our purpose in this paper is to classify sequentially weakly continuous JB^* -triples and to consider implications for general structure theory. We show that the sequentially weakly continuous JB^* -triples A are precisely those for which primitive ideals are maximal closed ideals and A^{**} is a type I JBW^* -triple without infinite spin part. We further show that every JB^* -triple A contains a smallest closed ideal J such that the sequentially weakly continuous biholomorphic automorphisms of the open unit ball of A/J are all linear. It follows that the sequentially weakly continuous C^* -algebras are precisely the liminal C^* -algebras.

We make use of recent results in representation theory [9] and we introduce and exploit as a useful device the class of JB^* -triples whose second dual is a type I JBW^* -triple.

2 Notations and preliminaries

A JB^* -triple is a complex Banach space with a continuous ternary product $(a, b, c) \mapsto \{a \, b \, c\}$ symmetric and bilinear in a and c and conjugate linear in b, for which $\|\{a \, a \, a\}\| = \|a\|^3$ and $x \mapsto \{a \, a \, x\}$ is positive hermitian operator on A, satisfying

$$\{a b\{x y z\}\} = \{\{a b x\}y z\} + \{x y\{a b z\}\} - \{x\{b a y\}z\} .$$

A subspace I of A is said to be an ideal of A if $\{A IA\} + \{A A I\} \subset I$ and to be an *inner ideal* of A if $\{IAI\} \subset I$. The norm closed ideals of A are its M-ideals [4]. A JBW^* -triple is a JB^* -triple with a (unique) predual in case of which the triple product is separately weak * continuous [4], [11], [21].

Recall [40] that JB^* -algebras are the complexifications of JB-algebras. We use [19] as our standard reference for JB-algebras and JB^* -algebras.

A tripotent e of A is an element satisfying $e = \{e e e\}$ the inner ideal of A generated by which, $A(e) = \{eA e\} \ (= \{e\{eA e\}e\})$, is a JB^* -algebra with product $a \circ b = \{a e b\}$ and involution $a^{\#} = \{e a e\}$; it is a JBW^* -algebra if A is a JBW^* -triple. A tripotent e of A is said to be *complete* if $\{e e x\} = 0$ implies x = 0, to be unitary if A(e) = A and to be *minimal* if non-zero and $A(e) = \mathbb{C}e$. Given $\rho \in \partial_e(A_1^*)$ (the extreme boundary of A_1^*) there is a unique minimal tripotent e of A^{**} with $\rho(e) = 1$, called the support $s(\rho)$ of ρ [13].

The JBW^* -triples of premier importance and which are fundamental to representation theory ([9]) are the Cartan factors. Let H and K be Hilbert spaces, let $j : H \to H$ be a conjugation and let \bigcirc denote the complex octonians. The six kinds of Cartan factors are described as follows.

- (1) Rectangular: B(H, K)
- (2) Hermitian: $\{x \in B(H) \mid x = j x^* j\}$
- (3) Symplectic: $\{x \in B(H) \mid x = -j x^* j\}$
- (4) Spin factor: H with dim $(H) \ge 3$ with product $\{x \ y \ z\} = \frac{1}{2} [\langle x, y \rangle z + \langle z, y \rangle x \langle x, j \ z \rangle j \ y]$ and norm given by $||x||^2 = \langle x, x \rangle + (\langle x, x \rangle^2 |\langle x, j \ x \rangle|^2)^{1/2}$
- (5) $B_{1,2}$: The 1 × 2 matrices over \bigcirc
- (6) M_3^8 : The hermitian 3×3 matrices over \bigcirc .

A JB^* -triple is said to be *elementary* if it is isometric (hence isomorphic [27]) to the norm closed ideal, K(M), generated by the minimal tripotents in a Cartan factor M. We have $K(M)^{**} = M$ and that A is elementary if and only if A^{**} is a Cartan factor [7]. By a Cartan factor representation, $\pi : A \to M$, we mean a (triple) homomorphism from a JB^* -triple A into a Cartan factor M such that $\overline{\pi A} = M$, where the bar denotes weak * closure. The weak * closed ideal A_{ρ}^{**} of A^{**} generated by $s(\rho)$ when $\rho \in \partial e(A_1^*)$ is a Cartan factor and the restriction to A of the natural projection (cf [21]) $A^{**} \to A_{\rho}^{**}$ is a Cartan factor representation, $\pi_{\rho} : A \to A_{\rho}^{**}$. The primitive ideals of A (i.e. primitive M-ideals) of A are the kernels of the Cartan factor representations of A. The set of all primitive ideals of A, Prim(A), is regarded as a topological space in the usual way via the hull-kernel topology. See [9] for further details. Max(A) denotes the set of all maximal M-ideals of A.

As indicated above we habitually regard a JB^* -triple A as being contained in A^{**} and we identify the weak * closure, in A^{**} , of a JB^* -subtriple B of A with B^{**} . In this way $B = A \cap B^{**}$ by the Hahn Banach Theorem.

(2.1) Lemma. Let A be a weak * dense JB^* -triple in a Cartan factor M such that $A \cap K(M) \neq \{0\}$ and let I be a norm closed inner ideal of A. Then $K(M) \subset A$ and \overline{I} is a Cartan factor with $K(\overline{I}) = K(M) \cap I$.

Proof. We have $K(M)^{**} = M$. So, with $J = K(M) \cap A$, we have $\overline{J} = J^{**}$ which, being a non-zero weak * closed ideal of $\overline{A} = M$, equals $K(M)^{**}$. Hence, J = K(M).

As \overline{I} is a weak * closed inner ideal of M, it is a Cartan factor. Further, $E = K(M) \cap I$ is an inner ideal of K(M) and hence of M [9, 2.3] and therefore is an inner ideal of \overline{I} . Moreover, $E = \{I \ K(M)I\}$, so that $E^{**} = \overline{E} = \overline{I}$. Thus, E is an inner ideal of E^{**} . Hence, $E = K(E^{**})$ [8, 3.4] as required. \Box

(2.2) Lemma [9, 3.2, 3.3]. Let A be a JB^* -triple with a norm closed inner ideal I.

