

# NOTES ON CD-INDEPENDENT SUBSETS

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**ABSTRACT.** It is proved in [8] that any two CD-bases in a finite distributive lattice have the same number of elements. We investigate CD-bases in posets, semilattices and lattices. It is shown that their CD-bases can be characterized as maximal chains in a related poset or lattice. We point out two known lattice classes characterized by some "0-conditions" whose CD-bases satisfy the mentioned property.

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## INTRODUCTION

Several independence notions are already investigated in lattice theory, see e.g. [6, 8, 9, 10]. The main result in [9] about weak independence was successfully applied to a combinatorial problem, namely to the problem of determining the maximum number of rectangular islands, see [5] for details. The notion of an island appears first in [13] under the name of "full segment". It was observed that many subsets in island problems (see e.g. [1] or [14]) are in fact CD-independent. Furthermore, the notion of a classification tree can be also defined as a particular CD-independent set (see [20]).

In [8] the authors showed that the CD-bases in a finite distributive lattice have the same number of elements, and conversely, if all finite lattices in a lattice variety have this property, then the variety must coincide with the variety of distributive lattices.

In this paper we define CD-independent sets in an arbitrary poset  $\mathbb{P} = (P, \leq)$ , and we show that the CD bases of any poset  $\mathbb{P}$  can be characterized as maximal chains in a related poset  $\mathcal{D}(P)$ . We prove that if  $\mathbb{P}$  is a complete lattice, then  $\mathcal{D}(P)$  is also a lattice having a weak distributive property. We also point out two known lattice classes where the CD-bases in finite lattices have the mentioned property: The first class is that one of graded, dp-distributive lattices, and the second class is obtained by generalizing the properties of the so-called interval lattices (having their origine in graph theory). None of these classes is a variety, however their existence can motivate the study of CD-bases in some particular lattice classes related to

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combinatorial problems. Since these classes are generalizations of distributive lattices, our results also imply that the CD-bases in a finite distributive lattice have the same number of elements, settled originally in [8] (see e.g. Corollary 3.6 or Corollary 3.11).

### 1. CD-INDEPENDENT SUBSETS IN POSETS

Let  $\mathbb{P} = (P, \leq)$  be a partially ordered set and  $a, b \in P$ . The elements  $a$  and  $b$  are called *disjoint* and we write  $a \perp b$  if

either  $\mathbb{P}$  has least element  $0 \in P$  and  $\inf\{a, b\} = 0$ ,  
or  $\mathbb{P}$  is without  $0$  and the elements  $a$  and  $b$  have no common lowerbound.

Notice, that  $a \perp b$  implies  $x \perp y$  for all  $x, y \in P$  with  $x \leq a$  and  $y \leq b$ . (1)

A nonempty set  $X \subseteq P$  is called *CD-independent* if for any  $x, y \in X$ ,  $x \leq y$  or  $y \leq x$ , or  $x \perp y$  holds. Maximal CD-independent sets (with respect to  $\subseteq$ ) are called *CD-bases* in  $\mathbb{P}$ . If  $\mathbb{P}$  contains least element  $0$  (greatest element  $1$ ) and  $B$  is a CD-base, then obviously  $0 \in B$  ( $1 \in B$ ). A nonempty set  $D$  of nonzero elements of  $P$  is called a *disjoint set* in  $\mathbb{P}$  if  $x \perp y$  holds for all  $x, y \in D$ ,  $x \neq y$ ; if  $\mathbb{P}$  has  $0$ -element, then  $\{0\}$  is considered to be a disjoint set, too. Observe, that  $D$  is a disjoint set if and only if it is a CD-independent antichain in  $\mathbb{P}$ . This characterization and the fact that any nonempty subset of a CD-independent set is also CD-independent yield:

*Remark 1.1.* (i) If  $D$  is a disjoint set in  $P$ , then  $0 \in D \Leftrightarrow D = \{0\}$ .  
(ii) If  $X$  is a CD-independent set in  $P$ , then any antichain  $A \subseteq X$  is a disjoint set in  $P$ .

We recall that any antichain  $A = \{a_i \mid i \in I\}$  of a poset  $\mathbb{P}$  determines a unique order-ideal  $I(A)$  of  $\mathbb{P}$ , namely

$$I(A) = \bigcup_{i \in I} (a_i] = \{x \in P \mid x \leq a_i \text{ for some } i \in I\},$$

where  $(a]$  stands for the principal ideal of an element  $a \in P$ . As the order-ideals of any poset form a (distributive) lattice with respect to  $\subseteq$ , the antichains of a poset can be ordered as follows: If  $A_1, A_2$  are antichains in  $\mathbb{P}$ , then we say that  $A_1$  is *dominated by*  $A_2$ , and we denote it by  $A_1 \leq A_2$  if

$$I(A_1) \subseteq I(A_2).$$

It is well-known that  $\leq$  is a partial order (see e.g. [4] or [11]), and it is easy to see that  $A_1 \leq A_2$  is satisfied if and only if the following condition holds:

(A) For each  $x \in A_1$  there exists a  $y \in A_2$  with  $x \leq y$ .

Let  $\mathcal{D}(P)$  denote the set of all disjoint sets of  $\mathbb{P}$ . As the disjoint sets of  $\mathbb{P}$  are also antichains, restricting  $\leq$  to  $\mathcal{D}(P)$ , we obtain a poset  $(\mathcal{D}(P), \leq)$ .

Clearly, if  $\mathbb{P}$  has least element 0, then  $\{0\}$  is the least element of  $(\mathcal{D}(P), \leq)$ . The following facts are immediate consequences of this definition (and (1)):

*Remark 1.2.* (i)  $I(A_1) \prec I(A_2) \Leftrightarrow A_1 \prec A_2$  for any antichains  $A_1, A_2 \subseteq P$ .  
(ii) Let  $D_1$  and  $D_2$  be disjoint sets in  $P$ . Then  $D_1 \subseteq D_2$  implies  $D_1 \leq D_2$ . Furthermore, if  $D_1 \leq D_2$ , then

$$\text{for all } (x_1, x_2) \in D_1 \times D_2, \quad x_1 \leq x_2 \text{ or } x_1 \perp x_2. \quad (2)$$

(iii) Observe, that the poset  $(P, \leq)$  can be order-embedded into  $(\mathcal{D}(P), \leq)$ : Indeed, for any  $x \in P$  the set  $\{x\}$  itself is a disjoint set, and clearly,

$$x \leq y \Leftrightarrow (x) \subseteq (y) \Leftrightarrow \{x\} \leq \{y\}$$

hold for any  $x, y \in P$ .

Now define a relation  $\rho \subseteq P \times P$  as follows: For any  $x, y \in P$

$$(x, y) \in \rho \Leftrightarrow x \leq y \text{ or } y \leq x \text{ or } x \perp y.$$

Then  $\rho$  is reflexive and symmetric by its definition, i.e. it is a tolerance relation on  $P$ . A *block* of a tolerance relation  $\tau \subseteq A \times A$  is a subset  $B \subseteq A$  maximal with respect to the property  $B \times B \subseteq \tau$  (see e.g. [2]). It is easy to see that the CD-bases of  $\mathbb{P}$  are exactly the tolerance blocks of  $\rho$ . As any tolerance relation has at least one tolerance block, and its blocks form a covering of the underlying set, we obtain:

**Proposition 1.3.** *Any poset  $\mathbb{P} = (P, \leq)$  has at least one CD-base, and the set  $P$  is covered by the CD-bases of  $\mathbb{P}$ .*

**Proposition 1.4.** *If  $B$  is a CD-base and  $D \subseteq B$  is a disjoint set in the poset  $(P, \leq)$ , then  $I(D) \cap B$  is also a CD-base in the subposet  $(I(D), \leq)$ .*

*Proof.* As  $I(D) \cap B$  remains CD-independent in  $(I(D), \leq)$ , it is enough to show that for any  $x \in I(D) \setminus B$  the set  $(I(D) \cap B) \cup \{x\}$  is not CD-independent. Indeed, as  $B$  is a CD-base,  $B \cup \{x\}$  is not CD-independent, and hence there exists a  $b \in B$  such that  $b$  and  $x$  are not comparable and have a common lowerbound  $u \neq 0$ . Then  $u \leq x \leq a$  for some  $a \in D$ , and  $u \in I(D)$ . Since  $0 < u \leq a, b$  and  $a, b \in B$ ,  $a$  and  $b$  must be comparable. Hence  $b \leq a$ , otherwise  $a \leq b$  would imply  $x \leq b$ , a contradiction. Thus we get  $b \in I(D) \cap B$ , and hence  $(B \cap I(D)) \cup \{x\}$  is not CD-independent.  $\square$

Given a set  $X$ , let  $|X|$  denote its cardinality. The connection between CD-bases of a poset  $\mathbb{P}$  and the poset  $(\mathcal{D}(P), \leq)$  is shown by the next theorem:

**Theorem 1.5.** *Let  $B$  be a CD-base of a finite poset  $(P, \leq)$ , and let  $|B| = n$ . Then there exists a maximal chain  $\{D_i\}_{1 \leq i \leq n}$  in  $\mathcal{D}(P)$ , such that  $B = \bigcup_{i=1}^n D_i$ . Moreover, for any maximal chain  $\{D_i\}_{1 \leq i \leq m}$  in  $\mathcal{D}(P)$  the set  $D = \bigcup_{i=1}^m D_i$  is a CD-base in  $(P, \leq)$  with  $|D| = m$ .*

First we prove two lemmas:

**Lemma 1.6.** *If  $D_1 \prec D_2$  in  $\mathcal{D}(P)$ , then  $D_2 = \{a\} \cup \{y \in D_1 \setminus \{0\} \mid y \perp a\}$  for some minimal element  $a$  of the set*

$$S = \{s \in P \setminus (D_1 \cup \{0\}) \mid y \perp s \text{ or } y < s \text{ for all } y \in D_1\}.$$

*Moreover,  $D_1 \prec \{a\} \cup \{y \in D_1 \setminus \{0\} \mid y \perp a\}$  holds for any minimal element  $a$  of the set  $S$ .*

*Proof.* Define  $T_s = \{s\} \cup \{y \in D_1 \setminus \{0\} \mid y \perp s\}$  for each  $s \in S$ . Then  $T_s$  is a disjoint set,  $T_s \neq D_1$ , and  $y \in T_s$  or  $y < s$  holds for all  $y \in D_1$ . Hence, in view of (A), we obtain

$$D_1 < T_s \text{ for all } s \in S. \quad (3)$$

Further, let  $D_1 < D_2$ . Then  $D_2 \neq \{0\}$ , and hence  $0 \notin D_2$ , by Remark 1.1(i). Since, in virtue of (2), for any  $y \in D_1$  and  $s \in D_2$ ,  $y \perp s$ , or  $y < s$ , or  $y = s$  holds, we have  $D_2 \setminus D_1 \subseteq S$ . Clearly,  $D_2 \setminus D_1 \neq \emptyset$ , otherwise by Remark 1.2(ii)  $D_2 \subseteq D_1$  would imply  $D_2 \leq D_1$ , a contradiction. Select an element  $a \in D_2 \setminus D_1$ . Then  $a \in S$ , and in virtue of (3),  $T_a = \{a\} \cup \{y \in D_1 \setminus \{0\} \mid y \perp a\}$  satisfies  $D_1 < T_a$ . Observe that  $T_a \leq D_2$  by (A) since  $a \leq a \in D_2$  and for each  $y \in D_1$  there is a  $y' \in D_2$  with  $y \leq y'$ . So,  $D_1 < D_2$  and  $a \in D_2 \setminus D_1$  imply that  $D_1 < T_a \leq D_2$ .

