

# Slow divergence integral in regularized piecewise smooth systems

Renato Huzak<sup>✉1</sup>, Kristian Uldall Kristiansen<sup>2</sup> and  
Goran Radunović<sup>3</sup>

<sup>1</sup>Hasselt University, Campus Diepenbeek, Agoralaan Gebouw D, 3590 Diepenbeek, Belgium

<sup>2</sup>Department of Applied Mathematics and Computer Science, Technical University of Denmark,  
2800 Kgs. Lyngby, Denmark

<sup>3</sup>University of Zagreb, Faculty of Science, Horvatovac 102a, 10000 Zagreb, Croatia

Received 9 October 2023, appeared 26 March 2024

Communicated by Armengol Gasull

**Abstract.** In this paper we define the notion of slow divergence integral along sliding segments in regularized planar piecewise smooth systems. The boundary of such segments may contain diverse tangency points. We show that the slow divergence integral is invariant under smooth equivalences. This is a natural generalization of the notion of slow divergence integral along normally hyperbolic portions of curve of singularities in smooth planar slow–fast systems. We give an interesting application of the integral in a model with visible-invisible two-fold of type  $VI_3$ . It is related to a connection between so-called Minkowski dimension of bounded and monotone “entry-exit” sequences and the number of sliding limit cycles produced by so-called canard cycles.

**Keywords:** sliding limit cycles, piecewise smooth systems, regularization function, slow divergence integral, Minkowski dimension.

**2020 Mathematics Subject Classification:** 34E15, 34E17, 34C40.

## 1 Introduction

The notion of slow divergence integral [6, Chapter 5] has proved to be an important tool to study lower and upper bounds of limit cycles in smooth planar slow–fast systems (see e.g. [5–7, 10, 11] and references therein). In this paper by “smooth”, we mean differentiable of class  $C^\infty$ . One of the main goals of this paper is to define the slow divergence integral in regularized planar piecewise smooth (PWS) systems with sliding and to prove its invariance under smooth equivalences (by smooth equivalence we mean smooth coordinate change and a multiplication by a smooth positive function). This is a natural generalization of [25] where the slow divergence integral is defined only for a PWS two-fold bifurcation of type visible-invisible called  $VI_3$  and the switching boundary is a straight line (for more details about two-fold singularity  $VI_3$  see [28] and Sections 2 and 3). In this paper we define the slow

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<sup>✉</sup>Corresponding author. Email: [renato.huzak@uhasselt.be](mailto:renato.huzak@uhasselt.be)

divergence integral along sliding segments with a regular sliding vector field [16] (Section 2.1), and extend it to tangency points when only one vector field is tangent to switching boundary (Section 2.3), two-fold singularities of sliding type  $VV_1$ ,  $II_1$ ,  $VI_2$  and  $VI_3$  [28], and to a visible-invisible two-fold singularity when the sliding vector field vanishes at the two-fold point (Section 2.2).

Consider a smooth planar slow–fast system

$$X_{\epsilon,\lambda} = f_\lambda Y_\lambda + \epsilon Q_\lambda + O(\epsilon^2)$$

defined on open set  $V \subset \mathbb{R}^2$ , where  $0 < \epsilon \ll 1$  is the singular perturbation parameter,  $\lambda \sim \lambda_0 \in \mathbb{R}^l$ ,  $f_\lambda$  is a smooth family of functions and  $Y_\lambda$  and  $Q_\lambda$  are smooth families of vector fields. We suppose that  $X_{0,\lambda}$  has a curve of singularities  $C_\lambda$  for all  $\lambda \sim \lambda_0$  (Fig. 1.1). We further assume that  $\nabla f_\lambda(p) \neq (0,0)$  for all  $p \in \{(x,y) \in V \mid f_\lambda(x,y) = 0\}$  and that  $Y_\lambda$  has no singularities for each  $\lambda \sim \lambda_0$ . Then we have  $C_\lambda = \{f_\lambda = 0\}$  and  $C_\lambda$  is a smooth family of 1-dimensional manifolds.