- (a) For each Cartan factor representation $\pi : A \to M$ there exists $\rho \in \partial e(A_1^*)$ and a surjective isometry $\varphi : M \xrightarrow{\sim} A_{\rho}^{**}$ with $\pi_{\rho} = \varphi \pi$.
- (b) For each $\rho \in \partial e(I_1^*)$, with extension $\bar{\rho} \in \partial e(A_1^*)$, I_{ρ}^{**} is a weak * closed inner ideal of $A_{\bar{\rho}}^{**}$ and $\pi_{\rho} = \pi_{\bar{\rho}}|I$.

If X is a compact Hausdorff space and D is a finite dimensional Cartan factor, the JB^* -triple of all continuous functions from X to D, $A = C(X, D) = C(X) \otimes D$, has only Cartan factor representations onto D as is easily seen, and all Cartan factor representations of each JB^* -subtriple of A are onto Cartan subfactors of D.

(2.3) Lemma. Let A be a JB^* -triple and let D be a finite dimensional Cartan factor. All Cartan factor representations of A are onto D if and only if $A^{**} = C(X) \otimes D$ for some compact hyperstonean space X.

Proof. Let all Cartan factor representations of A be onto D. Then A is isometric to a subtriple of $\ell^{\infty}(I) \otimes D$ for some set I, by [15, Proposition 1], so that as D is finite dimensional A^{**} is realised as a JBW^* -subtriple of $(\ell^{\infty}(I) \otimes D)^{**} = \ell^{\infty}(I)^{**} \otimes D$. Therefore, by [22, (1.7)] together with the above remarks, if A^{**} is not of the form stated it contains a weak * closed ideal $J = C(Z) \otimes E$ where Z is compact hyperstonean and E is a proper subfactor of D. But then, letting $P : A^{**} \to J$ be the natural projection, the non-zero quotient P(A) of A has no Cartan factor representations onto D, a contradiction.

Conversely, if A^{**} is of the form stated, the atomic part of A^{**} is of the form $\ell^{\infty}(I) \otimes D$ and the result follows.

For a locally compact Hausdorff space X with positive Radon measure μ and a JBW^* -triple M we shall denote by $L^{\infty}(X, \mu, M)$ the JB^* -triple of all essentially bounded weak * measurable (with respect to M_*) M-valued functions on X.

3 JB^* -triples with type I second dual

A JBW^* -triple M is defined to be type I if M(e) is a type I JBW^* -algebra for a complete tripotent e of M. The type I JBW^* -triples are characterised (cf. [22]) as the ℓ^{∞} -sums of the form $\sum {}^{\oplus}L^{\infty}(X_{\alpha}, \mu_{\alpha}, C_{\alpha})$ where each C_{α} is a Cartan factor. A general classification of JBW^* -triples is given in [23]. We are interested in JB^* -triples A for which A^{**} is a type I JBW^* triple and, as a helpful medium for subsequent investigation of sequential weak continuity we are led to introduce the analogues of postliminal C^* and JB^* -algebras ([12, Sect. 4], [30, Sect. 6], [5,6]).

Let A be a JB^* -triple. We define A to be

- (a) *liminal* if $\pi(A) = K(M)$, for each Cartan factor representation $\pi : A \to M$;
- (b) postliminal if K(M) ⊂ π(A), for each Cartan factor representation π : A → M.

By (2.1) the condition $K(M) \subset \pi(A)$ in (b) is the same as $\pi(A) \cap K(M) \neq \{0\}$. Equivalent formulations of (a) and (b) are that A is liminal or postliminal, respectively, if A/P is elementary or contains a non-zero elementary ideal (for $A \neq \{0\}$) for each $P \in Prim(A)$. We note that conditions (a) and (b) are inherited by JB^* -triple quotients and that a JB^* -algebra is liminal or postliminal, respectively, if and only if it is liminal or postliminal as a JB^* -triple.

Given an element x in a JB^* -triple A we let A(x) denote the norm closed inner ideal of A generated by x. If A is a JB^* -subtriple of JBW^* -triple M, the weak * closure $\overline{A(x)} = \overline{A}(e) \subset M(e)$ for some tripotent e of \overline{A} such that A(x) is a JB^* -subalgebra of the JBW^* -algebra M(e) with $x \in A(x)_+$ (details of this and the following statement can be found in [9]). Moreover, the JB^* -algebra structure of A(x) is independent of M in the following sense. Let B be a JB^* -subtriple of a JBW^* -triple N and let $\pi : A \to B$ be a triple homomorphism with $y = \pi(x)$. Then $\pi : A(x) \to B(y)$ is a * homomorphism of JB^* -algebras and is a surjective * isomorphism $\frac{if \pi}{A(x)}, B(y)$ need not be isomorphic).

Recall that A is *abelian* if and only if A satisfies $\{xy\{abc\}\} = \{\{xya\}bc\}$ and that this is the same as A being isometric to a subtriple of an abelian C^* -algebra [29, (6.2)]. An element x in a JB^* -triple A is defined to be *abelian* if A(x) is abelian. When realised as a JB^* -algebra this is equivalent to A(x) being an abelian C^* -algebra. A JB^* -triple A is said to be *antiliminal* if it contains no non-zero abelian elements.

(3.1) Lemma. Let A be a JB^* -triple. Then

(a) A is postliminal if and only if A(x) is postliminal for each x in A.

(b) A is liminal if and only if A(x) is liminal for each x in A.

Proof. (a) Let A be postliminal, $x \in A$ and let $\rho \in \partial e(I_1^*)$ where I = A(x), and let $\tau \in \partial e(A_1^*)$ extend ρ . By (2.2), the Cartan factor representation $\pi_{\tau} : A \to A_{\tau}^{**} = M$ extends the Cartan factor representation $\pi_{\rho} : I \to I_{\rho}^{**} = N$ and N is a weak * closed inner ideal of M. By (2.1) we have $\pi_{\rho}(I) \cap K(M) = K(N)$, whence I is postliminal.