Assume now  $D_1 \prec D_2$ . Notice at this point that if  $b$  is also in  $D_2 \setminus D_1$ , then  $T_b = D_2 = T_a$ , and  $\{b\} = T_b \setminus D_1 = T_a \setminus D_1 = \{a\}$ . Thus

$$\text{if } D_1 \prec D_2, \text{ then } |D_2 \setminus D_1| = 1. \quad (4)$$

Then also  $D_2 = T_a = \{a\} \cup \{y \in D_1 \setminus \{0\} \mid y \perp a\}$ , as it was desired. Suppose that  $s < a$  for some  $s \in S$ . As  $T_s \setminus \{s\} \subseteq D_1 < T_a$ , for each  $y \in T_s \setminus \{s\}$  there is a  $t \in T_a$  with  $y \leq t$  according to (A). Since  $s < a$  and  $s \notin T_a$ , by (3) and (A) we get  $D_1 < T_s < T_a = D_2$ , a contradiction to  $D_1 \prec D_2$ . Thus  $a$  is a minimal element in  $S$ .

If  $a \in S$  is minimal, then  $T_a$  is a disjoint set, and  $D_1 < T_a$  by (3). In order to prove  $D_1 \prec T_a$ , assume that  $D_1 < D_2 \leq T_a$  for some  $D_2 \in \mathcal{D}(P)$ . Then, in view of the first part of our proof,  $0 \notin D_2$ ,  $\emptyset \neq D_2 \setminus D_1 \subseteq S$ , and for any  $b \in D_2 \setminus D_1$ ,  $T_b$  is a disjoint set satisfying  $D_1 < T_b \leq D_2 \leq T_a$ . Clearly, we have  $b \leq t$  for some  $t \in T_a = \{a\} \cup \{y \in D_1 \setminus \{0\} \mid y \perp a\}$  according to (A). If  $t \in D_1$ , then  $b \in S$  and  $t \not\leq b$  imply  $t \perp b$ , hence we get  $0 = \inf\{b, t\} = b$ , a contradiction to  $0 \notin S$ . Thus  $t = a$  and  $b \leq a$ . As  $a$  is minimal element of  $S$ , we get  $b = a$ ,  $T_b = T_a$ , and hence  $D_2 = T_a$ . This proves  $D_1 \prec T_a$ .  $\square$

Now let  $\max(X)$  stand for the set of maximal elements of the set  $X \subseteq P$ .

**Lemma 1.7.** *Assume that  $B$  is a CD-base with at least two elements in a finite poset  $\mathbb{P} = (P, \leq)$ ,  $M = \max(B)$ , and  $m \in M$ . Then  $M$  and  $N := \max(B \setminus \{m\})$  are disjoint sets. Moreover  $M$  is a maximal element in  $\mathcal{D}(P)$ , and  $N \prec M$  holds in  $\mathcal{D}(P)$ .*

*Proof.* Since  $M$  and  $N$  are antichains in a CD-independent set, they are disjoint sets. Suppose  $M \leq D$  for some  $D \in \mathcal{D}(P)$ . In virtue of (2), for all  $m \in M$  and  $d \in D$  we have  $m \leq d$  or  $m \perp d$ . Then by (1),  $b \leq d$  or  $b \perp d$  holds for all  $b \in B$  and  $d \in D$ . This means that  $B \cup D$  is a CD-independent

set. Since  $B$  is a CD-base, we deduce  $D \subseteq B$ . Then for each  $d \in D$  there is an  $m \in M$  with  $d \leq m$ . In view of (A), this implies  $D \leq M$  in  $\mathcal{D}(P)$ . Thus we get  $M = D$ , proving that  $M$  is maximal in  $\mathcal{D}(P)$ .

In order to prove  $N \prec M$  in  $\mathcal{D}(P)$ , consider the subposet  $(B, \leq)$ . For any antichain  $A \subseteq B$ , denote by  $I_B(A)$  the order-ideal determined by  $A$  in  $(B, \leq)$ . Clearly,  $I_B(M) = B$ . Since  $I_B(N) = B \setminus \{m\} = I_B(M) \setminus \{m\}$ , we obtain  $I_B(N) \prec I_B(M)$ , and hence  $N \prec M$  holds in  $\mathcal{D}(B)$  according to Remark 1.2(i).

Now, in virtue of (2),  $N < M$  yields  $y < m$  or  $y \perp m$  for each  $y \in N$ , since  $m \in M \setminus N$ . Moreover,  $m \neq 0$  because  $m \notin I_B(N)$ . The last two facts imply that  $m$  belongs to the set

$$S = \{s \in P \setminus (N \cup \{0\}) \mid y \perp s \text{ or } y < s \text{ for all } y \in N\}.$$

We claim that  $m$  is a minimal element in  $S$ . Indeed, let  $s \leq m$  for some  $s \in S$ . Since for any  $b \in B \setminus \{m\}$ ,  $b \leq y$  for some  $y \in N$ , by (1) we have  $b \perp s$  or  $b < s$  for all  $b \in B \setminus \{m\}$ . Then  $B \cup \{s\}$  is a CD-independent set. As  $B$  is a CD-base, we get  $s \in B$ . Now  $s \in B \setminus \{m\}$  would imply  $s \perp s$  or  $s < s$ , a contradiction. Thus  $s = m$ , proving our claim. Then, in view of Lemma 1.6,  $T_m = \{m\} \cup \{y \in N \setminus \{0\} \mid y \perp m\}$  is a disjoint set and  $N \prec T_m$  in  $\mathcal{D}(P)$ . Hence, by showing  $T_m = M$  our proof is completed. As  $T_m \subseteq B$ , any  $t \in T_m$  is less than or equal to some element of  $\max(B) = M$ . Thus  $N < T_m \leq M$  holds in  $\mathcal{D}(B)$  by (A). Hence  $N \prec M$  in  $\mathcal{D}(B)$  implies  $T_m = M$ .  $\square$

*Proof of Theorem 1.5.* Any poset  $(P, \leq)$  without least element becomes a poset with 0 by adding a new element 0 to  $P$ . In this way both the number of the elements in the CD-bases of  $\mathbb{P}$  and the length of the maximal chains in  $\mathcal{D}(P)$  are increased by one. Therefore, without loss of generality we may assume that  $\mathbb{P}$  contains 0 and  $|P| \geq 2$ .

To prove the first part of Theorem 1.5, assume that  $B$  is a CD-base in  $\mathbb{P}$ . Then clearly  $0 \in B$  and  $|B| \geq 2$ . Let  $D_1 = \max(B)$ . Take any  $m_1 \in D_1$  and form  $D_2 = \max(B \setminus \{m_1\})$ . Then, in view of Lemma 1.7,  $D_1, D_2 \in \mathcal{D}(P)$ ,  $D_1 \succ D_2$ , and  $D_1$  is a maximal element in  $\mathcal{D}(P)$ . Further, suppose that we already have a sequence  $(D_i, m_i)$ ,  $1 \leq i \leq k$  ( $k \geq 2$ ) such that  $m_i \in D_i$ ,  $D_1 \succ \dots \succ D_k$  in  $\mathcal{D}(P)$  and

$$D_k = \max(B \setminus \{m_1, \dots, m_{k-1}\}).$$

We show that for all  $i \in \{1, \dots, k-1\}$  and  $d \in D_k$  we have  $d \not\leq m_i$ . (5)

This is clear for  $i = 1$  since  $m_1 \in \max(B)$  and  $d \in B$ ,  $d \neq m_1$ . If  $2 \leq i \leq k-1$ , then  $m_i \in \max(B \setminus \{m_1, \dots, m_{i-1}\})$ , and since  $d \in B \setminus \{m_1, \dots, m_{i-1}\}$ ,  $d \geq m_i$  would imply  $m_i = d \in B \setminus \{m_1, \dots, m_i, \dots, m_{k-1}\}$ , a contradiction.

Further, if  $|B \setminus \{m_1, \dots, m_{k-1}\}| \geq 2$ , then form the next set  $D_{k+1} := \max(B \setminus \{m_1, \dots, m_{k-1}, m_k\})$  and let  $m_{k+1} \in D_{k+1}$ . Since  $D_{k+1}$  is an antichain in the CD-base  $B$ , it is a disjoint set, and clearly  $D_{k+1} \neq D_k$ .

In order to prove  $D_k \succ D_{k+1}$ , consider the subposet  $(I(D_k), \leq)$ . By Proposition 1.4,  $B_k := B \cap I(D_k)$  is a CD-base in  $(I(D_k), \leq)$ . We claim that

$$B_k = B \setminus \{m_1, \dots, m_{k-1}\}.$$

Indeed,  $D_k = \max(B \setminus \{m_1, \dots, m_{k-1}\})$  implies  $B \setminus \{m_1, \dots, m_{k-1}\} \subseteq B \cap I(D_k) = B_k$ . On the other hand, (5) implies  $\{m_1, \dots, m_{k-1}\} \cap I(D_k) = \emptyset$ , whence we get  $B_k \subseteq B \setminus \{m_1, \dots, m_{k-1}\}$ , proving our claim. Hence  $D_k = \max(B_k)$ , and  $D_{k+1} = \max(B \setminus \{m_1, \dots, m_{k-1}, m_k\}) = \max(B_k \setminus \{m_k\})$ .

Now, by applying Lemma 1.7, we obtain that  $D_{k+1} \prec D_k$  holds in  $\mathcal{D}(I(D_k))$ . Finally, observe that any  $S \in \mathcal{D}(P)$  with  $S \leq D_k$  is also a disjoint set in  $(I(D_k), \leq)$  according to (A). Moreover, since  $D_{k+1} \prec D_k$  holds in  $\mathcal{D}(I(D_k))$ ,  $D_{k+1} \leq S \leq D_k$  implies either  $S = D_k$  or  $S = D_{k+1}$ . This means that  $D_{k+1} \prec D_k$  holds in  $\mathcal{D}(P)$ , too.

