

# A lattice variant of thresholdness of Boolean functions

Eszter K. Horváth, Szeged

Co-authors: Branimir Šešelja, Andreja Tepavčević

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# Threshold functions

A classical **threshold function** is a Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  such that there exist real numbers  $w_1, \dots, w_n, t$ , fulfilling

$$f(x_1, \dots, x_n) = 1 \text{ if and only if } \sum_{i=1}^n w_i \cdot x_i \geq t,$$

where  $w_i$  is called **weight** of  $x_i$ , for  $i = 1, 2, \dots, n$  and  $t$  is a constant called the **threshold value**.

modeling neurons

political decisions

electrical engineering

artificial intelligence

game theory

# Threshold functions

- combinatorics (their number!!!)
- computer science

## IN ALGEBRA

tolerance relation (B. Bódi et al.)

fundamental ideal of a groupring (B. Bódi et al.)

generalized clones (constraints) (S. Foldes, L. Hellerstein, M. Couceiro)  
no superposition, not clone

invariance group

coalition lattice (conjecture)

# Monotonicity and thresholdness

It is easy to see that threshold functions with positive weights and a threshold value are isotone.

However, an isotone Boolean function is not necessarily threshold, e.g.  $f = x \cdot y \vee w \cdot z$  is isotone, but not a threshold function.

# Threshold functions

$f = x \cdot y \vee w \cdot z$  is isotone, but not a threshold function because its invariance group is

$$D8 = \{(), (1324), (12)(34), (1423), (12), (34), (13)(24), (14)(23)\}$$

## Theorem (1994.)

For every  $n$ -ary threshold function  $f$  there exists a partition  $C_f$  of the set of variables  $X$  such that the invariance group  $G$  of  $f$  consists of exactly those permutations of  $S_X$  which preserve each block of  $C_f$ .

I.e. the invariance groups of threshold functions are of the following form: direct product of symmetric groups.

# Lattice-induced threshold functions

Let  $L$  be a complete lattice in which the bottom and the top are (also) denoted by 0 and 1 respectively; however, it is clear from the context whether 0 (1) is a component in some  $(x_1, \dots, x_n) \in \{0, 1\}^n$ , or it is from  $L$ .

For  $x \in \{0, 1\}$ , and  $w \in L$ , we define a mapping  $L \times \{0, 1\}$  into  $L$  denoted by " $\cdot$ ", as follows:

$$w \cdot x := \begin{cases} w, & \text{if } x = 1 \\ 0, & \text{if } x = 0. \end{cases} \quad (1)$$

A function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is a **lattice-induced threshold function**, if there is a complete lattice  $L$  and  $w_1, \dots, w_n, t \in L$ , such that

$$f(x_1, \dots, x_n) = 1 \text{ if and only if } \bigvee_{i=1}^n (w_i \cdot x_i) \geq t. \quad (2)$$

## Proposition

Every lattice-induced threshold function is isotone.

## Theorem

Every isotone Boolean function is a lattice-induced threshold function.

## Remark

The corresponding lattice in each case can be the free distributive lattice with  $n$  generators.

# Sketch of the proof of the Theorem

We prove that for every  $n \in \mathbb{N}$ , there is a lattice  $L$  such that every isotone Boolean function is a lattice induced threshold function over  $L$ . Let  $n \in \mathbb{N}$ .

We take  $L$  to be a free distributive lattice with  $n$  generators  $w_1, w_2, \dots, w_n$ .

Recall that every element in a free distributive lattice can be uniquely represented in a "conjunctive normal form" by means of generators (i.e., every element is a meet of elements of the type  $\bigvee_{i \in J} w_i$ , where  $J \subseteq \{1, \dots, n\}$ .)

For  $x, y \in L$ , if  $x = \bigwedge_{k=1}^p \bigvee_{j \in I_k} w_j$  and  $y = \bigwedge_{k=1}^l \bigvee_{s \in J_k} w_s$ ,  
 $x \leq y$  if and only if for every  $u \in \{1, \dots, l\}$  there is  $k \in \{1, \dots, p\}$  such that  $I_k \subseteq J_u$ . (\*)



# Sketch of the proof of the Theorem

Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be an isotone Boolean function. Let  $F$  be the corresponding order semi-filter on  $\{0, 1\}^n$ .