Conversely, let $\pi : A \to M$ be a Cartan factor representation and let $x \in A$ with $\pi(x) \neq 0$. Then $\pi : A(x) \to \overline{M(\pi(x))} = N$ is a Cartan factor representation. So if A(x) is postliminal, $K(N) \subset \pi(A(x))$ so that $\pi(A) \cap K(M) \neq \{0\}$.

(b) This is similar.

(3.2) Proposition. Let A be a JB*-subtriple of a JB*-triple B.
(a) If B is postliminal then A is postliminal.
(b) If B is liminal then A is liminal.

Proof. (a) Given x in A there is a tripotent e of $A^{**} \subset B^{**}$ such that $A(x)^{**} = A^{**}(e)$ is a JBW^* -subalgebra of the JBW^* -algebra $B(x)^{**} = B^{**}(e)$. In particular, A(x) is a JB^* -subalgebra of B(x). Hence, as (a) is true for JB^* -algebras [5], it follows from (3.1) that it holds for JB^* -triples too.

(b) This is similar.

(3.3) **Theorem.** The following are equivalent for a JB^* -triple A.

- (a) A is postliminal
- (b) Each non-zero quotient of A contains a non-zero abelian element.
- (c) A^{**} is a type I JBW^{*}-triple.

Proof.

(a) \Rightarrow (b): Let A be postliminal with a non-zero element x. By (3.1) A(x) is a postliminal JB^* -algebra and therefore by [5] contains a non-zero abelian element $y \in A(x)$. The norm closed inner ideal of A(x) generated by y is A(y) and so y is an abelian element of A. As condition (a) passes to quotients (b) follows.

(b) \Rightarrow (c): Assume (b) and let J be a non-zero weak * closed ideal of A^{**} . The restriction, $\varphi : A \rightarrow J$ of the natural projection $P : A^{**} \rightarrow J$ is a triple homomorphism with $\overline{\varphi(A)} = J$. By assumption $\varphi(A)$ contains

a non-zero abelian element x. Therefore, $\overline{\varphi(A)(x)} = J(e)$ where e is an abelian tripotent of J. Hence, A^{**} is a type I JBW^* -triple by [21, (4.13)]. (c) \Rightarrow (a): Let A^{**} be a type I JBW^* -triple and let x be in A. The weak * closed inner ideal $A(x)^{**}$ of A^{**} is type I as a JBW^* -triple and hence as a JBW^* -algebra. Therefore, by [5, Theorem 5.6], A(x) is a postliminal JB^* - algebra and we have that A is postliminal by (3.1).

(3.4) Lemma. Let x be an abelian element in a JB^* -triple A. The norm closed ideal J of A generated by x is liminal.

Proof. We may suppose that $x \neq 0$. Let $\pi : J \to M$ be a Cartan factor representation. Let e be the tripotent of M with $\overline{M(\pi(x))} = M(e)$. Then M(e) is an abelian JBW^* -algebra factor and so $M(e) = \mathbb{C}e \subset K(M)$. As K(M) is the norm closed ideal of M generated by $e, \pi(J)$ is contained in K(M) and so must be equal to it by (2.1). \Box

It is easy to see that the largest limit ideal of a JB^* -triple A is the set of all elements x in A for which $\pi(x) \in K(M)$ for every Cartan factor representation $\pi : A \to M$. By (3.4), the largest limit ideal is zero if and only if A is antiliminal.

A composition series in a JB^* -triple A is an increasing family $\{I_{\lambda} | 0 \le \lambda \le \alpha\}$ of norm closed ideals indexed by a segment $[0, \alpha]$ of the ordinals such that $I_0 = \{0\}$, $I_{\alpha} = A$ and for each limit ordinal λI_{λ} is the norm closure of $\bigcup \{J_{\mu} | \mu < \lambda\}$. Using the above a standard argument (cf. [12, 4.3.3–4.3.6]) gives the following.

(3.5) **Proposition.** Let A be a JB^* -triple. Then A

(a) is postliminal if and only if A has a composition series $\{I_{\lambda} | 0 \le \lambda \le \alpha\}$ such that $I_{\lambda+1}/I_{\lambda}$ is liminal for each $\lambda < \alpha$;

(b) has a largest postliminal ideal J, and J is the smallest norm closed ideal I of A for which A/I is antiliminal.

4 Spin structure

By [24, (3.8)] infinite dimensional spin factors form an obstruction to the sequential weak continuity of biholomorphic automorphisms on the open unit ball. Knowledge of spin structure in JB^* -triples is therefore desirable. Below in (4.4) we show that spin factors intrude into antiliminal JB^* -triples, an observation that follows from a real version, (4.3), of [33, (6.7.4)]. We remark that if the latter is a little more than is strictly needed, the exposition benefits from transparency of transfer from the complex realm (as elucidated in [33, Sect. 6.6, Sect. 6.7]) to the real. By a real C^* - algebra we understand a norm closed real * subalgebra of a (complex) C^* -algebra.

Given a real C^* -algebra direct limit, G, of a unital system of * homomorphisms $\pi_n : R_n \to R_{n+1}$, where each R_n is isomorphic to $M_{k_n}(\mathbb{R})$ where

 $k_n \geq 2$ there is, by [16, Proposition 17.2] a sequence (m(n)) in $\mathbb{N} \setminus \{1\}$ such that, with $m(n)! = m(1) \dots m(n)$, G is isomorphic (as a real C*-algebra) to the direct limit of the unital system $\varphi_n : M_{m(n)!}(\mathbb{R}) \to M_{m(n+1)!}(\mathbb{R})$ of standard maps. By analogy with the complex case let the latter be called a *real Glimm algebra* of rank (m(n)). The notion of a *quasi-matrix system* of rank (m(n)) is defined in [33, p.215].

(4.1) **Proposition.** If R is a real C^* -algebra containing a quasi-matrix system of rank (m(n)), R contains a real C^* -subalgebra with a quotient isomorphic to a real Glimm algebra of rank (m(n)).

Proof. Using the fact that R_{sa} is a *JC*-algebra, this is obtained as in [33, 6.6.5].