Thus we conclude by induction that the chain  $D_1 \succ \dots \succ D_k \succ \dots$  can be continued as long as the condition  $|B \setminus \{m_1, \dots, m_{k-1}\}| \geq 2$  is still valid. Since  $P$  is finite, the process stops after finite - let say  $n - 1$  steps, when  $|B \setminus \{m_1, \dots, m_{n-1}\}| = 1$ , and the last set is  $D_n = B \setminus \{m_1, \dots, m_{n-1}\}$ . As  $0 \in B$ , and since  $0 \notin \max(X)$  whenever  $|X| \geq 2$ , we get  $\{0\} = B \setminus \{m_1, \dots, m_{n-1}\} = D_n$ . As  $D_1$  is a maximal element and  $D_n = \{0\}$  is the least element in  $\mathcal{D}(P)$ ,  $D_1 \succ \dots \succ D_n$  is a maximal chain in  $\mathcal{D}(P)$ . Since  $B = \{m_1, \dots, m_{n-1}, 0\}$ , we obtain  $|B| = n$ .

To prove the second part of Theorem 1.5, assume that the disjoint sets  $D_1, \dots, D_m$  form a maximal chain  $\mathcal{C}$ :

$$D_1 \prec \dots \prec D_m$$

in  $\mathcal{D}(P)$ . Then  $D_1 = \{0\}$ . Let  $D = \bigcup_{i=1}^m D_i$ . First, we prove that the set  $D$  is CD-independent. Indeed, take any  $x, y \in D$ , i.e.  $x \in D_i$  and  $y \in D_j$  for some  $1 \leq i \leq j \leq m$ . Then  $x \leq z$  for some  $z \in D_j$  by (A). Assume that  $x$  and  $y$  are not comparable. Then  $z \neq y$ , and  $z \perp y$  implies  $x \perp y$  by (1). This means that  $D$  is CD-independent.

Now, assume that  $D$  is not a CD-base. Then there is an  $x \in P \setminus D$  such that  $D \cup \{x\}$  is CD-independent. Next, consider the set

$$\mathcal{E} = \{D_i \in \mathcal{C} \mid x \not\leq d \text{ for all } d \in D_i\}.$$

Clearly,  $D_1 = \{0\} \in \mathcal{E}$  since  $x \not\leq 0$ . Let  $D_i \in \mathcal{E}$ . Then  $d \perp x$  or  $d < x$  holds for each  $d \in D_i$  because  $D \cup \{x\}$  is CD-independent. Thus  $T_i := \{x\} \cup \{d \in D_i \mid d \not\leq x\}$  is a disjoint set, and  $d < x$  or  $d \in T_i$  holds for all  $d \in D_i$ . Hence

$$D_i < T_i, \quad (6)$$

in view of (A) and  $x \notin D_i$ . Observe that  $D_m \notin \mathcal{E}$  since  $D_m < T_m$  is not possible because  $\mathcal{C}$  is a maximal chain. Thus, there exists a  $k \leq m - 1$  such that  $D_k \in \mathcal{E}$  but  $D_{k+1} \notin \mathcal{E}$ . This means that  $x \not\leq d$  for all  $d \in D_k$ , and  $x \leq z$  holds for some  $z \in D_{k+1}$ . Then  $T_k = \{x\} \cup \{d \in D_k \mid d \not\leq x\} \in \mathcal{D}(P)$  satisfies  $D_k < T_k$  in virtue of (6). Since  $T_k \setminus \{x\} \subseteq D_k < D_{k+1}$  and  $x \leq z$ , for each  $t \in T_k$  there is a  $v \in D_{k+1}$  with  $t \leq v$ . In view of (A) we get  $D_k < T_k < D_{k+1}$  because  $x \notin D_{k+1} \subseteq D$ . Since this fact contradicts  $D_k \prec D_{k+1}$ , we conclude that  $D$  is a CD-base.

Further, in view of (4), it follows that any set  $D_i \setminus D_{i-1}$ ,  $2 \leq i \leq m$  contains exactly one element, let say,  $a_i$ . Observe also that

$$D = \bigcup_{i=1}^m D_i = D_1 \cup \bigcup_{i=2}^m (D_i \setminus D_{i-1}).$$

Since  $D_1 = \{0\}$  and  $D_i \setminus D_{i-1} = \{a_i\}$ , we get  $D = \{0, a_2, \dots, a_m\}$ . We prove that all the elements  $0, a_2, \dots, a_m$  are different: Clearly,  $0 \notin \{a_2, \dots, a_m\}$ . Take any  $i, j \in \{2, \dots, m\}$ ,  $i < j$ . Then  $D_i \leq D_{j-1} \prec D_j$ . As  $a_i \in D_i$ , there is a  $b \in D_{j-1}$  with  $0 < a_i \leq b$  by (A). As  $a_j \in D_j \setminus D_{j-1}$ ,  $b < a_j$  or  $b \perp a_j$  holds by (2). Since both facts imply  $a_i \neq a_j$ , we conclude that  $D$  contains  $m$  different elements.  $\square$

The length  $l(P)$  of a poset  $\mathbb{P}$  is defined as the supremum of  $|C| - 1$  where  $C$  is a chain in  $\mathbb{P}$ . The poset  $\mathbb{P}$  is called *graded* if all its maximal chains have the same cardinality. In this case,  $l(P) = |C_m| - 1$  for any maximal chain  $C_m$  in  $\mathbb{P}$ . It is known that any principal ideal (principal filter) of a finite graded poset is also graded. The next assertion is a direct consequence of Theorem 1.5.

**Corollary 1.8.** *Let  $\mathbb{P} = (P, \leq)$  be a finite poset. Then the CD-bases of  $\mathbb{P}$  have the same number of elements if and only if the poset  $\mathcal{D}(P)$  is graded.*

**Corollary 1.9.** *Let  $\mathbb{P} = (P, \leq)$  be a finite poset.*

- (i) *Let  $B \subseteq P$  be a CD-base of  $\mathbb{P}$ . Let  $(B, \leq)$  be the poset under the restricted ordering. Then any maximal chain  $\mathcal{C} = \{D_i\}_{1 \leq i \leq m}$  in  $\mathcal{D}(B)$  is also a maximal chain in  $\mathcal{D}(P)$ .*
- (ii) *If  $D$  is a disjoint set in  $\mathbb{P}$  and the CD-bases of  $\mathbb{P}$  have the same number of elements, then the CD-bases of the subposet  $(I(D), \leq)$  also have the same number of elements.*

*Proof.* (i). Since all  $D_i$  ( $1 \leq i \leq n$ ) are antichains with  $D_i \subseteq B$ , they are disjoint sets in  $(P, \leq)$ , too. Thus  $\mathcal{C}$  is a chain in  $\mathcal{D}(P)$ , and hence it is contained in a maximal chain  $\mathcal{M}$  of  $\mathcal{D}(P)$ . In view of Theorem 1.5,  $B' = \bigcup \{D \mid D \in \mathcal{M}\}$  is a CD-base in  $\mathcal{D}(P)$ , and we have  $B' \supseteq \bigcup_{i=1}^n D_i = B$ .

As  $B$  is also a CD-base, we get  $B' = B$  and this implies  $D \subseteq B$  for all  $D \in \mathcal{M}$ . Hence  $\mathcal{M}$  is a chain in  $\mathcal{D}(B)$ , and since  $\mathcal{C}$  is maximal in  $\mathcal{D}(B)$ , we obtain  $\mathcal{C} = \mathcal{M}$ . Therefore,  $\mathcal{C}$  is a maximal chain in  $\mathcal{D}(P)$ .

(ii). We claim that  $\mathcal{D}(I(D))$  coincides with the principal ideal generated by  $D$  in  $\mathcal{D}(P)$ . Indeed, any  $S \in \mathcal{D}(I(D))$  is also a disjoint set in  $\mathbb{P}$ , and satisfies  $S \leq D$  in  $\mathcal{D}(P)$  by (A). Conversely, if  $S \leq D$  holds in  $\mathcal{D}(P)$ , then (A) implies  $S \in \mathcal{D}(I(D))$ . As any principal ideal of a graded poset is graded, in view of Corollary 1.8, the CD-bases of  $I(D)$  have the same number of elements.  $\square$

*Remark 1.10.* Let  $\mathbb{P} = (P, \leq)$  be a poset with 0. Let  $A(P)$  be the set of *atoms* of  $\mathbb{P}$ , i.e. of all  $a \in P$  with  $0 \prec a$ . Then  $x \perp y$  or  $x \geq y$  holds for all

$x \in P$  and  $y \in A(P) \cup \{0\}$ , and hence  $A(P) \cup \{0\}$  is a subset of any CD-base of  $\mathbb{P}$ .

A disjoint set  $D \neq \{0\}$  of a poset  $(P, \leq)$  is called *complete*, if there is no  $p \in P \setminus D$  such that  $D \cup \{p\}$  is also a disjoint set.

**Lemma 1.11.** *Let  $\mathbb{P} = (P, \leq)$  be a finite poset with 0. A disjoint set  $D \neq \{0\}$  of  $\mathbb{P}$  is complete if and only if  $A(P) \leq D$  in  $\mathcal{D}(P)$ .*

*Proof.* Let  $D$  be a complete disjoint set and  $a \in A(P)$ . Then there is an  $x \in D$  with  $a \leq x$ , otherwise  $a \perp x$  for all  $x \in D$  would imply that  $D \cup \{a\}$  is a disjoint set, a contradiction. Hence  $A(P) \leq D$  according to (A). Conversely, assume that  $A(P) \leq D$  holds for some  $D \in \mathcal{D}(P) \setminus \{0\}$ , and take  $p \in P \setminus D$ . In view of Remark 1.1(i),  $D \cup \{p\}$  is not a disjoint set for  $p = 0$ . Let  $p \neq 0$ . Since  $\mathbb{P}$  is finite, there is an atom  $a \in P$  with  $a \leq p$ . As  $A(P) \leq D$ , we get  $a \leq x$  for some  $x \in D$  by (A). Since  $x \perp p$  is not satisfied,  $D \cup \{p\}$  is not a disjoint set. Thus  $D$  is complete.  $\square$

This result means that the complete disjoint sets of  $\mathbb{P}$  coincide with the principal filter  $[A(P)]$  in  $\mathcal{D}(P)$ . Their subposet  $([A(P)], \leq)$  will be denoted by  $\mathcal{DC}(P)$ . Its importance is shown by the following assertion:

**Proposition 1.12.** *Let  $\mathbb{P} = (P, \leq)$  be a finite poset with 0. Then the following conditions are equivalent:*

- (i) *The CD-bases of  $\mathbb{P}$  have the same number of elements.*
- (ii)  *$\mathcal{D}(P)$  is graded.*
- (iii)  *$\mathcal{DC}(P)$  is graded.*

*Proof.* (i) $\Leftrightarrow$ (ii) is just Corollary 1.8, and (ii) $\Rightarrow$ (iii) follows from the fact that any principal filter of a finite graded poset is also graded.