Further, let  $m_1, \dots, m_p$  be minimal elements of this semi-filter. Let  $I_1, \dots, I_p$  be subsets of  $\{1, 2, \dots, n\}$ , i.e., sets of indices, such that  $i \in I_k$  if and only if  $i$ -th coordinate of  $m_k$  is equal to 1.

For the threshold  $t \in L$  associated to the given function  $f$  we take

$$t = \bigwedge_{k=1}^p \bigvee_{j \in I_k} w_j.$$

Now, it is straightforward to show that

$$f(x_1, \dots, x_n) = 1 \text{ if and only if } \bigvee_{i=1}^n (w_i \cdot x_i) \geq t. \quad (3)$$

# Lattice-valued Boolean functions, cuts

A function  $f : \{0, 1\}^n \rightarrow L$ , where  $L$  is a complete lattice, is called a **lattice valued ( $L$ -valued) Boolean function**.

For  $f : \{0, 1\}^n \rightarrow L$  and  $p \in L$ , a cut set (cut)  $f_p$  is a subset of  $\{0, 1\}^n$ :

$$f_p = \{x \in \{0, 1\}^n \mid f(x) \geq p\}.$$

In other words, a  $p$ -cut of  $\mu : B \rightarrow L$  is the inverse image of the principal filter  $\uparrow p$ , generated by  $p \in L$ :

$$\mu_p = \mu^{-1}(\uparrow p). \quad (4)$$

It is obvious that for  $p, q \in L$ ,

from  $p \leq q$  it follows that  $\mu_q \subseteq \mu_p$ .

## Lemma

If  $\mu : B \rightarrow L$  is an  $L$ -valued function on the set  $B$ , then the collection  $\mu_L$  of all cuts of  $\mu$  is a closure system on  $B$  under the set-inclusion.

## Proposition

Let  $\mathcal{F}$  be a closure system over a set  $B$ . Then there is a lattice  $L$  and an  $L$ -valued function  $\mu : B \rightarrow L$ , such that the collection  $\mu_L$  of cuts of  $\mu$  is  $\mathcal{F}$ .

## Proof

It is straightforward to check that a required lattice  $L$  is the collection  $\mathcal{F}$  ordered dually to the set-inclusion, and that  $\mu : B \rightarrow L$  can be defined (as  $\bar{x}$  in (12)):

$$\mu(x) = \bigcap \{f \in \mathcal{F} \mid x \in f\}. \quad (5)$$

An  $L$ -valued Boolean function  $\mu : B \rightarrow L$  is called a **lattice valued ( $L$ -valued) up-set**, if from  $x \leq y$  it follows that  $\mu(x) \leq \mu(y)$ .

## Lemma

Let  $B$  be a Boolean lattice and  $\mu : B \rightarrow L$  an  $L$ -valued Boolean function. Then  $\mu$  is an  $L$ -valued up-set on  $B$  if and only if all the cuts of  $\mu$  are up-sets (order-filters, semi-filters) on  $B$ .

# Representation of lattice-valued up-sets by cuts

Let  $B = (\{0, 1\}^n, \leq)$ ,  $n \in \mathbb{N}$ ,  $L_D$  a free distributive lattice with  $n$  generators  $w_1, \dots, w_n$  and  $\bar{\beta} : B \rightarrow L_D$ , an  $L_D$ -valued function on  $B$  defined in the following way: for  $x = (x_1, \dots, x_n) \in B$

$$\bar{\beta}(x) = \bigvee_{i=1}^n (w_i \cdot x_i), \quad (6)$$

where the function " $\cdot$ " is defined by (1). By the definition,  $\bar{\beta}$  is uniquely (up to a permutation of generators  $w_i$ ) determined by a finite Boolean lattice  $B = (\{0, 1\}^n, \leq)$ , i.e., by a positive integer  $n$ .

## Observations

The  $L_D$ -valued function  $\bar{\beta}$  defined by (6) is an  $L_D$ -valued up-set on  $B$ . Every cut of  $\bar{\beta}$  is an up-set of a finite Boolean lattice  $B = (\{0, 1\}^n, \leq)$ ,  $n \in \mathbb{N}$ .