(4.2) Proposition. Let R be a real C^* -subalgebra of B(H), let e be a finite dimensional projection in \overline{R} (weak * closure) and let x be in $e \overline{R} e$. Then there exists y in R with ||y|| = ||x|| and ye = x. If x is self-adjoint or positive y can be chosen self-adjoint or positive accordingly.

Proof. The complexification $\overline{R} \oplus i\overline{R} = W$ is a von Neumann algebra and $R \oplus iR = A$ is weak * dense in W. Hence, by [33, 2.7.5], ||a|| = ||x|| with ae = x for some a in A. We have a = y + iz where $y, z \in R$, giving x = ae = ye + ize so that x = ye and $||x|| \le ||y|| \le ||a|| = ||x||$. The final part of the statement also follows from [33, 2.7.5] because $y = y^*$ if $a = a^*$ and $y \ge 0$ if $a \ge 0$.

Let R be a real C^* -algebra, $A = R \oplus iR$ and let $\pi : A \to B(H)$ be an irreducible * representation. By the proof of [1, Theorem 3.1] $\overline{\pi R}$ is realised as $B(H_0)$ where H_0 is a real, complex or quaternionic Hilbert space derived from H. For x in R, $\pi(x)$ is a compact operator on H_0 in this realisation if and only if $\pi(x)$ is compact on H.

Suppose that $x \in R_+$ with ||x|| = 1 such that $\pi(x)$ is not compact and that $y \in R_+$ with ||y|| = 1 and xy = x. For each $m \in \mathbb{N}$ the eigen 1-space of $\pi(x)$ in H_0 contains an orthonormal sequence h_1, \ldots, h_m . Therefore, by (4.2), there exists a in R_+ and u_1, \ldots, u_m of norm 1 in R such that

$$\pi(a)h_n = nh_n$$
, $n = 1, ..., m$; $\pi(u_n)h_1 = h_n$, $n = 2, ..., m$.

By these remarks together with (4.1), just as in (6.7.1) and the opening seven lines of (6.7.2) of [33], we have the following real analogue of [33, (6.7.4)].

(4.3) **Proposition.** If G is a real Glimm algebra (of any prescribed rank) and R is a real C^* -algebra such that $R \oplus iR$ is an antiliminal C^* -algebra then R contains a real C^* -subalgebra with a quotient isomorphic to G. \Box

Identify a *JC*-algebra *A* with its image in it universal enveloping C^* algebra $C^*(A)$ and let φ be the canonical involutory * antiautomorphism of $C^*(A)$ (pointwise fixing A) [19, Sect. 7]. Then $R^*(A) = \{a \in C^*(A) | \varphi(a) = a*\}$ is the universal enveloping real C^* -algebra of A. We have $R^*(A) \cap iR^*(A) = \{0\}, R^*(A) \oplus iR^*(A) = C^*(A)$ and each self adjoint Jordan homomorphism of A into a real C^* -algebra extends to a real * homomorphism on $R^*(A)$. Further, just as $C^*(\cdot)$ is, $R^*(\cdot)$ is a functor preserving direct limits.

For $2 \leq n < \infty$, let $U_n = \mathbb{R} 1 \oplus H_n$ be the real spin factor where H_n is the real Hilbert space of dimension n and let $\operatorname{Cliff}(H_n)$ be the real $\operatorname{Clifford}$ algebra of H_n , with respect to the Hilbert form on H_n , considered as a real * algebra with respect to its main involution (cf. [26, p. 75]). By the universal property of the (self-adjoint) Clifford representation $H_n \hookrightarrow \operatorname{Cliff}(H_n)$ we obtain that $R^*(U_n)$ is isomorphic to $\operatorname{Cliff}(H_n)$. In particular, by the middle column of the table in [2, p. 11], we see that $R^*(U_{2+8n}) \simeq M_{2^{4n+1}}(\mathbb{R})$ for all $n \geq 0$. As the infinite dimensional separable real spin factor U_∞ is the norm closure of unital inclusions $U_n \hookrightarrow U_{n+1}$, $R^*(U_\infty)$ is the real C^* -algebra direct limit of the induced unital system $\pi_n : R^*(U_n) \to R^*(U_{n+1})$. Telescoping modulo eight we see that $R^*(U_\infty)$ is a real Glimm algebra. We have the following consequence.

(4.4) **Theorem.** Let A be an antiliminal JB^* -triple. Then A contains a JB^* -subtriple with a JB^* -triple quotient containing an infinite dimensional spin factor as a JB^* -subtriple.

Proof. Let x be a non-zero element in A. Then A(x) is an antiliminal JC^* algebra and so contains a non-zero norm closed ideal J with no spin factor representations so that with $B = J_{sa}$ we have $B = \{b \in C^*(B)_{sa} | \varphi(b) = b\}$ where φ is the canonical * antiautomorphism of $C^*(B)$. (cf [5, Lemma 4.3], [18, Theorem 2.2, Lemma 4.2]). If I is the largest liminal ideal of $C^*(B)$ we have $B \cap I = \{0\}$ [6] so that $I = \{0\}$ by [18, Lemma 4.3] because we must have $\varphi(I) = I$. Therefore, $C^*(B) = R^*(B) \oplus iR^*(B)$ is antiliminal and by (4.3) together with above remarks there is a real C^* -algebra $R \subset R^*(B)$ with a quotient isomorphic to $R^*(U_\infty)$. Now $R_{sa} \oplus iR_{sa}$ is a JC^* - subalgebra of A(x) with a quotient containing the complex spin factor $U_\infty \oplus iU_\infty$ as a JC^* -subalgebra.