(iii) $\Rightarrow$ (ii). Let  $l$  be the length of  $\mathcal{DC}(P)$  and  $A(P) = \{a_1, a_2, \dots, a_{k-1}\}$ . We will show that any maximal chain  $\mathcal{C} : D_1 \prec \dots \prec D_n$  in  $\mathcal{D}(P)$  has the length  $k + l - 1$ . Indeed, in virtue of Theorem 1.5,  $B = \bigcup_{i=1}^n D_i$  is a CD-base in  $\mathbb{P}$  with  $|B| = n$ . Thus  $A(P) \cup \{0\} \subseteq B$  by Remark 1.10. By Lemma 1.6

$$\mathcal{A} : \{0\} \prec \{a_1\} \prec \{a_1, a_2\} \prec \dots \prec \{a_1, \dots, a_{k-1}\}$$

is a maximal chain in the interval  $[\{0\}, A(P)]$ . Consider the subposet  $(B, \leq)$  of  $\mathbb{P}$ . As  $\mathcal{A}$  is a chain in  $\mathcal{D}(B)$ , it is contained in a maximal chain  $\mathcal{M} : \{0\} = D'_1 \prec \dots \prec D'_m$  of  $\mathcal{D}(B)$ . Then  $D'_k = A(P)$ , and since  $B$  is the only CD-base in  $(B, \leq)$ , by Theorem 1.5 we get  $B = \bigcup_{i=1}^m D'_i$  and  $m = |B| = n$ . By Corollary 1.9(i),  $\mathcal{M}$  is also a maximal chain in  $\mathcal{D}(P)$ , moreover,  $D'_k, \dots, D'_n$  are complete disjoint sets, according to Lemma 1.11. Therefore,  $D'_k \prec \dots \prec D'_n$  is a maximal chain in  $\mathcal{DC}(P)$ , and hence its length  $n - k$  is equal to  $l$ . Then  $n - 1 = k + (n - k - 1) = k + l - 1$ , i.e. the length  $n - 1$  of  $\mathcal{C}$  equals to  $k + l - 1$ .  $\square$



Now, we will show that under some weak conditions,  $\mathcal{D}(P)$  graded implies that  $\mathbb{P}$  itself is graded. A poset with least element 0 and greatest element 1 is called *bounded*. A lattice  $L$  with 0 is called *0-modular* if for any  $a, b, c \in L$

$$(M_0) \quad a \leq b \text{ and } b \wedge c = 0 \text{ imply } b \wedge (a \vee c) = a$$

Equivalently,  $L$  has no pentagon sublattice  $N_5$  that contains  $0 = 0_L$ . If  $(M_0)$  is satisfied under the assumptions that  $a$  is an atom and  $c \prec b \vee c$ , then  $L$  is called *weakly 0-modular*.  $L$  is *lower-semimodular* if for any  $a, b, c \in L$ ,  $b \prec c$  implies  $a \wedge b \preceq a \wedge c$ . It is easy to see that any lower-semimodular lattice and any 0-modular lattice is weakly 0-modular. We say that a poset  $\mathbb{P}$  with 0 is *weakly 0-modular* if the above weak form of  $(M_0)$  holds whenever  $\sup\{a, c\}$  and  $\sup\{b, c\}$  exist in  $\mathbb{P}$ .

**Proposition 1.13.** *Let  $\mathbb{P}$  be a finite bounded poset.*

- (a) *If all the principal ideals  $\langle a \rangle$  of  $\mathbb{P}$  are weakly 0-modular, then  $A(P) \cup C$  is a CD-base for every maximal chain  $C$  in  $\mathbb{P}$ .*
- (b) *If  $\mathbb{P}$  has weakly 0-modular principal ideals and  $\mathcal{D}(P)$  is graded, then  $\mathbb{P}$  is also graded, and any CD-base of  $\mathbb{P}$  contains  $|A(P)| + l(P)$  elements.*

*Proof.* (a) Let  $C$  be a maximal chain. Clearly,  $C$  has the form:  $0 = c_0 \prec c_1 \prec \dots \prec c_n = 1$  and  $A(P) \cup C$  is CD-independent. Now let  $y \in P \setminus C$  such that  $C \cup \{y\}$  is CD-independent; we will prove  $y \in A(P)$ . Since  $y < 1$  and  $y \neq 0$ , there exists an element  $c_i \in C \setminus \{0\}$  such that  $y < c_i$  and  $y \not\leq c_{i-1}$ . Since  $y \geq c_{i-1}$  does not hold, we get  $y \perp c_{i-1}$ . Let  $a$  be an atom under  $y$ : then  $a \leq c_i$ , and  $a \perp c_{i-1}$  by (1). As  $c_i$  is the unique upper cover of  $c_{i-1}$  in the subposet  $\langle c_i \rangle$ , it is also the least upperbound for  $\{y, c_{i-1}\}$  and  $\{a, c_{i-1}\}$  in  $\langle c_i \rangle$ . Hence  $a \vee c_{i-1} = y \vee c_{i-1} = c_i$  holds in  $\langle c_i \rangle$ . Since  $(\langle c_i \rangle, \leq)$  is weakly 0-modular,  $0 \prec a \leq y$ ,  $y \wedge c_{i-1} = \inf\{y, c_{i-1}\} = 0$  and  $c_{i-1} \prec y \vee c_{i-1}$  imply  $a = y \wedge (a \vee c_{i-1}) = y \wedge c_i = y$ . Thus  $y \in A(P)$ , hence  $A(P) \cup C$  is a CD base.

(b) In view of Corollary 1.8, if  $\mathcal{D}(P)$  is graded, then any CD-base  $B$  of  $\mathbb{P}$  has the same number of elements as  $A(P) \cup C$ , i.e.  $|B| = |A(P)| + |C| - 1$ . Consequently, if  $C_1$  and  $C_2$  are two maximal chains in  $\mathbb{P}$ , then  $|A(P)| + |C_1| - 1 = |A(P)| + |C_2| - 1$ , i.e.  $|C_1| = |C_2|$ .

Thus  $\mathbb{P}$  is graded and  $l(P) = |C| - 1$ . The remaining part is clear.  $\square$

## 2. CD-BASES IN SEMILATTICES AND LATTICES

**Lemma 2.1.** *Let  $\mathbb{P}$  be a poset with 0. Let  $D_k$  be disjoint sets in  $\mathbb{P}$  for any  $k \in K$ , where  $K$  is a nonempty set. If the meet  $\bigwedge_{k \in K} a^{(k)}$  of any system of elements  $a^{(k)} \in D_k$ ,  $k \in K$ , exist in  $\mathbb{P}$ , then  $\bigwedge_{k \in K} D_k$  also exists in  $\mathcal{D}(P)$ . In particular, for  $K = \{1, 2\}$  and  $D_1 = \{a_i \mid i \in I\}$ ,  $D_2 = \{b_j \mid j \in J\} \in \mathcal{D}(P)$ ,*

$$D_1 \wedge D_2 = \begin{cases} M := \{a_i \wedge b_j \neq 0 \mid i \in I, j \in J\}, & \text{if } M \neq \emptyset; \\ \{0\}, & \text{otherwise.} \end{cases} \quad (7)$$

*Proof.* Since  $\{0\}$  is the least element in  $\mathcal{D}(P)$ , we have  $\{0\} = \bigwedge_{k \in K} D_k$ , whenever  $\{0\}$  belongs to  $\{D_k \mid k \in K\}$ . Hence we may assume that  $D_k \neq \{0\}$ ,  $k \in K$ . Now, for all possible systems of elements  $a^{(k)} \in D_k$ ,  $k \in K$ , form the set  $M$  of their nonzero meets  $\bigwedge_{k \in K} a^{(k)}$  in  $\mathbb{P}$ . If  $M \neq \emptyset$ , then define  $S := M$ , otherwise let  $S := \{0\}$ . We show that  $S$  is a disjoint set. This is clear for  $S = \{0\}$ . If  $S \neq \{0\}$ , then for any elements  $\bigwedge_{k \in K} a^{(k)} \neq \bigwedge_{k \in K} b^{(k)}$  of  $S$ , there exists a  $k_0 \in K$  such that  $a^{(k_0)} \neq b^{(k_0)}$ . As  $a^{(k_0)}, b^{(k_0)} \in D_{k_0}$ , we get  $a^{(k_0)} \perp b^{(k_0)}$ , and this fact implies  $\left(\bigwedge_{k \in K} a^{(k)}\right) \perp \left(\bigwedge_{k \in K} b^{(k)}\right)$  by (1). This result means that  $S \in \mathcal{D}(P)$ . Next, we prove  $S = \bigwedge_{k \in K} D_k$ . The case  $M = \emptyset$  is clear since then  $S = 0$  is the only lower bound of the  $D_k$ ,  $k \in K$ . Hence we can assume that  $M \neq \emptyset$ . As for each  $\bigwedge_{k \in K} a^{(k)} \in S$  we have  $\bigwedge_{k \in K} a^{(k)} \leq a^{(k)} \in D_k$ ,  $k \in K$ , we get  $S \leq D_k$  for all  $k \in K$ . Let  $T = \{t_\lambda \mid \lambda \in \Lambda\} \in \mathcal{D}(P)$ , such that  $T \leq D_k$ ,  $k \in K$ . If  $T = \{0\}$ , then  $T \leq S$ . If  $T \neq \{0\}$ , then  $t_\lambda \neq 0$  for all  $\lambda \in \Lambda$ , and in view of (A), for each  $k \in K$  there is an element  $a_\lambda^{(k)} \in D_k$ , such that  $t_\lambda \leq a_\lambda^{(k)}$ . Since by our assumption, all  $\bigwedge_{k \in K} a_\lambda^{(k)}$  exist in  $\mathbb{P}$ , we get  $0 < t_\lambda \leq \bigwedge_{k \in K} a_\lambda^{(k)}$ ,  $\lambda \in \Lambda$ . As  $\bigwedge_{k \in K} a_\lambda^{(k)} \in S$ , we obtain  $T \leq S$  by (A). This proves  $S = \bigwedge_{k \in K} D_k$ . The remaining part is clear.  $\square$

Let  $\mathbb{P} = (P, \wedge)$  be a semilattice with 0. Now, for any  $a, b \in P$  the relation  $a \perp b$  means that  $a \wedge b = 0$ . Hence, a set  $\{a_i \mid i \in I\}$  of nonzero elements is a disjoint set if and only if  $a_i \wedge a_j = 0$  for all  $i, j \in I$ ,  $i \neq j$ . A pair  $a, b \in P$  with least upperbound  $a \vee b$  in  $\mathbb{P}$  is called a *distributive pair* if  $(c \wedge a) \vee (c \wedge b)$  exists in  $\mathbb{P}$  for any  $c \in P$ , and  $c \wedge (a \vee b) = (c \wedge a) \vee (c \wedge b)$ . We say that  $(P, \wedge)$  is *dp-distributive* (*distributive with respect to disjoint pairs*) if any pair  $a, b \in P$  with  $a \wedge b = 0$  is a distributive pair.