# Representation of lattice-valued up-sets by cuts

## Theorem

Every up-set of a finite Boolean lattice  $B = (\{0, 1\}^n, \leq)$ ,  $n \in \mathbb{N}$ , is a cut of  $\overline{\beta}$ .

## Corollary

The collection of cuts of every  $L$ -valued up-set on  $B$  (for any  $L$ ) is contained in the collection of cuts of  $\overline{\beta}$ .

# Linear combinations

Let  $B = (\{0, 1\}^n, \leq)$  be a Boolean lattice,  $L$  a complete lattice,  $x = (x_1, \dots, x_n) \in B$  and  $w_1, \dots, w_n \in L$ . Further, let the binary function " $\cdot$ " which maps  $L \times \{0, 1\}$  into  $L$  be defined by (1). Then we call the term

$$\bigvee_{i=1}^n (w_i \cdot x_i), \quad (7)$$

a **linear combination** of elements  $w_1, \dots, w_n$  from  $L$ .

Observe also that in the case of formula (6), the corresponding  $L_D$ -valued function is  $\bar{\beta}$  and the following is obviously true: *the closure system consisting of all up-sets on  $B$  is the collection of cuts of  $\bar{\beta}$ .*

Starting with a closure system  $\mathcal{F}$  consisting of some up-sets on  $B = (\{0, 1\}^n, \leq)$ , and we try to find a lattice  $L$  and  $w_1, \dots, w_n \in L$ , such that the family of cuts of the function

$$\bigvee_{i=1}^n (w_i \cdot x_i), \quad (8)$$

over this lattice (a linear combination of elements from  $L$ ) coincides with  $\mathcal{F}$ .

The answer to the above problem is not generally positive, as shown by the following example.



# Example

Let  $B = (\{0, 1\}^2, \leq)$  be the four element Boolean lattice and

$$\mathcal{F} = \{\{(1, 1)\}, \{(1, 1), (1, 0)\}, \{(1, 1), (1, 0), (0, 1)\}, \{(1, 1), (1, 0), (0, 1), (0, 0)\}$$

a closure system consisting of some up-sets on  $B$ .

We show that there is no lattice  $L$ , hence neither there is an  $L$ -valued function  $\nu : B \rightarrow L$ , such that there are  $w_1, w_2 \in L$  fulfilling that for all  $x_1, x_2 \in \{0, 1\}$

$$\nu(x_1, x_2) = (w_1 \cdot x_1) \vee (w_2 \cdot x_2)$$

and that the collections of cuts of  $\nu$  is  $\mathcal{F}$ .

## Example

Indeed, suppose that there is a lattice  $L$  and elements  $w_1, w_2 \in L$ , such that  $\nu(x_1, x_2) = (w_1 \cdot x_1) \vee (w_2 \cdot x_2)$ , for all  $x_1, x_2 \in \{0, 1\}$ . Then,  $\nu(0, 0) = 0 \in L_1$ ,  $\nu(0, 1) = w_2$ ,  $\nu(1, 0) = w_1$  and  $\nu(1, 1) = w_1 \vee w_2$ . Now, since the cuts of  $\nu$  are supposed to be elements from  $\mathcal{F}$ , and cuts are up-sets in  $B$ , we have that  $\nu_{w_1 \vee w_2} = \{(1, 1)\}$ , and  $w_1 \vee w_2$  would be the top element of the lattice  $L$ : otherwise the empty set would be a cut of this lattice valued function.

**Lemma** Let  $\mu : B \rightarrow L$  be a lattice valued up-set, such that its collection of cuts is  $\mathcal{F}$ . If  $\uparrow a \in \mathcal{F}$  and  $\mu(a) = p$ , then  $\mu_p = \uparrow a$ .

Now  $\nu_{w_1} = \{(1, 1), (1, 0)\}$ ,  $\nu_{w_2} = \{(1, 1), (1, 0), (0, 1)\}$  and  $\nu_0 = \{(1, 1), (1, 0), (0, 1), (0, 0)\}$ . Since  $(1, 0) \in \nu_{w_2}$ , we have that  $\nu(1, 0) \geq w_2$ , i.e.,  $w_1 \geq w_2$ . Hence,  $w_1 \vee w_2 = w_1$ , which contradicts  $\nu_{w_1 \vee w_2} \neq \nu_{w_1}$ .