The orbits of the flow of  $X_{0,\lambda}$  are located inside the leaves of a smooth 1-dimensional foliation  $\mathcal{F}_\lambda$  on  $V$  tangent to  $Y_\lambda$  ( $\mathcal{F}_\lambda$  is called the fast foliation of  $X_{0,\lambda}$ ). If  $p \in C_\lambda$ , then  $DX_{0,\lambda}(p)$  has one eigenvalue equal to zero, with eigenspace  $T_p C_\lambda$ , and the other one equal to  $\operatorname{div} X_{0,\lambda}(p)$  (i.e., the trace of  $DX_{0,\lambda}(p)$ ) with eigenspace  $T_p l_{\lambda,p}$  ( $l_{\lambda,p}$  is the leaf through  $p$ ). We say that  $p \in C_\lambda$  is normally hyperbolic if  $\operatorname{div} X_{0,\lambda}(p) \neq 0$  (attracting when  $\operatorname{div} X_{0,\lambda}(p) < 0$  and repelling when  $\operatorname{div} X_{0,\lambda}(p) > 0$ ). We can define the notion of slow vector field on normally hyperbolic segments of  $C_\lambda$ . Let  $p \in C_\lambda$  be a normally hyperbolic singularity and let  $\bar{Q}_\lambda(p) \in T_p C_\lambda$  be the projection of  $Q_\lambda(p)$  on  $T_p C_\lambda$  in the direction of  $T_p l_{\lambda,p}$ .  $\bar{Q}_\lambda$  is called the slow vector field and its flow the slow dynamics. The time variable of the slow dynamics is the slow time  $\bar{t} = \epsilon t$  where  $t$  is the time variable of the flow of  $X_{\epsilon,\lambda}$ . We point out that the classical definition of the slow vector field using center manifolds is equivalent to this definition. For more details see [6, Chapter 3].

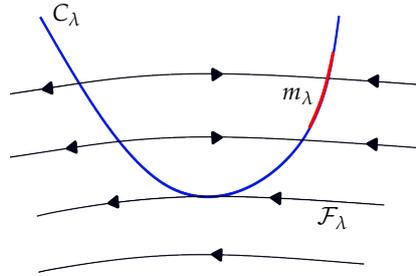


Figure 1.1: The dynamics of  $X_{0,\lambda}$  with the curve of singularities  $C_\lambda$  (blue) and the fast foliation  $\mathcal{F}_\lambda$ . A normally hyperbolic segment  $m_\lambda \subset C_\lambda$  (red) along which the slow divergence integral can be defined.

We define now the notion of slow divergence integral (see [6, Chapter 5]). If  $m_\lambda \subset C_\lambda$  is a normally hyperbolic segment not containing singularities of  $\bar{Q}_\lambda$ , then the slow divergence integral along  $m_\lambda$  is defined by

$$I(m_\lambda) = \int_{\bar{t}_1}^{\bar{t}_2} \operatorname{div} X_{0,\lambda}(z_\lambda(\bar{t})) d\bar{t} \quad (1.1)$$

where  $z_\lambda : [\bar{t}_1, \bar{t}_2] \rightarrow \mathbb{R}^2$ ,  $z'_\lambda(\bar{t}) = \bar{Q}_\lambda(z_\lambda(\bar{t}))$  and  $z_\lambda(\bar{t}_1)$  and  $z_\lambda(\bar{t}_2)$  are the end points of  $m_\lambda$  (we parameterize  $m_\lambda$  by  $\bar{t}$ ). This definition is independent of the choice of parameterization  $z_\lambda$  of

$m_\lambda$  and the slow divergence integral is invariant under smooth equivalences (see [6, Section 5.3]).