**Theorem 2.2.** (i) *If  $\mathbb{P} = (P, \wedge)$  is a semilattice with 0, then  $\mathcal{D}(P)$  is a dp-distributive semilattice. If, in addition,  $D_1, D_2 \in \mathcal{D}(P)$  such that  $D_1 \cup D_2$  is a CD-independent set, then  $D_1, D_2$  is a distributive pair in  $\mathcal{D}(P)$ .*  
(ii) *If  $\mathbb{P}$  is a complete lattice, then  $\mathcal{D}(P)$  is a dp-distributive complete lattice.*

*Proof.* (i) Let  $D_1 = \{a_i \mid i \in I\}, D_2 = \{b_j \mid j \in J\} \in \mathcal{D}(P)$ . By applying Lemma 2.1 (with  $K = \{1, 2\}$ ) we get that  $D_1 \wedge D_2 \in \mathcal{D}(P)$  always exists, and it is given by (7). Thus  $\mathcal{D}(P)$  is a semilattice with 0.

From now on, suppose that  $D_1 \cup D_2$  is a CD-independent set. Since  $D_1, D_2$  are antichains, any chain in  $D_1 \cup D_2$  has at most two elements (one in  $D_1$  and the other in  $D_2$ ). Thus  $\max(D_1 \cup D_2) \neq \emptyset$ , and for any  $d \in D_1 \cup D_2$  there exists an  $m \in \max(D_1 \cup D_2)$  with  $d \leq m$ . Since  $\max(D_1 \cup D_2)$  is an antichain in a CD-independent set, it is a disjoint set, and  $D_1, D_2 \leq \max(D_1 \cup D_2)$  by (A). We show that

$$\max(D_1 \cup D_2) = D_1 \vee D_2 \text{ in } \mathcal{D}(P). \quad (8)$$

Indeed, take any  $T \in \mathcal{D}(P)$ , with  $D_1, D_2 \leq T$ . Then, in view of (A), for any  $d \in D_1 \cup D_2$ , there is a  $t \in T$  with  $d \leq t$ . As  $\max(D_1 \cup D_2) \subseteq D_1 \cup D_2$ , we get  $\max(D_1 \cup D_2) \leq T$  by (A). Thus  $\max(D_1 \cup D_2) = D_1 \vee D_2$ .

Further, we prove that for any  $D_3 = \{c_q \mid q \in Q\} \in \mathcal{D}(P)$  we have

$$(D_1 \vee D_2) \wedge D_3 = (D_1 \wedge D_3) \vee (D_2 \wedge D_3). \quad (9)$$

Since the inequality  $(D_1 \wedge D_3) \vee (D_2 \wedge D_3) \leq (D_1 \vee D_2) \wedge D_3$  holds whenever both of its sides exist, it is enough to show its converse. Clearly, we may assume  $(D_1 \vee D_2) \wedge D_3 \neq \{0\}$ . Then, by applying (8) and (7), we obtain:

$$(D_1 \vee D_2) \wedge D_3 = \{m \wedge c_q \neq 0 \mid m \in \max(D_1 \cup D_2), q \in Q\}.$$

In view of (8),  $(D_1 \wedge D_3) \vee (D_2 \wedge D_3)$  exists in  $\mathcal{D}(P)$ , whenever  $(D_1 \wedge D_3) \cup (D_2 \wedge D_3)$  is CD-independent. This holds if  $D_1 \wedge D_3 = \{0\}$  or  $D_2 \wedge D_3 = \{0\}$ . Otherwise, by (7),  $D_1 \wedge D_3 = \{a_i \wedge c_q \neq 0 \mid i \in I, q \in Q\} \in \mathcal{D}(P)$  and  $D_2 \wedge D_3 = \{b_j \wedge c_q \neq 0 \mid j \in J, q \in Q\} \in \mathcal{D}(P)$ . If  $(a_i \wedge c_q) \wedge (b_j \wedge c_{q'}) \neq 0$  for some  $i \in I, j \in J$  and  $q, q' \in Q$ , then  $c_q \wedge c_{q'} \neq 0$ ,  $a_i \wedge b_j \neq 0$ , hence we get  $c_q = c_{q'}$ , and  $a_i \leq b_j$  or  $b_j \leq a_i$ , because  $c_q, c_{q'} \in D_3$ ,  $a_i, b_j \in D_1 \cup D_2$  and  $D_1 \cup D_2$  is CD-independent. This implies  $a_i \wedge c_q \leq b_j \wedge c_{q'}$  or  $b_j \wedge c_{q'} \leq a_i \wedge c_q$ , proving that  $(D_1 \wedge D_3) \cup (D_2 \wedge D_3)$  is CD-independent.

Now, consider an  $x \in (D_1 \vee D_2) \wedge D_3$ . Since  $\{0\} \neq (D_1 \vee D_2) \wedge D_3 \in \mathcal{D}(P)$ ,  $x \neq 0$ . By (8) and Lemma 2.1, there are  $i \in \{1, 2\}$ ,  $d_i \in D_i$  and  $d_3 \in D_3$  such that  $x = d_i \wedge d_3$ . (A) together with  $d_i \wedge d_3 \in D_i \wedge D_3 \leq (D_1 \wedge D_3) \cup (D_2 \wedge D_3)$  give a  $y \in (D_1 \wedge D_3) \cup (D_2 \wedge D_3)$  such that  $x = d_i \wedge d_3 \leq y$ . Hence  $(D_1 \vee D_2) \wedge D_3 \leq (D_1 \wedge D_3) \vee (D_2 \wedge D_3)$  by (A) since  $x$  was arbitrary. This proves (9).

Finally, let  $D_1 = \{a_i \mid i \in I\} \in \mathcal{D}(P)$ ,  $D_2 = \{b_j \mid j \in J\} \in \mathcal{D}(P)$  such that  $D_1 \wedge D_2 = \{0\}$ . Then, in view of (7) we have  $a_i \wedge b_j = 0$  for all  $i \in I$  and  $j \in J$ . Thus  $D_1 \cup D_2$  is a CD-independent set, and hence  $D_1 \vee D_2$  exists in  $\mathcal{D}(P)$ . Therefore,  $D_1, D_2$  is a distributive pair in  $\mathcal{D}(P)$ , according to (9). This result means that  $(\mathcal{D}(P), \wedge)$  is dp-distributive.

(ii) As  $\mathbb{P}$  is a complete lattice, it has a 1 element, and  $\{1\}$  is the greatest element of  $\mathcal{D}(P)$ . Since by Lemma 2.1,  $\bigwedge X$  exists for all  $X \subseteq \mathcal{D}(P)$ ,  $\mathcal{D}(P)$  is complete lattice. In view of (i),  $\mathcal{D}(P)$  is dp-distributive.  $\square$

Let  $(P, \leq)$  be a poset and  $A \subseteq P$ .  $(A, \leq)$  is called a *sublattice* of  $(P, \leq)$ , if  $(A, \leq)$  is a lattice such that for any  $a, b \in A$  the infimum and the supremum of  $\{a, b\}$  are the same in the subposet  $(A, \leq)$  and in  $(P, \leq)$ . If  $x \prec_A y$  implies  $x \prec_P y$  for all  $x, y \in A$ , then we say that  $(A, \leq)$  is a *cover-preserving subposet* of  $(P, \leq)$ .

**Theorem 2.3.** *Let  $\mathbb{P} = (P, \leq)$  be a poset with 0 and let  $B$  be a CD-base of it. Then  $(\mathcal{D}(B), \leq)$  is a distributive cover-preserving sublattice of the poset  $(\mathcal{D}(P), \leq)$ . If  $\mathbb{P}$  is a  $\wedge$ -semilattice, then for any  $D \in \mathcal{D}(P)$  and  $D_1, D_2 \in \mathcal{D}(B)$  we have  $(D_1 \vee D_2) \wedge D = (D_1 \wedge D) \vee (D_2 \wedge D)$  in  $\mathcal{D}(P)$ .*

*Proof.* Observe that any  $D \in \mathcal{D}(B)$  is also a disjoint set in  $\mathbb{P}$ , since  $D$  is an antichain within the CD-base  $B$ . Hence  $\mathcal{D}(B) \subseteq \mathcal{D}(P)$ . Take any  $x, y \in B$ . Since we have  $x \leq y$  or  $y \leq x$  or  $x \perp y$ , we get  $x \wedge y = x$ , or  $x \wedge y = y$ , or  $x \wedge y = 0$ ; so  $x \wedge y \in B$  exists in all possible cases. Thus  $(B, \leq)$  is a  $\wedge$ -semilattice with 0. Take  $D_1, D_2 \in \mathcal{D}(B)$ . In view of (7),  $D_1 \wedge D_2 = \{0\} \subseteq B$  or  $D_1 \wedge D_2 = \{x \wedge y \neq 0 \mid x \in D_1, y \in D_2\} \subseteq B$ . Hence  $D_1 \wedge D_2$  is the same both in  $\mathcal{D}(B)$  and  $\mathcal{D}(P)$ . As  $D_1 \cup D_2 \subseteq B$ ,  $D_1 \cup D_2$  is CD-independent. Then, in virtue of (8),  $D_1 \vee D_2$  exists in  $\mathcal{D}(P)$  and  $D_1 \vee D_2 = \max(D_1 \cup D_2) \subseteq B$ . Thus  $D_1 \vee D_2$  in  $\mathcal{D}(B)$  is the same as in  $\mathcal{D}(P)$ . Hence  $(\mathcal{D}(B), \leq)$  is sublattice of  $(\mathcal{D}(P), \leq)$ . Let  $D \in \mathcal{D}(B)$ . Since  $(\mathcal{D}(B), \leq)$  is a lattice and  $D_1 \cup D_2$  is CD-independent, in view of Theorem 2.2 we get  $(D_1 \vee D_2) \wedge D = (D_1 \wedge D) \vee (D_2 \wedge D)$ . Thus  $\mathcal{D}(B)$  is a distributive lattice. Finally, suppose that  $D \prec S$  holds in  $\mathcal{D}(B)$  for some  $D, S \in \mathcal{D}(B)$ . Then  $D \prec S$  is contained in a maximal chain  $\mathcal{C}$  of  $\mathcal{D}(B)$ . Since by Corollary 1.9(i),  $\mathcal{C}$  is also a maximal chain in  $\mathcal{D}(P)$ ,  $D \prec S$  holds in  $\mathcal{D}(P)$ , too.