Hence, the up-sets from the collection  $\mathcal{F}$  cannot be represented as cuts of an  $L$ -valued function in the form (8).  $\square$

# First problem

Find necessary and sufficient conditions under which a lattice valued up-set  $\mu : B \rightarrow L$  on a finite Boolean lattice  $B = (\{0, 1\}^n, \leq)$  can be represented by the linear combination

$$\mu(x) = \bigvee_{i=1}^n (w_i \cdot x_i)$$

over  $L$  ( $x = (x_1, \dots, x_n) \in \{0, 1\}^n$ ,  $w_1, \dots, w_n \in L$ ).

# Definition

Starting with finite lattices  $M$  and  $L$  with the bottom elements  $0_M$  and  $0_L$  respectively, we say that a mapping  $\mu : M \rightarrow L$  is a **0- $\vee$ -homomorphism**, if for all  $x, y \in M$

$$\begin{aligned}\mu(x \vee y) &= \mu(x) \vee \mu(y) \quad \text{and} \\ \mu(0_M) &= 0_L.\end{aligned}$$

In particular, if  $\mu$  maps a Boolean lattice  $B = \{0, 1\}^n$  into  $L$ , the condition that  $\mu$  is a 0- $\vee$ -homomorphism from  $B$  to  $L$  is equivalent with the following two conditions (observe that  $B$  is finite): for every collection  $A$  of some atoms in  $B$

$$(i) \quad \mu\left(\bigvee A\right) = \bigvee \mu(A) \quad \text{and} \quad (ii) \quad \mu(0, \dots, 0) = 0. \quad (9)$$

# Theorem (Characterization)

Let  $B = (\{0, 1\}^n, \leq)$  be a finite Boolean lattice and  $L$  an arbitrary complete lattice. Then an  $L$ -valued Boolean function  $\mu : \{0, 1\}^n \rightarrow L$  can be represented in the form

$$\mu(x) = \bigvee_{i=1}^n (w_i \cdot x_i)$$

for some elements  $w_1, \dots, w_n \in L$  if and only if  $\mu$  as a mapping from  $B$  to  $L$  is a  $0$ - $\vee$ -homomorphism.

## Next problem

Next we analyze the same problem (representability by linear combination), for closure systems of some up-sets on  $B = (\{0, 1\}^n, \leq)$ .

If  $\mathcal{F}$  is a closure system consisting of some up-sets on  $B = (\{0, 1\}^n, \leq)$ , then for  $x \in P$ , we define

$$\bar{x} = \bigcap \{f \in \mathcal{F} \mid x \in f\}. \quad (10)$$

**Proposition** Let  $\mathcal{F}$  be a closure system of some up-sets on  $B$ . If  $\mathcal{F}$  is a family of cuts of an  $L$ -valued up-set  $\mu$  on  $B$  represented by a linear combination over  $L$ , then the following holds: for all  $x, y \in B$

$$\text{from } \bar{x} \subseteq \bar{y} \text{ it follows that } \overline{\bar{x} \vee \bar{y}} = \bar{x}. \quad (11)$$

# For the proof of this Proposition: Properties of closure systems consisting of up-sets

## Lemma

Let  $\mathcal{F}$  be a closure system consisting of some up-sets on a poset  $(P, \leq)$ . For  $x \in P$ , denote

$$\bar{x} = \bigcap \{f \in \mathcal{F} \mid x \in f\}. \quad (12)$$

Then, for all  $x, y, z \in P$ , the following is true:

- a)  $x \leq y$  implies  $\bar{y} \subseteq \bar{x}$ .
- b)  $x \in \bar{x}$ .
- c)  $\uparrow x \subseteq \bar{x}$ .
- d) If  $z \in \bar{x}$  then  $\bar{z} \subseteq \bar{x}$ .