If both eigenvalues of the linear part of  $X_{0,\lambda}$  at  $p \in C_\lambda$  are zero, then we say that  $p$  is a (nilpotent) contact point between  $C_\lambda$  and  $\mathcal{F}_\lambda$ . The slow divergence integral can also be defined along parts of  $C_\lambda$  that contain contact points, using its invariance under smooth equivalences and normal forms near contact points (see [6, Section 5.5]).

We come now to a natural question: can we define the notion of slow divergence integral if we replace the slow-fast system  $X_{\epsilon,\lambda}$  with a regularized planar PWS system? In Section 2 we give a positive answer to the question. Instead of  $X_{0,\lambda}$  we consider a  $\lambda$ -family of planar PWS systems (2.1) defined in Section 2. The switching boundary  $\Sigma_\lambda$  defined after (2.1) plays the role of the curve of singularities  $C_\lambda$ , and the Filippov sliding vector field  $Z_\lambda^{sl}$  on sliding subsets of  $\Sigma_\lambda$  (see (2.2)) plays the role of the slow vector field  $\bar{Q}_\lambda$  on normally hyperbolic portions of  $C_\lambda$  (see Proposition 2.1). The function that will be integrated (Definition 2.2 in Section 2.1) is the divergence of a smooth slow-fast vector field visible in the scaling chart of a cylindrical blow-up applied to regularized PWS system (2.4) (for more details see [25] and the proof of Proposition 2.1). The notion of slow divergence integral in the PWS setting is well-defined when the sliding vector field  $Z_\lambda^{sl}$  has no singularities (see Definition 2.2).

We show that the slow divergence integral from Definition 2.2 is invariant under smooth equivalences (see Theorem 2.4 in Section 2.1).

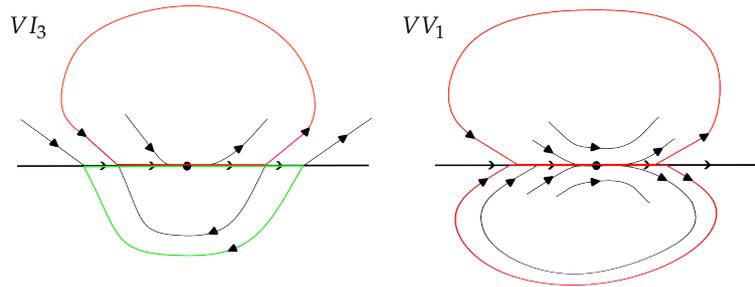


Figure 1.2: Limit periodic sets in planar PWS systems through two-fold points with sliding (the  $VI_3$  case and the  $VV_1$  case). They can be located in a region with invisible fold point (green) or in a region with visible fold point (red).

In Sections 2.2 and 2.3 we define the slow divergence integral near tangency points, as already mentioned above (tangency points in  $\Sigma_\lambda$  play the role of contact points between  $C_\lambda$  and  $\mathcal{F}_\lambda$ ). We use the invariance of the slow divergence integral under smooth equivalence. The extension of the slow divergence integral to tangency points has proved to be crucial when we study the number of sliding limit cycles (i.e., isolated closed orbits with sliding segments) of a regularized planar PWS system produced by so-called canard limit periodic sets or canard cycles (for more details see [25]). In [25] only the  $VI_3$  case has been studied, with canard cycles located in the region with invisible fold point (see the green closed curve in Fig. 1.2). Canard cycles contain both stable and unstable sliding portions of the discontinuity manifold (often called switching boundary). For example, it has been proved in [25] that the number of sliding limit cycles (produced by the canard cycles) of regularized quadratic PWS systems is unbounded.

A canard cycle can also be located in a region with visible fold point (for example, red closed curves in Fig. 1.2), and again the slow divergence integral associated to the segment of

the switching boundary contained in the canard cycle plays an important role when studying sliding limit cycles (see [24]).

Besides sliding cycles, crossing limit cycles can exist for PWS systems and for example J. Llibre and co-workers have obtained upper bounds for a number of classes [13, 29, 32]. See also [2, 4, 17, 18, 20, 21, 30, 31] and references therein.