Let  $\mathbb{P}$  be a  $\wedge$ -semilattice and  $D_1, D_2 \in \mathcal{D}(B)$ . Since  $D_1 \cup D_2$  is CD-independent,  $(D_1 \vee D_2) \wedge D$  exists for any  $D \in \mathcal{D}(P)$ , and in view of Theorem 2.2,  $(D_1 \vee D_2) \wedge D = (D_1 \wedge D) \vee (D_2 \wedge D)$ .  $\square$

### 3. CD-BASES IN PARTICULAR LATTICE CLASSES

In this section we investigate CD-bases in two particular lattice classes. The properties of the first class generalize the properties of tolerance lattices of majority algebras. It was proved in [7] and [3] that the tolerance lattice of any majority algebra is a pseudocomplemented, 0-modular and dp-distributive lattice. These properties are not independent, we will show for instance that dp-distributivity implies 0-modularity.

A lattice  $L$  with 0 is called *pseudocomplemented* if for each  $x \in L$  there exists an element  $x^* \in L$  such that for any  $y \in L$ ,  $y \wedge x = 0 \Leftrightarrow y \leq x^*$ . It is known that an algebraic lattice  $L$  is pseudocomplemented if and only if it is 0-distributive, that is, for any  $a, b, x \in L$ ,  $x \wedge a = 0$  and  $x \wedge b = 0$  imply  $x \wedge (a \vee b) = 0$ . We say that  $L$  is *weakly 0-distributive* if this implication holds under the condition  $a \wedge b = 0$ . Clearly, any 0-distributive lattice is weakly 0-distributive. If  $D$  is a disjoint set in a weakly 0-distributive lattice and  $|D| \geq 2$ , then it is easy to see that replacing two different elements  $d_1, d_2 \in D$  by their join  $d_1 \vee d_2$ , we obtain again a disjoint set.

**Lemma 3.1.** *Let  $L$  be a finite weakly 0-distributive lattice and  $D$  a dual atom in  $\mathcal{D}(L)$ . Then either  $D = \{d\}$  for some  $d \in L$  with  $d \prec 1$ , or  $D$  consist of two different elements  $d_1, d_2 \in L$  with  $d_1 \vee d_2 = 1$ .*

*Proof.* Assume that  $D \prec \{1\}$  holds in  $\mathcal{D}(L)$ . If there exists  $d_1, d_2 \in D$ ,  $d_1 \neq d_2$ , then  $D' = \{d_1 \vee d_2\} \cup (D \setminus \{d_1, d_2\})$  is disjoint set and  $D < D'$ . Hence  $D \prec \{1\}$  implies  $D' = \{1\}$ , and this is possible only when  $d_1 \vee d_2 = 1$  and  $D = \{d_1, d_2\}$ . If  $D = \{d\}$  for some  $d \in L$ , then  $d \prec 1$ , otherwise  $d < x < 1$  for some  $x \in L$  would imply  $D < \{x\} < \{1\}$ , a contradiction.  $\square$

Let  $L$  be a graded lattice, and  $0, a \in L$ . Then the *height* of  $a$  is the length of the interval  $[0, a]$ , denoted by  $l(a)$  (In literature, it is also denoted by  $h(a)$ .)

*Remark 3.2.* A graded lattice  $L$  with  $0$  is 0-modular, whenever  $l(a) + l(b) = l(a \vee b)$  holds for all  $a, b \in L$  with  $a \wedge b = 0$ : Indeed, if  $L$  is not 0-modular, then in view of Varlet's result [19] it has an  $N_5$  sublattice containing  $0$ , thus there exist  $a, b, c \in L$  such that  $c > b$  and  $a \wedge b = a \wedge c = 0$ ,  $a \vee b = a \vee c$ . Hence by our assumption  $l(a) + l(b) = l(a \vee b) = l(a \vee c) = l(a) + l(c)$ . Thus we obtain  $l(b) = l(c)$ , in contradiction with  $b < c$ .

**Theorem 3.3.** *Let  $L$  be a finite, weakly 0-distributive lattice. Then the following are equivalent:*

- (i)  $L$  is graded, and  $l(a) + l(b) = l(a \vee b)$  holds for all  $a, b \in L$  with  $a \wedge b = 0$ .
- (ii)  $L$  is 0-modular, and the CD-bases of  $L$  have the same number of elements.

*Proof.* (i) $\Rightarrow$ (ii). In view of Remark 3.2, (i) implies that  $L$  is 0-modular. Further, denote by  $\mathcal{T}$  the class of finite, weakly 0-distributive lattices satisfying condition (i). We prove via induction on the length  $l$  of the lattices  $L \in \mathcal{T}$  that any CD-base of them has  $|A(L)| + l$  elements. If  $l = 1$ , then  $L$  is a chain  $0 \prec a$ , and our assertion holds trivially, since  $L$  itself is a CD-base. Let  $L \in \mathcal{T}$  have length  $l \geq 2$ , and suppose that the assertion is true for any  $K \in \mathcal{T}$ , with length  $l(K) \leq l - 1$ . Take any CD-base  $B$  of  $L$ ; then  $\{0, 1\} \cup A(L) \subseteq B$ ,  $\max(B) = \{1\}$  is the greatest element in  $\mathcal{D}(L)$ , and  $1 \notin A(L)$ . Let  $N = \max(B \setminus \{1\})$ . In virtue of Lemma 1.7,  $N$  is a dual atom in  $\mathcal{D}(L)$ . Clearly,  $A(L) \subseteq B \setminus \{1\} \subseteq I(N)$ . Since  $L$  is finite and weakly 0-distributive, Lemma 3.1 yields either  $N = \{d\}$  for some  $d \prec 1$ , or  $N = \{d_1, d_2\}$  with  $d_1 \vee d_2 = 1$ .

In the first case,  $A(L) \subseteq B \setminus \{1\} = B \cap (d]$ ,  $l(d) = l - 1$ , and clearly, the lattice  $(d]$  belongs to the class  $\mathcal{T}$ . In view of Proposition 1.4,  $B \cap (d]$  is a CD-base in  $(d]$ , hence by applying the induction hypothesis to  $(d]$ , we get  $|B| - 1 = |A(L)| + l - 1$ , i.e.  $|B| = |A(L)| + l$ .

In the second case  $A(L) \subseteq B \setminus \{1\} \subseteq (d_1] \cup (d_2]$ , and since  $N$  is a disjoint set,  $d_1 \wedge d_2 = 0$ . Hence the single common element of  $(d_1]$  and  $(d_2]$  is  $0$ , and since  $B \setminus \{1\} = (B \cap (d_1]) \cup (B \cap (d_2])$  and  $A(L) \subseteq B \setminus \{0, 1\}$ , we obtain  $|B| - 1 = |B \cap (d_1)] + |B \cap (d_2)] - 1$ , and  $|A(L)| = |A((d_1))| + |A((d_2))|$ . In view of Proposition 1.4,  $B \cap (d_1]$  and  $B \cap (d_2]$  is a CD-base in  $(d_1]$ ,  $(d_2]$ , respectively. It is obvious that  $(d_1], (d_2] \in \mathcal{T}$  and  $l(d_1), l(d_2) \leq l - 1$ , hence the induction hypothesis implies

$$|B \cap (d_1)] + |B \cap (d_2)] = |A((d_1))| + l(d_1) + |A((d_2))| + l(d_2) =$$

$$= |A(L)| + l(d_1) + l(d_2).$$

As  $d_1 \wedge d_2 = 0$ , (i) implies  $l(d_1) + l(d_2) = l(d_1 \vee d_2) = l$ . Thus we obtain  $|B| = |B \cap (d_1)] + |B \cap (d_2)] = |A(L)| + l$ , which proves that (i) implies (ii).

(ii) $\Rightarrow$ (i). Since the CD-bases of  $L$  have the same cardinality, in virtue of Corollary 1.8 and Proposition 1.13(b),  $L$  is graded. Hence any principal ideal  $(p]$  of  $L$  is a graded lattice, and by Corollary 1.9(ii) the CD-bases of  $(p]$  have the same number of elements. As all the principal ideals in  $(p]$  are 0-modular, by Proposition 1.13(b) this number is  $|A((p))| + l(p)$ . (10)

Now, let  $a, b \in L$ ,  $a \wedge b = 0$ . Clearly, to prove (i) it is enough to consider the case  $a \neq 0$ ,  $b \neq 0$ . Then  $a$  and  $b$  are incomparable, since  $a \wedge b \notin \{a, b\}$ . Consider the principal ideal  $I = (a \vee b]$ . Since  $\{a, b\}$  is a CD-independent set in  $I$ , there exists a CD-base  $B_I$  of  $I$  containing  $\{a, b\}$ . As  $l(I) = l(a \vee b)$ ,  $B_I$  has  $|A(I)| + l(a \vee b)$  elements by (10).

Further, we prove that  $a$  and  $b$  are maximal elements in  $B_I \setminus \{a \vee b\}$ . Indeed,  $a, b < a \vee b$  because  $a, b$  are incomparable. Suppose that  $a \leq x$  for some  $x \in B_I$ ,  $x < a \vee b$ . Then  $a \vee b \leq x \vee b \leq a \vee b$  implies  $x \vee b = a \vee b$ . Observe that  $b$  and  $x$  are incomparable; indeed,  $b \leq x$  is not possible since it yields  $x = a \vee b$ . Furthermore,  $x \leq b$  would imply  $b = a \vee b$ , i.e.  $a \leq b$ , hence it should also be excluded. Thus we obtain  $x \wedge b = 0$  because  $B$  is CD-independent. Since  $L$  is 0-modular, by using  $(M_0)$  we get  $x = x \wedge (x \vee b) = x \wedge (a \vee b) = a$ . Therefore,  $a$  is a maximal element in  $B_I \setminus \{a \vee b\}$ . Similarly, we can prove  $b \in \max(B_I \setminus \{a \vee b\})$ . Next, let  $N := \max(B_I \setminus \{a \vee b\})$ . Since  $\max(B_I) = \{a \vee b\}$ , in view of Lemma 1.7 we get that  $N$  is a disjoint set and  $N \prec \{a \vee b\}$  in  $\mathcal{D}(I)$ . Since  $a \neq b$ ,  $a, b \in N$ , and  $I$  is finite and weakly 0-distributive, by applying Lemma 3.1 we obtain  $N = \{a, b\}$ .