We next investigate spin structure in the second dual. Let V_{α} , where $\alpha \geq 2$, denote the complex spin factor of dimension $\alpha + 1$ if α is finite and of dimension α if α is an infinite cardinal. By [21, 22], a JBW^* -triple $M = M_{sp} \oplus N$ where M_{sp} is an ℓ^{∞} -sum $\sum^{\oplus} M_{\alpha}$ where $M_{\alpha} = L^{\infty}(X_{\alpha}, \mu_{\alpha}, V_{\alpha})$ and where N has no weak * closed ideals of this form. We refer to M_{sp} as the *spin part* of M. By the *infinite spin part* of M we understand the ℓ^{∞} -sum of those M_{α} 's where α is infinite. We note that M_{sp} is a JW^* -algebra and that all of its Cartan factor representations are onto spin factors. It is easy to see that if a JB^* -triple A has an infinite dimensional spin factor representation then A^{**} has non-zero infinite spin part. The converse seems

to require delicate arguments. (It is not immediately clear that A^{**} has weak

* *continuous* homomorphisms onto an infinite dimensional spin factor when A^{**} has non-zero infinite spin part). We shall need:

(4.5) **Proposition.** Let A be a JB^* -triple. Then Prim(A) is a Baire space.

Proof. In order to obtain a contradiction assume that Prim(A) contains a non-empty meagre open subset U. Choose

$$P \in U$$
 and $x \in A \setminus P$.

By [9, Proposition 3.3]

$$V = \{P \in \operatorname{Prim}(A) \mid A(x) \not\subset P\}$$

is an open neighbourhood of P and is homeomorphic to Prim(A(x)). As A(x) is a JB^* -algebra Prim(A(x)) and hence, V, is a Baire space by [17, Corollary 4.2]. Therefore, being meagre and open in $V, U \cap V$ must be empty. But P lies in $U \cap V$ and we have the required contradiction. \Box

(4.6) Lemma. Let A be a JB^* -triple. Then A has a spin factor representation if and only if A^{**} has non-zero spin part.

Proof. A spin factor representation $\pi : A \to V$ extends to a weak * continuous homomorphism from A^{**} onto V so that V is isomorphic to a weak * closed ideal of A^{**} .

Conversely, let $P : A^{**} \to M$ be the projection onto the non-zero spin part M of A^{**} and consider the weak * dense JB^* -subtriple of M, B = P(A). Suppose that B has no spin factor representations and let $x \in B$. Then for each spin factor representation $\pi : M \to V$ we have $\pi(B) = \mathbb{C} \oplus \mathbb{C}$ or a Hilbert space so that $\pi(B(x))$ is abelian. As M has a faithful family of spin factor representations we have that B(x) is abelian, as therefore is M(x). Choose, as we may, a unitary tripotent e of M. Now choose a net (x_{λ}) in the unit ball of B such $x_{\lambda} \to e$ in the strong * topology on M (see [3, Definition 3.1, Corollary 3.3]). Given y = u, v, a, b or c in M put $y_{\lambda} = \{x_{\lambda} y x_{\lambda}\}$ and $y_1 = \{e y e\}$. Since each x_{λ} is abelian in Mand the triple product is jointly strong * continuous on bounded nets [35, Theorem] upon taking limits we see that

$$\{u_1 v_1 \{a_1 b_1 c_1\}\} = \{\{u_1 v_1 a_1\} b_1 c_1\} .$$

It follows that M(e) is abelian, a contradiction. So A has a spin factor representation because B does.

(4.7) **Theorem.** Let A be a JB*-triple. Then A has an infinite dimensional spin factor representation if and only if A^{**} has non-zero infinite spin part.

Proof. Necessity being clear we prove sufficiency. Assume that all spin factor representations of A are finite dimensional. It follows from [9, Theorem 5.2]

that there are norm closed ideals $I \,\subset J$ of A such that I and A/J have no spin factor representations and all Cartan factor representations of J/I (if any) are of rank 2. As $A^{**} = I^{**} \oplus (J/I)^{**} \oplus (A/J)^{**}$, via (4.6) we may suppose that A = J/I. In which case, by [9, Theorem 5.9], we have norm closed ideals $J_1 \subset J_2 \subset J_3 \subset J_4 \subset J_5$ in A such that all Cartan factor representations of $J_1, J_3/J_2, J_4/J_3$ and A/J_5 are, respectively, onto V_{α} 's where $\alpha > 5, V_5, V_4$ and $V_2; J_2/J_1$ has no spin factor representations and, using [9, Lemma 5.6], $B = J_5/J_4$ contains a norm closed ideal J with no spin factor representations such that all Cartan factor representations of B/Jare onto V_3 . By (2.3) together with (4.6), it follows that the infinite spin part of A^{**} resides in J_1^{**} so that we may assume $A = J_1$. But then [9, Theorem 5.9] gives that

$$F : \operatorname{Prim}(A) \to \mathbb{N}$$
, where $f(P) = n$ if $A/P = V_n$,

is lower semicontinuous. As Prim(A) is a Baire space, by (4.5), f is continuous at some point $Q \in Prim(A)$. Hence, with m = f(Q), $f^{-1}(\{m\})$ contains an open neighbourhood of Q, which gives a norm closed ideal Jof A for which all Cartan factor representations are onto V_m . Passing to A/J and proceeding, by transfinite induction we obtain a composition series $\{J_{\lambda} | 0 \le \lambda \le \alpha\}$ of A such that for each $\lambda < \alpha$, $J_{\lambda+1}/J_{\lambda}$ has only Cartan factor representations onto a fixed spin factor $V_{n_{\lambda}}$, where $n_{\lambda} < \infty$. Now,

$$A^{**} = \sum_{\lambda < \alpha}^{\oplus} \left(J_{\lambda+1} / J_{\lambda} \right)^{**} \quad (\ell^{\infty} - \operatorname{sum}) ,$$

which by (2.3) implies that A^{**} has zero infinite spin part.

We conclude this section with remarks on JBW^* -triples. A spin system $(s_i)_{i \in I}$ of order α in a JC^* -algebra is a family of anticommuting symmetries (that is $s_i^2 = 1$ for all i and $s_i s_j + s_j s_i = 0$ wherever $i \neq j$) with card $(I) = \alpha$. The Banach space generated by such and 1 is V_{α} .