# For the proof of this Proposition: Canonical representation

Let  $\mu : B \rightarrow L$  be an  $L$ -valued Boolean function and  $(\mu_L, \leq)$  the poset with  $\mu_L = \{\mu_p \mid p \in L\}$  (the collection of cuts of  $\mu$ ) and the order  $\leq$  is the inverse of the set-inclusion: for  $\mu_p, \mu_q \in \mu_L$ ,

$$\mu_p \leq \mu_q \text{ if and only if } \mu_q \subseteq \mu_p.$$

## Lemma

$(\mu_L, \leq)$  is a complete lattice.

We introduce the mapping  $\hat{\mu} : B \rightarrow \mu_L$  by the construction by

$$\hat{\mu}(x) := \bigcap \{\mu_p \in \mu_L \mid x \in \mu_p\}. \quad (13)$$

We say that the lattice valued function  $\hat{\mu}$  is the **canonical representation** of  $\mu$ .



# For the proof of this Proposition: another Proposition

## Proposition

If  $\mu : B \rightarrow L$  is an  $L$ -valued function on the set  $B$  and  $\mu(a) = \mu(b) \vee \mu(c)$  for some  $a, b, c \in B$ , then also for the canonical representation  $\hat{\mu}$  of  $\mu$ ,  $\hat{\mu}(a) = \hat{\mu}(b) \vee \hat{\mu}(c)$  analogously holds.

## Remark

The equality  $\hat{\mu}(a) = \hat{\mu}(b) \vee \hat{\mu}(c)$  equivalently can be presented as  $\hat{\mu}(a) = \hat{\mu}(b) \cap \hat{\mu}(c)$ , since the order in  $(\mu_L, \leq)$  is dual to set-inclusion; therefore the join in this lattice is actually the set intersection.

# Proof of this Proposition

By assumption, a closure system  $\mathcal{F}$  on  $B$  consisting of some up-sets on  $B$  is a collection of cuts of a lattice valued function  $\mu : \{0, 1\}^n \rightarrow L$ , for some lattice  $L$ , i.e.,  $\mu_L = \mathcal{F}$ . We also assume that  $\mu$  can be represented as a linear combination over  $L$ .

Now, if  $\hat{\mu} : B \rightarrow \mathcal{F}$  is the canonical representation of  $\mu$ , then by (14), for every  $x \in B$ ,  $\hat{\mu}(x) = \bar{x}$ . Suppose that there are  $x, y \in B$  such that  $\bar{x} \subseteq \bar{y}$  and  $\overline{x \vee y} \neq \bar{x}$ .

Now, we have that

$\hat{\mu}(x) \vee \hat{\mu}(y) = \hat{\mu}(x) \cap \hat{\mu}(y) = \bar{x} \cap \bar{y} = \bar{x} \neq \overline{x \vee y} = \hat{\mu}(x \vee y)$ . Applying Proposition, by contraposition we obtain that  $\mu(x) \vee \mu(y) \neq \mu(x \vee y)$ . Now, by Theorem,  $\mu$  is not representable by a linear combination.

Canonical representation:

$$\hat{\mu} : B \rightarrow \mu_L$$

$$\hat{\mu}(x) := \bigcap \{ \mu_p \in \mu_L \mid x \in \mu_p \}. \quad (14)$$

## Proposition

If  $\mu : B \rightarrow L$  is an  $L$ -valued function on  $B$  and  $\mu(a) = \mu(b) \vee \mu(c)$  for some  $a, b, c \in B$ , then also for the canonical representation  $\hat{\mu}$  of  $\mu$ ,  $\hat{\mu}(a) = \hat{\mu}(b) \vee \hat{\mu}(c)$  analogously holds.

The opposite implication to the one in this Proposition does not hold in general. Indeed, let  $B = \{a, b, c, d\}$ , and let  $L$  be the lattice given in Figure 1.