Now, we can repeat the argument in the proof of (i) $\Rightarrow$ (ii) (with  $d_1 = a$ ,  $d_2 = b$  and  $l = l(a \vee b) \geq 2$ ), and by using (10) we get  $|B_I| = |B_I \cap (a)] + |B_I \cap (b)] = |A(I)| + l(a) + l(b)$ . Thus we deduce  $l(a) + l(b) = l(a \vee b)$ , and our proof is completed.  $\square$

We say that two elements  $a, b \in L$  form a *modular pair* in the lattice  $L$  and we write  $(a, b)M$  if for any  $x \in L$ ,  $x \leq b$  implies  $x \vee (a \wedge b) = (x \vee a) \wedge b$ .  $a, b$  is called a *dually modular pair* if for any  $x \in L$ ,  $x \geq b$  implies  $x \wedge (a \vee b) = (x \wedge a) \vee b$ . This is denoted by  $(a, b)M^*$ . Clearly, if  $a, b$  is a distributive pair, then  $(a, b)M^*$  is satisfied. By the mean of modular pairs the 0-modularity condition can be reformulated as follows (see [17]): For any  $a, b \in L$ ,

$$a \wedge b = 0 \implies (a, b)M. \quad (11)$$

**Lemma 3.4.** ([17]; Lemma 1.9.15]) *In a graded lattice of finite length,  $(a, b)M$  implies  $l(a) + l(b) \leq l(a \wedge b) + l(a \vee b)$ .*

**Proposition 3.5.** *If  $L$  is a lattice with 0 such that  $(a, b)M^*$  holds for all  $a, b \in L$  with  $a \wedge b = 0$ , then  $L$  is 0-modular. If, in addition,  $L$  is a graded lattice of finite length, then  $l(a \vee b) = l(a) + l(b)$  holds for all  $a, b \in L$  with  $a \wedge b = 0$ .*

*Proof.* If  $L$  is not 0-modular, then in view of Varlet's result, it has an  $N_5$  sublattice containing 0, i.e. there exist elements  $a, b, c \in L$  such that  $c > b$  and  $a \wedge b = a \wedge c = 0$ ,  $a \vee b = a \vee c$ . Since  $(a, b)M^*$  by our assumption, we obtain  $c = c \wedge (a \vee b) = (c \wedge a) \vee b = (a \wedge b) \vee b = b$ , a contradiction. Thus  $L$  is 0-modular. Now, suppose that in addition  $L$  is graded and has a finite length  $l$ , and let  $a, b \in L$ ,  $a \wedge b = 0$ . Since  $L$  is 0-modular, we get  $l(a \vee b) \geq l(a) + l(b)$  by (11) and Lemma 3.4. Now consider the lattice  $L^{(d)}$  dual to  $L$ . Then  $(a, b)M^*$  in  $L$  implies  $(a, b)M$  in the lattice  $L^{(d)}$ . Clearly,  $L^{(d)}$  is also a graded lattice with length  $l$  and the height  $l^{(d)}(x)$  of any element  $x$  in  $L^{(d)}$  is equal to  $l - l(x)$ . (where  $l(x)$  is the height of  $x$  in  $L$ ). Since  $(a, b)M$  holds in  $L^{(d)}$ , by using again Lemma 3.4 we get  $l^{(d)}(a) + l^{(d)}(b) \leq l^{(d)}(a \wedge b) + l^{(d)}(a \vee b)$ , i.e.  $(l - l(a)) + (l - l(b)) \leq l + l - l(a \vee b)$  because  $l^{(d)}(a \wedge b) = l$ . Hence  $l(a) + l(b) \geq l(a \vee b)$ , and this proves  $l(a \vee b) = l(a) + l(b)$ .  $\square$

**Corollary 3.6.** (i) *Let  $L$  be a finite, weakly 0-distributive lattice such that for each  $a, b \in L$  with  $a \wedge b = 0$ , condition  $(a, b)M^*$  holds. Then the CD-bases of  $L$  have the same number of elements if and only if  $L$  is graded.*  
(ii) *If  $L$  is a finite, pseudocomplemented and modular lattice, then the CD-bases of  $L$  have the same number of elements.*

*Proof.* (i) follows directly from Proposition 3.5 and Theorem 3.3.  
(ii) Since any pseudocomplemented lattice is weakly 0-distributive, and any finite modular lattice  $L$  is graded, moreover,  $(a, b)M^*$  holds for all  $a, b \in L$ , (ii) is an immediate consequence of (i).  $\square$

As any dp-distributive lattice  $L$  is weakly 0-distributive, and  $(a, b)M^*$  holds for all  $a, b \in L$  with  $a \wedge b = 0$  since  $a, b$  is a distributive pair, we obtain

**Corollary 3.7.** (i) *Any dp-distributive lattice is 0-modular. If  $L$  is a dp-distributive graded lattice with finite length, then  $l(a \vee b) = l(a) + l(b)$  holds for all  $a, b \in L$  with  $a \wedge b = 0$ .*  
(ii) *The CD-bases in a finite dp-distributive lattice  $L$  have the same number of elements if and only if  $L$  is graded.*

The second lattice class mentioned in Introduction generalizes the properties of the lattice of closed sets of a so-called interval system. An *interval system*  $(V, \mathcal{I})$  is an algebraic closure system satisfying the axioms (cf. [12]):

- (I<sub>0</sub>)  $\{x\} \in \mathcal{I}$  for all  $x \in V$ , and  $\emptyset \in \mathcal{I}$ ;
- (I<sub>1</sub>)  $A, B \in \mathcal{I}$  and  $A \cap B \neq \emptyset$  imply  $A \cup B \in \mathcal{I}$ ;
- (I<sub>2</sub>) For any  $A, B \in \mathcal{I}$  the relations  $A \cap B \neq \emptyset$ ,  $A \not\subseteq B$  and  $B \not\subseteq A$  imply  $A \setminus B \in \mathcal{I}$  (and  $B \setminus A \in \mathcal{I}$ ).

The *modules* ( $X$ -sets, or *autonomous sets*) of an undirected graph  $G = (V, E)$  (see [16]), the *intervals* of an  $n$ -ary relation  $R \subseteq V^n$  on the set  $V$  for  $n \geq 2$  (cf. [12]) – in particular, the usual intervals of a linearly ordered set  $(V, \leq)$  (cf. [18]) – form interval systems. Clearly,  $\cap$  is the meet operation in the lattice  $(\mathcal{I}, \subseteq)$  of closed sets of  $(V, \mathcal{I})$ , and condition (I<sub>0</sub>) implies that

$(\mathcal{I}, \subseteq)$  is an atomistic lattice with 0-element  $\emptyset$ . Moreover, for any  $A, B \in \mathcal{I}$  with  $A \cap B \neq \emptyset$ ,  $A \vee B = A \cup B$  by  $(I_1)$  (see e.g. [16]). Hence condition  $(I_1)$  yields that for any  $A, B, C \in \mathcal{I}$  the implication

$$A \wedge B \neq 0 \implies C \wedge (A \vee B) = (C \wedge A) \vee (C \wedge B) \quad (12)$$

holds in this lattice, i.e. every  $A, B \in \mathcal{I}$  with  $A \wedge B \neq 0$  is a distributive pair in  $(\mathcal{I}, \subseteq)$ . From here one can deduce that for any  $X \in \mathcal{I}$ ,  $X \neq \emptyset$ , the principal filter  $[X]$  is a distributive sublattice of  $(\mathcal{I}, \subseteq)$  (although  $(\mathcal{I}, \subseteq)$  in general is not distributive). Let us consider now the condition:

$(\mathcal{I})$  If  $a \wedge b \neq 0$ , then  $(x \leq a \vee b \text{ and } x \wedge a = 0) \Rightarrow x \leq b$  for all  $a, b, x \in L$

Observe that  $(\mathcal{I})$  is satisfied whenever each pair  $a, b \in L$  with  $a \wedge b \neq 0$  is a distributive pair. Indeed, for any  $x \leq a \vee b$  now we obtain  $x = x \wedge (a \vee b) = (x \wedge a) \vee (x \wedge b)$ , and hence  $x \wedge a = 0$  implies  $x = x \wedge b$ , i.e.  $x \leq b$ . Clearly, the converse is not true;  $(\mathcal{I})$  does not imply that every  $a, b \in L$  with  $a \wedge b \neq 0$  is a distributive pair. Hence lattices with 0 satisfying condition  $(\mathcal{I})$  and with the property that  $[a]$  is a modular lattice for any  $a \in L$ ,  $a \neq 0$ , can be considered as a generalization of the lattice  $(\mathcal{I}, \subseteq)$  of an interval system  $(V, \mathcal{I})$ . To study their CD-bases, first we prove:

**Lemma 3.8.** *Let  $L$  be an atomic lattice satisfying condition  $(\mathcal{I})$ ,  $D \in \mathcal{D}(L)$  and*

$$S_D = \{s \in L \setminus (D \cup \{0\}) \mid d \wedge s = 0 \text{ or } d < s, \text{ for all } d \in D\}. \quad (13)$$

*Then for any  $b, c \in S_D$  with  $b \wedge c \neq 0$  and any  $d \in D$ ,  $d \wedge (b \vee c) \neq 0$  if and only if  $0 < d < b$  or  $0 < d < c$  holds.*

*Proof.* Assume that  $b \wedge c \neq 0$ , and take a  $d \in D$  such that  $d \wedge (b \vee c) \neq 0$ . Then  $d \neq 0$ , and since  $L$  is an atomic lattice, there exists an  $a \in A(L)$  such that  $a \leq d$  and  $a \leq b \vee c$ . Since  $L$  satisfies condition  $(\mathcal{I})$  and  $b \wedge c \neq 0$ , in the case  $a \wedge b = 0$  we get  $a \leq c$ . Hence  $a \leq b$  or  $a \leq c$  must hold. This implies  $d \wedge b \neq 0$  or  $d \wedge c \neq 0$ . As  $b, c \in S_D$ , in view of (13) we obtain  $0 < d < b$  or  $0 < d < c$ . The converse implication is obvious.  $\square$

*Remark 3.9.* Let  $L$  be a finite lattice and  $D = \{d_j \mid j \in J\} \in \mathcal{DC}(L)$ . If  $D \prec D'$  for some  $D' \in \mathcal{D}(L)$ , in view of Lemma 1.6, there is a minimal element  $a \in S_D$  such that  $D' = \{a\} \cup \{d_j \in D \setminus \{0\} \mid d_j \wedge a = 0\}$ . We claim that there exists a set  $K \subseteq J$  such that

$$K = \{j \in J \mid d_j < a\} \neq \emptyset \text{ and } D' = \{a\} \cup \{d_j \mid j \in J \setminus K\}. \quad (14)$$

Indeed, as  $D$  is complete,  $D \neq \{0\}$ , and hence  $0 \notin D$ . Then  $K \neq \emptyset$ , otherwise  $a \wedge d_j = 0$  for all  $j \in J$  would imply that  $D \cup \{a\}$  is a disjoint set, in contrary with  $D \in \mathcal{DC}(L)$ . By the definition of  $K$  and  $S_D$  we have:  $d_j \wedge a = 0 \Leftrightarrow j \in J \setminus K$ . Hence  $D' = \{a\} \cup \{d_j \mid j \in J \setminus K\}$ .