(4.8) Remarks

(a) If $A = \sum_{\alpha \in S}^{\oplus} A_{\alpha}$, where $A_{\alpha} = L^{\infty}(X_{\alpha}, \mu_{\alpha}, V_{\alpha}) \neq \{0\}$ and S is a set of distinct cardinals with least member α_0 each A_{α} contains a spin system of order α_0 and hence, summing over S, so does A. Therefore, for any spin factor representation $\pi : A \to V_{\beta}$ we have $\beta \geq \alpha_0$. It follows that if S is infinite and $\pi(A_{\alpha}) = \{0\}$ for all $\alpha \in S$, then β is infinite and hence that, with $J = (\Sigma A_{\alpha})_0$ ($c_0 -$ sum), all Cartan factor representations of A/Jare onto infinite dimensional spin factors.

(b) Let A be a JBW^* -triple without spin part and let e be a complete tripotent of A. The JBW^* -algebra A(e) has no spin part by the results of

[22] and therefore has no spin factor representation by the structure theory in [19, Sect. 5.3], for example. By (4.9) (for which we shall have further use) below it follows that A has no spin factor representations.

(4.9) Lemma. Let $\pi : A \to B$ be a surjective triple homomorphism where A is a JBW^* -triple and B is a JB^* -triple containing a tripotent f. Then $\pi(e) = f$ for some tripotent e in A. If f is complete then e can be chosen to be complete.

Proof. Choose x in A with $\pi(x) = f$, let p be the tripotent of A with $\overline{A(x)} = A(p)$ and consider the * Jordan homomorphism $\pi : A(p) \to B(q)$ where $q = \pi(p)$. We have that $x \in A(p)_+$, f is a projection in B(q) and that if W is the abelian von Neumann subalgebra of A(p) generated by x we have a * homomorphism $\pi : W \to C$ onto an abelian C^* -subalgebra of B(q). Now the usual Borel functional calculus gives a projection e of W with $\pi(e) = f$.

If f is complete, choose a complete tripotent e_1 of A such that e is a projection in the JBW^* -algebra $A(e_1)$ [21, (3.12)]. We have $\{e \ e(e_1 - e)\} = 0$ so that $\{f \ f \ (\pi(e_1) - f)\} = 0$ and hence $\pi(e_1) = f$.

5 Sequential weak continuity

Given a subset S of a JB*-triple A a function $f : S \to A$ is said to be sequentially weakly continuous if whenever a sequence $x_n \to x$ weakly in S we have $f(x_n) \to f(x)$ weakly.

Let D denote the open unit ball of A. The class of bijections $f : D \to D$ for which f and f^{-1} are Frechet differentiable is the real Banach Lie group [39], Aut(D), of biholomorphic automorphisms of D. As shown in [37] (see also [10]) given $a \in A$, the one-parameter subgroup of Aut(D), exp $t X_a$, where the vector field $X_a \equiv (a - \{xax\}) \frac{\partial}{\partial x}$, consists of weakly (sequentially weakly) continuous automorphisms if and only if the quadratic map on A, $x \mapsto \{xax\}$, is weakly (sequentially weakly) continuous. The structure of A when every $g \in Aut(D)$ is weakly continuous is completely solved in [30].

Let $Aut_{\sigma}(D)$ denote the sequentially weakly continuous members of the group Aut(D) of biholomorphic automorphisms of the open unit unit ball D of A. Denote by $\sigma(A)$ the set of elements a of A for which the quadratic map $x \mapsto \{x \ a \ x\}$ is sequentially weakly continuous. By [24, (2.6)] $\sigma(A)$ is a norm closed ideal of A and by [10, page 517] $Aut_{\sigma}(D)$ is a subgroup of Aut(D) with $Aut_{\sigma}(D) = Aut(D)$ if and only if $\sigma(A) = A$ and, moreover, $Aut_{\sigma}(D)$ is the group of restrictions to D of the linear isometries of A if and only if $\sigma(A) = \{0\}$.

A is defined to be sequentially weakly continuous if $Aut_{\sigma}(D) = Aut(D)$ or, equivalently, if $\sigma(A) = A$. (5.1) Lemma (Isidro-Kaup [24]). Let M be a Cartan factor. (a) $\sigma(M) = K(M)$ if M is not an infinite dimensional spin factor (b) $\sigma(M) = \{0\}$ if M is an infinite dimensional spin factor.

(5.2) Lemma. Let A be a JB^* -algebra, J a norm closed ideal of A and let (a_n) be a sequence in A such that $a_n + J \to 0$ weakly (in A/J). There is a sequence (b_n) in A such that $b_n \to 0$ weakly and $b_n - a_n \in J$ for all n.

Proof. Passing to the JB^* -subalgebra of A generated by the a_n we may suppose that A is separable in which case J has a sequential increasing approximate identity so that $x_n \to e$ strongly where e is the central projection in A^{**} with $J^{**} = A^{**} \circ e$ [19, 4.4.15]. Put $b_n = a_n \circ (1-x_n)$ for each n. Then $b_n - a_n \in J$ for each n and for any positive linear functional ρ of J we have, via the Cauchy-Schwarz inequality, $\rho(b_n) = \rho(a_n \circ ((1-x_n) \circ e)) \to 0$. Hence, $b_n \to 0$ weakly.

(5.3) Lemma. Let A be a JB^* -algebra such that $x_n^2 \to 0$ weakly whenever (x_n) is a sequence in A such that $x_n \to 0$ weakly. Then all Cartan factor representations of A are finite dimensional.

Proof. The condition is inherited by JB^* -subalgebras and, by (5.2), by all quotients too. Hence, if A has an antiliminal quotient the condition is satisfied by the infinite separable spin factor V_{∞} by (4.4) implying that $\sigma(V_{\infty}) = V_{\infty}$ in contradiction to (5.1). Therefore, A is postliminal. Via (5.2), passing to a primitive quotient of A we may suppose that

$$K(M) \subset A \subset M$$
,

where M is a type I JBW^* -algebra factor, but not an infinite dimensional spin factor. If M is infinite dimensional there is an infinite dimensional real Hilbert space H_0 such that $K(H_0)_{sa}$ embeds in $K(M)_{sa}$ as a JC-subalgebra so that if (h_n) is an infinite orthonormal sequence in H_0 , $x_n = h_1 \otimes h_n + h_n \otimes h_1 \to 0$ weakly but, for $n \ge 2$, $x_n^2 = h_1 \otimes h_1 + h_n \otimes h_n$ does not converge weakly to zero. Therefore M is finite dimensional whence the result.