# Remark

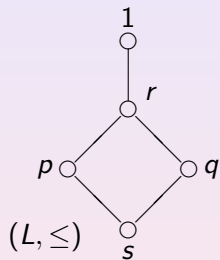


Figure 1

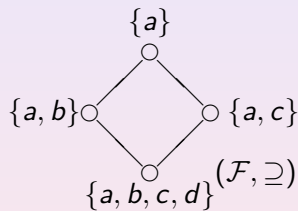


Figure 2

## Remark

We define an  $L$ -valued function  $\mu : B \rightarrow L$  as follows:

$$\mu = \begin{pmatrix} a & b & c & d \\ 1 & p & q & s \end{pmatrix}.$$

The cuts of  $\mu$  are:

$$\mu_L = \{\mu_1 = \mu_r = \{a\}, \mu_p = \{a, b\}, \mu_q = \{a, c\}, \mu_s = \{a, b, c, d\}\}.$$

The lattice  $(\mu_L, \supseteq)$  is depicted in Figure 2. The canonical representation of this lattice valued function is  $\hat{\mu} : B \rightarrow \mu_L$  and it is given by

$$\hat{\mu} = \begin{pmatrix} a & b & c & d \\ \{a\} & \{a, b\} & \{a, c\} & \{a, b, c, d\} \end{pmatrix}.$$

Now, observe that  $\hat{\mu}(a) = \hat{\mu}(b) \vee \hat{\mu}(c)$ . However, it is not true that  $\mu(a) = \mu(b) \vee \mu(c)$ .



## Example

Let  $B = (\{0, 1\}^2, \leq)$  be the four element Boolean lattice and

$$\mathcal{F} = \{\{(1, 1)\}, \{(1, 1), (1, 0)\}, \{(1, 1), (1, 0), (0, 1)\}, \{(1, 1), (1, 0), (0, 1), (0, 0)\}\}$$

a closure system consisting of some up-sets on  $B$ .

We already proved that this family is not the collection of cuts for a lattice valued function representable by a linear combination. If we define a mapping from  $B$  to  $\mathcal{F}$  by

$$\bar{x} = \bigcap \{f \in \mathcal{F} \mid x \in f\}, \quad (15)$$

then the condition from Proposition that  
for all  $x, y \in B$

$$\text{from } \bar{x} \subseteq \bar{y} \text{ it follows that } \overline{\bar{x} \vee \bar{y}} = \bar{x}. \quad (16)$$

is not satisfied.

# Theorem

Let  $\mathcal{F}$  be a closure system of some up-sets on a Boolean algebra  $B$  and for  $x \in B$ , define  $\bar{x}$  by (15):

$$\bar{x} = \bigcap \{f \in \mathcal{F} \mid x \in f\}.$$

The following conditions are equivalent:

(i) for all  $x, y \in B$

from  $\bar{x} \subseteq \bar{y}$  it follows that  $\overline{x \vee y} = \bar{x}$ .

(ii) for all  $x, y \in B$ ,  $\overline{x \vee y} = \bar{x} \cap \bar{y}$ .

(iii) There is a lattice  $L$  such that  $\mathcal{F}$  is a family of cuts of an  $L$ -valued up-set on  $B$  which can be represented as a linear combination over  $L$ .

Given a lattice valued up-set  $\mu : B \rightarrow L$  on a finite Boolean lattice  $B = \{0, 1\}^n$ , find a lattice  $L_1$  and a lattice valued function  $\nu : B \rightarrow L_1$  defined by the formula

$$\nu(x) = \bigvee_{i=1}^n (w_i \cdot x_i)$$

where  $w_1, \dots, w_n \in L_1$ , such that the collections of cuts of  $\mu$  and  $\nu$  coincide.



## Corollary

For a lattice valued up-set  $\mu : B \rightarrow L$  on a finite Boolean lattice  $B = \{0, 1\}^n$ , there is a lattice  $L_1$  and a lattice valued function  $\nu : B \rightarrow L_1$  defined by the formula

$$\nu(x) = \bigvee_{i=1}^n (w_i \cdot x_i)$$

such that the collections of cuts of  $\mu$  and  $\nu$  coincide if and only if  $\overline{x \vee y} = \bar{x} \cap \bar{y}$  for  $x, y \in B$ , where the operator  $\bar{\phantom{x}}$  is defined by cuts of  $\mu$ : define  $\bar{x}$  by (15):

$$\bar{x} = \bigcap \{f \in \mathcal{F} \mid x \in f\}.$$

# A lattice variant of thresholdness of Boolean functions



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