It is well-known that a finite lattice  $L$  is semimodular if and only if it satisfies *Birkhoff's condition*, namely, for any  $a, b \in L$

$$(Bi) \quad a \wedge b \prec a, b \text{ implies } a, b \prec a \vee b.$$



We also say that a pair  $a, b \in L$  satisfies Birkhoff's condition, if the above implication  $(Bi)$  is valid for  $a, b$ . It is known that any distributive pair  $a, b \in L$  satisfies condition  $(Bi)$  (see e.g. [15]).

**Theorem 3.10.** *Let  $L$  be a finite lattice satisfying condition  $(\mathcal{I})$ . Assume, in addition, that every proper principal filter of  $L$  is a modular lattice. Then  $\mathcal{DC}(L)$  is a semimodular lattice.*

*Proof.* In view of Theorem 2.2(ii) and Lemma 1.11,  $\mathcal{DC}(L)$  is a lattice. We will show that it satisfies Birkhoff's condition, i.e.  $D \prec D_1$  and  $D \prec D_2$  imply  $D_1, D_2 \prec D_1 \vee D_2$  for any  $D = \{d_j \mid j \in J\}, D_1, D_2 \in \mathcal{DC}(L), D_1 \neq D_2$ . By Remark 3.9 and (14), there are some minimal elements  $b_1 \neq b_2$  in

$S_D = \{s \in L \setminus (D \cup \{0\}) \mid s \wedge d_j = 0 \text{ or } d_j < s \text{ for all } d_j \in D\}$   
and some nonempty sets  $K_1 = \{j \in J \mid d_j < b_1\}, K_2 = \{j \in J \mid d_j < b_2\}$  such that  $D_1 = \{b_1\} \cup \{d_j \mid j \in J \setminus K_1\}$ ,  $D_2 = \{b_2\} \cup \{d_j \mid j \in J \setminus K_2\}$ . If  $b_1 \wedge b_2 = 0$ , then  $\bigvee_{j \in K_1} d_j \leq b_1, \bigvee_{j \in K_2} d_j \leq b_2$  imply  $d_j \wedge d_{j'} = 0$  for all  $j \in K_1$  and  $j' \in K_2$ , hence  $D_1 \cup D_2$  is a CD-independent set. Then, in view of Theorem 2.2,  $D_1, D_2$  is a distributive pair. Hence  $D_1, D_2 \prec D_1 \vee D_2$  by (14).

Now, suppose that  $b_1 \wedge b_2 \neq 0$ . Since  $D = D_1 \wedge D_2$ , by using (7), we obtain  $b_1 \wedge b_2 \in D$ . Hence  $b_1 \wedge b_2 = d_{j_0} > 0$  for some  $j_0 \in J$ , and we have  $D \neq \{0\}$ . In view of Lemma 3.8,  $d_j \wedge (b_1 \vee b_2) \neq 0$  for  $j \in J$  implies  $0 < d_j < b_1$  or  $0 < d_j < b_2$ , whence we get  $j \in K_1 \cup K_2$ . Thus we have either  $d_j \wedge (b_1 \vee b_2) = 0$  for all  $j \in J \setminus (K_1 \cup K_2)$ , or  $J \setminus (K_1 \cup K_2) = \emptyset$ . Therefore,  $T = \{b_1 \vee b_2\} \cup \{d_j \mid j \in J \setminus (K_1 \cup K_2)\}$  is a disjoint set. Since  $\bigvee \{d_j \mid j \in K_1 \cup K_2\} \leq b_1 \vee b_2$ , we obtain  $D_1, D_2 \leq T$  by (A).

Next, we prove  $T = D_1 \vee D_2$ : Take any  $X \in \mathcal{D}(L)$  with  $D_1, D_2 \leq X$ . Then,  $X \neq \{0\}$  and we obtain in virtue of (A) that  $b_1 \leq x_1, b_2 \leq x_2$  for some  $x_1, x_2 \in X$ . Moreover, if  $J \setminus (K_1 \cup K_2) \neq \emptyset$ , then for any  $j \in J \setminus (K_1 \cup K_2)$  there exist an  $x^{(j)} \in X$  with  $d_j \leq x^{(j)}$ . If  $x_1 \neq x_2$ , then  $d_{j_0} = b_1 \wedge b_2 \leq x_1 \wedge x_2 = 0$ , a contradiction. Hence  $x_1 = x_2$ , and  $b_1 \vee b_2 \leq x_1 = x_2$ . Thus we deduce  $T \leq X$ , proving  $T = D_1 \vee D_2$ .

Further, assume for a contradiction that there exists a  $D_3 \in \mathcal{DC}(L)$  with  $D_1 < D_3 < D_1 \vee D_2 = \{b_1 \vee b_2\} \cup \{d_j \mid j \in J \setminus (K_1 \cup K_2)\}$ . In view of (A), then there exist  $d^* \in D_3$  and  $d \in D_1 \vee D_2$  with  $0 < b_1 \leq d^* \leq d$ . Notice, that  $d^* = d_j$  for some  $j \in J \setminus (K_1 \cup K_2)$  is not possible, since it implies  $0 < b_1 = b_1 \wedge d_j$ , however  $b_1 \wedge d_j = 0$  for all  $j \in J \setminus K_1$ . Hence  $d^* \leq b_1 \vee b_2$ . We are going to prove that  $\{b_1 \wedge b_2, b_1, d^*, b_2, b_1 \vee b_2\}$  is a sublattice of  $L$  isomorphic to  $N_5$ . First, we show  $d^* \wedge b_2 = b_1 \wedge b_2$  and  $b_1 < d^* < b_1 \vee b_2$ . Clearly  $d^* \wedge b_2 \neq 0$ , since  $d^* \wedge b_2 \geq b_1 \wedge b_2 \neq 0$ . Observe that  $D_1 < D_3 < D_1 \vee D_2$  is in contradiction with  $D_2 \leq D_3$ , so  $D_3 \wedge D_2 \neq D_2$ . Now  $D = D_1 \wedge D_2 \leq D_3 \wedge D_2 < D_2$  and  $D \prec D_2$  imply  $D_3 \wedge D_2 = D$ . As  $d^* \wedge b_2 \neq 0$ , by applying (7) to  $D_3 \wedge D_2$ , we get  $d^* \wedge b_2 \in D$ . Since  $b_1 \wedge b_2 \in D$ ,  $b_1 \wedge b_2 \leq d^* \wedge b_2$  implies  $b_1 \wedge b_2 = d^* \wedge b_2$ . As both  $b_1$  and  $b_2$  are minimal elements in  $S_D$  and  $b_1 \neq b_2$ , they are incomparable. Hence  $d^* \neq b_1 \vee b_2$ ,

since otherwise  $b_1 \wedge b_2 = d^* \wedge b_2 = (b_1 \vee b_2) \wedge b_2 = b_2$  would imply  $b_2 \leq b_1$ . Thus  $d^* < b_1 \vee b_2$ . In order to prove  $b_1 < d^*$ , first notice that for each  $u \in D_3 \setminus \{d^*\}$  we have  $b_1 \wedge u \leq d^* \wedge u = 0$ . Now, let us show that  $u \leq d_j$  for some  $d_j \in D$ : Indeed, if  $u \not\leq b_1 \vee b_2$ , then  $D_3 < D_1 \vee D_2$  yields  $u \leq d_j$  for some  $j \in J \setminus (K_1 \cup K_2)$  by (A) and we are done. If  $u \leq b_1 \vee b_2$ , then  $b_1 \wedge b_2 \neq 0$  and  $b_1 \wedge u = 0$  by condition (I) imply  $u \leq b_2$ . As  $u \neq 0$ , by (7) it follows  $u = u \wedge b_2 \in D_3 \wedge D_2 = D$ , i.e.  $u = d_j$  for some  $d_j \in D$ . This result proves  $D_3 \setminus \{d^*\} \leq D \leq D_1$ , according to (A). Thus any  $u \in D_3 \setminus \{d^*\}$  is less than or equal to some  $y \in D_1$ . Hence  $d^* = b_1 \in D_1$  would imply  $D_3 \leq D_1$ , a contradiction. This proves  $b_1 < d^*$ . Finally,  $b_1 \leq d^* \leq b_1 \vee b_2$  implies  $b_1 \vee b_2 \leq d^* \vee b_2 \leq b_1 \vee b_2$ , whence we obtain  $d^* \vee b_2 = b_1 \vee b_2$ .

Now, it is easy to see that  $Q = \{b_1 \wedge b_2, b_1, d, b_2, b_1 \vee b_2\}$  is a sublattice of  $L$  isomorphic to  $N_5$ . Clearly,  $Q \subseteq [b_1 \wedge b_2]$ . However, this is a contradiction, since  $[b_1 \wedge b_2]$  is a modular sublattice of  $L$ , because  $b_1 \wedge b_2 \neq 0$ . Therefore, we conclude that there is no  $D_3 \in \mathcal{DC}(L)$  with  $D_1 < D_3 < D_1 \vee D_2$ , i.e.  $D_1 \prec D_1 \vee D_2$  holds. Symmetrically, we can prove  $D_2 \prec D_1 \vee D_2$ .  $\square$

**Corollary 3.11.**(i) *If  $L$  is a finite distributive lattice, then  $\mathcal{DC}(L)$  is a semimodular lattice.*

(ii) *If  $L$  is a finite lattice which satisfies the conditions in Theorem 3.10, then its CD-bases have the same number of elements.*

*Proof.* (i) Clearly, any distributive lattice satisfies the conditions in 3.10.

(ii) Since now  $\mathcal{DC}(L)$  is a finite semimodular lattice, it is graded. Hence (ii) is proved by applying Proposition 1.12.

By applying Corollary 3.11(ii) to interval systems we obtain:

**Corollary 3.12.** *If  $(V, \mathcal{I})$  is a finite interval system, then the CD-bases of the lattice  $(\mathcal{I}, \subseteq)$  contain the same number of elements.*

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