For a JB^* -triple A let $J_{\sigma}(A)$ denote the norm closed ideal of all elements x of A for which $\pi(x) \in \sigma(M)$ for all Cartan factor representations $\pi : A \to M$. Let Max(A) denote the set of all maximal norm closed ideals of A.

(5.4) Lemma. Let A be a JB^* -triple. Then $J_{\sigma}(A) \subset \sigma(A)$.

Proof. Let $a \in J_{\sigma}(A)$ and let (x_n) be a sequence in A such that $x_n \to 0$ weakly. Given $\rho \in \partial_e(A_1^*)$ we have $\pi_{\rho}(\{x_n a_n x_n\}) = \{\pi_{\rho}(x_n) \pi_{\rho}(a) \\ \pi_{\rho}(x_n)\} \to 0$ weakly. But $\rho = \rho \pi_{\rho}$ and so $\pi(\{x_n a x_n\}) \to 0$ weakly. As $(\{x_n a x_n\})$ is bounded, it follows that $\{x_n a x_n\} \to 0$ weakly by Rainwater's Theorem [34]. Hence, a lies in $\sigma(A)$.

We can now give our classification of sequentially weakly continuous JB^* -triples.

(5.5) **Theorem.** The following are equivalent for a JB^* -triple A.

- (a) A is sequentially weakly continuous.
- (b) Prim(A) = Max(A) and A^{**} is type I with no infinite spin part.
- (c) A is liminal with no infinite dimensional spin factor representations.

Proof. The equivalence of (b) and (c) follows from (3.3) and (4.7) together with the fact that elementary JB^* -triples have no proper norm closed ideals.

(a) \Rightarrow (c): Assume (a). A spin factor representation $\pi : A \to V$ induces a spin factor representation of JB^* -algebras $\pi : A(x) \to V(e) = V$, where e is a unitary tripotent in V and x is in A with $\pi(x) = e$. By assumption and (5.2) we must have $\sigma(V) = V$ so that V is finite dimensional by (5.1).

Via (3.1) (b), in order to show that A is limited we may suppose that it is a JC^* -algebra and, by a further invocation of (5.2), that A is weak * dense in a type $I JW^*$ -factor $M \subset B(H)$, for some complex Hilbert space H. We must show that A = K(M).

Suppose that there exists $a \ge 0$ in A lying outside K(M). The JB^* subalgebra of A generated by a is a commutative C^* -algebra isomorphic to $C_0(sp(a) \setminus \{0\})$. By functional calculus this contains a sequential increasing approximate identity (a_n) such that $a_n a_{n+1} = a_n$ for all n. As $|| a - a a_n || \to 0$, for some $n a_n$ and a_{n+1} are not in K(M). In particular, there exist $x \ge 0$ and $y \ge 0$ in A lying outside K(M) such that in the operator product on B(H) we have xy = yx and xy = y. It follows that xz = z for all $z \in A(y)$. Let e be the projection in M for which the weak * closure $\overline{A(y)} = M(e)$.

Let (z_n) be a sequence in A(y) such that $z_n \to 0$ weakly. As A and hence, A(y), is sequentially weakly continuous the above implies that

$$z_n^2 = (z_n x) z_n = \{z_n x z_n\} \to 0$$
weakly.

So A(y) satisfies the condition of (5.3). This implies that M(e) (= eMe) is finite dimensional and so must be contained in K(M). Hence, $x \in K(M)$ and we have arrived at a contradiction, proving (c).

(c) \Rightarrow (a): By (5.1) the condition (c) implies that $J_{\sigma}(A) = A$ so that $\sigma(A) = A$, by (5.4).

(5.6) Corollary. Let A be a JB^* -triple.

(a) If A is sequentially weakly continuous then so is every JB^* -triple quotient of A.

(b) A is sequentially weakly continuous if and only if A(x) is sequentially weakly continuous for all x in A.

(5.7) **Theorem.** Let A be a JB^* -triple, let I and J be, respectively, the largest liminal and largest postliminal ideal of A and let

 $V(A) = \bigcap \left\{ P \in \operatorname{Prim}(A) \mid A/P \text{ is an infinite dimensional spin factor} \right\}.$

Then $\sigma(A) = I \cap V(A) = J_{\sigma}(A)$.

Further $J \cap V(A)$ is the smallest norm closed ideal L of A for which $\sigma(A/L) = \{0\}.$

Proof. By construction $I \cap V(A)$ is the largest limital ideal of A without infinite dimensional spin factor representations and equals $J_{\sigma}(A)$ by (5.1). Therefore, as $\sigma(A)$ is sequentially weakly continuous, (5.5) (a) \Leftrightarrow (c) implies that $\sigma(A) \subset J_{\sigma}(A)$. Hence, $\sigma(A) = J_{\sigma}(A)$ by (5.4).

We note that $J/(J \cap V(A))$ is the largest postliminal ideal of $A/(J \cap V(A))$ and that $V(A/J \cap V(A)) = V(A)/(J \cap V(A))$. Hence, by the first part, we have that $\sigma(A/(J \cap V(A))) = \{0\}$. Now let *L* be a norm closed ideal of *A* with $\sigma(A/L) = \{0\}$. If $J \cap V(A)$ is not contained in *L* there is a norm closed ideal *M* of *A* with $J \cap V(A) \cap L \subset M \subset J \cap V(A)$ such that $M/(J \cap V(A) \cap L)$ is a non-zero liminal ideal without infinite dimensional spin factor representations, in $(J \cap V(A))/(J \cap V(A) \cap L)$, giving rise to a non-zero ideal of A/L with the same properties. In which case the first part gives $\sigma(A/L) \neq \{0\}$ and so the required contradiction.

(5.8) Corollary. A C^* -algebra A is sequentially weakly continuous if and only if it is liminal. Further, $\sigma(A)$ is the largest liminal ideal of A, and $\sigma(A) = \{0\}$ if and only if A is antiliminal.

For $n \in \mathbb{N}$ we say that a JBW^* -triple A is of rank type n if A is an ℓ^{∞} -sum of $L^{\infty}(X_{\alpha}, \mu_{\alpha}, C_{\alpha})$'s where each C_{α} is a Cartan factor of rank n. Given a complete tripotent e in a rank type n JBW-triple, A, A(e) is a type I_n JBW^* -algebra: in the case when n = 2 but A has no spin part, $A(e) \simeq L^{\infty}(X_a, \mu_a, V_3) \oplus L^{\infty}(X_b, \mu_b, V_5)$. We note that a rank type n JBW^* -triple is generated as a Banach space by its abelian tripotents and if it is without spin part it is sequentially weakly continuous (by (4.8) and (5.6)). We say that M is homogeneous of finite rank type if it is of rank type n for some $n \in \mathbb{N}$.

A JBW^* -triple is said to be *continuous* if it has no type I part. By (5.6) or (5.7), $\sigma(A) = \{0\}$ for continuous JBW^* -triples A. Therefore, questions of sequential weak continuity for JBW^* -triples devolve to the type I case.

Let A be a type I JBW^* -triple. We have $A = A_{sp} \oplus B = S_f \oplus S_\infty \oplus B$, where B is type I and without spin part, S_∞ is the infinite spin part of A and $S_f = \Sigma^{\oplus} A_{n_i}$ where each $A_{n_i} = L^{\infty}(X_{n_i}, \mu_{n_i}, V_{n_i})$ and (n_i) is a strictly increasing sequence (possibly finite) in \mathbb{N} .

This notation is retained for the next two results.

(5.9) Theorem. For the type $I JBW^*$ -triple $A = A_{sp} \oplus B$ we have (a) $\sigma(A) = (\Sigma^{\oplus} A_{n_i})_0 \oplus B_{ab}$, where ()₀ denotes c_0 -sum and where B_{ab} is the norm closed ideal of B generated by its abelian tripotents; (b) $\sigma(A/\sigma(A)) = \{0\}$.

Proof. The ideal J on the right hand side of the equation in (a) has no infinite dimensional spin factor representations and is clearly liminal so that $J \subset \sigma(A)$ by (5.7). Also by (5.7) we have $\sigma(A)/J \subset \sigma(A/J)$. Therefore parts (a) and (b) will follow if $\sigma(A/J) = \{0\}$. If $A = A_{sp}$ this is immediate from (4.8) (a) and (5.7). Suppose that A = B and that $\pi : A \to M$ is a Cartan factor representation with $\pi(J) = \{0\}$. If $K(M) \subset \pi(A)$ (4.9) implies that there is a tripotent e of A such that $\pi(e) = f \neq 0$ is a minimal tripotent of M. This gives a Jordan * homomorphism $\pi : A(e) \to \mathbb{C}f$. Thus, if $A(e) = I \oplus K$ where I is the type I₁ part of A(e) [19, (5.3.5)], we have $\pi(K) = \{0\}$. But $I \subset J$ by construction so that $\pi(I) = \{0\}$ also. This is a contradiction and it proves that A/J is antiliminal in this case so that $\sigma(A/J) = \{0\}$ as before. The result follows.

(5.10) Corollary. The type $I JBW^*$ -triple $A = A_{sp} \oplus B$ is sequentially weakly continuous if and only if A_{sp} is a direct sum of finitely many $L^{\infty}(X_{\alpha}, \mu_{\alpha}, V_{\alpha})'s$ where $\alpha < \infty$ and B is a direct sum of finitely many JBW-triples that are homogeneous of finite rank type. \Box

We close with an example of a JB^* -triple A with only linear sequentially weakly continuous biholomorphic automorphisms on its open unit ball but which has a faithful family of finite dimensional spin factor representations.

(5.11) Example. Consider the finite dimensional spin factors $V_n, 2 \le n < \infty$, realised as JC^* -algebras with a common identity so that $V_n \subset V_{n+1}$ and V_∞ is the norm closure of $\bigcup_{1}^{\infty} V_n$. Let $B = C([0, 1], V_\infty)$ be the JC^* -algebra, with supremum norm, of all continuous functions $f : [0, 1] \to V_\infty$. Now let (r_n) be an enumeration of the rationals in [0,1] and define

$$A = \{ f \in B \mid f(r_n) \in V_{n+1}, \text{ for all } n \}$$

Then A is a JC^* -subalgebra of B and the evaluations, $f \mapsto f(t), t \in [0, 1]$, are * Jordan homomorphisms $\pi_t : A \to V_\infty$, with $\pi_{r_n}(A) \subset V_{n+1}$ for each n. Given $n \in \mathbb{N}$, choose $g \in C[0, 1]$ with $g(r_1) = \ldots = g(r_n) =$ $0, \quad g(r_{n+1}) = 1$. For each x in V_{n+2} define G_x in B by $G_x(t) = f(t)x$. Then $G_x \in A$ and $\pi_{r_{n+1}}(G_x) = x$. Hence, $\pi_{r_{n+1}}(A) = V_{n+2}$. Since for f in A, $f(r_n) = 0$ for all $n \ge 2$ implies $f = 0, \{\pi_{r_n} \mid n \ge 2\}$ is a faithful family of finite dimensional spin factor representations of A. On the other hand for irrational s in [0,1] we have, for $n \in \mathbb{N}$, $h \in C[0,1]$ with $h(r_1) = \ldots = h(r_n) = 0, \quad h(s) = 1$. As before, for each x in $V_{n+2}, H_x \in A$ where $H_x(t) = f(t)x$ for all t, and $\pi_s(H_x) = x$. Therefore, $V_{n+2} \subset \pi_s(A)$, for all *n*. Hence, $V_{\infty} = \pi_s(A)$ for all irrational s in [0,1], giving a faithful family of representations of A onto V_{∞} . By (5.7) we have $\sigma(A) = \{0\}$, as required.

Acknowledgements. The authors are grateful for financial support from the British Council and the Slovene Ministry of Science and Technology.

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