Section 3 is devoted to applications of the slow divergence integral from Section 2. In Section 3 we focus on the model used in [25] (visible-invisible two-fold  $VI_3$ ) and read upper bounds of the number of sliding limit cycles and type of bifurcations near so-called generalized canard cycles (Fig. 3.1) from fractal properties of a bounded and monotone sequence in  $\mathbb{R}$  defined using the slow divergence integral and the notion of slow relation function (see Section 3.1). The main advantage of this fractal approach is that, instead of computing the multiplicity of zeros of the slow divergence integral (like in [25]), it suffices to find the Minkowski dimension [14] of the sequence. There is a bijective correspondence between the multiplicity and the Minkowski dimension (see Section 4). A similar fractal approach has been used in [8, 22, 23, 26] where one deals with smooth slow-fast systems. See also [12, 35] and the references therein. We point out that there exist simple formulas for numerical computation of the Minkowski dimension of the sequence (see e.g. [23]). In Section 3.2 we state the main fractal results (Theorems 3.4–3.6), and in Section 4 we prove them.

For the sake of readability, in this paper we work in  $\mathbb{R}^2$  using the Euclidean metric. We believe that the notion of slow divergence integral in regularized PWS systems on a smooth surface could also be defined. We point out that the slow divergence integral [6] is defined for slow-fast systems on a smooth surface.

## 2 The slow divergence integral in PWS systems with sliding

First we recall the basic definitions in PWS theory [9, 19] (switching boundary, sliding set, crossing set, sliding vector field, tangency point, two-fold singularity, etc.). Then we define the notion of slow divergence integral of a regularized PWS system along a sliding segment (not containing singularities of the sliding vector field) and prove that the integral is invariant under smooth equivalences (see Section 2.1). In Section 2.2 we extend the definition of the slow divergence integral to segments consisting of a stable sliding region, an unstable sliding region and a two-fold singularity between them. If the two-fold singularity is visible-invisible, then we assume that the sliding vector field is regular or has a hyperbolic singularity in the two-fold point. In Section 2.3 we define the slow divergence integral near a tangency point where the tangency (quadratic or more degenerate) appears only in one vector field.

Consider a  $\lambda$ -family of PWS systems in the plane

$$\dot{z} = \begin{cases} Z_\lambda^+(z) & \text{for } z \in \Sigma_\lambda^+, \\ Z_\lambda^-(z) & \text{for } z \in \Sigma_\lambda^-, \end{cases} \quad (2.1)$$

where  $z = (x, y)$ ,  $\lambda \sim \lambda_0 \in \mathbb{R}^l$  and the switching boundary is a smooth  $\lambda$ -family of 1-dimensional manifolds  $\Sigma_\lambda$  given by

$$\Sigma_\lambda = \{z \in \mathbb{R}^2 \mid h_\lambda(z) = 0\} \cap V,$$

with an open set  $V$  and a smooth family of functions  $h_\lambda$  such that  $\nabla h_\lambda(z) \neq (0, 0)$ ,  $\forall z \in \Sigma_\lambda$ . The switching boundary  $\Sigma_\lambda$  separates the open set  $\Sigma_\lambda^+ = \{z \in V \mid h_\lambda(z) > 0\}$  from the open

set  $\Sigma_\lambda^- = \{z \in V \mid h_\lambda(z) < 0\}$ . We assume that the  $\lambda$ -family of vector fields  $Z_\lambda^+ = (X_\lambda^+, Y_\lambda^+)$  (resp.  $Z_\lambda^- = (X_\lambda^-, Y_\lambda^-)$ ) is smooth in the closure of the  $\lambda$ -family  $\Sigma_\lambda^+$  (resp.  $\Sigma_\lambda^-$ ). In this paper “smooth” means “ $C^\infty$ -smooth”.

The subset  $\Sigma_\lambda^{sl} \subset \Sigma_\lambda$  consisting of all points  $z \in \Sigma_\lambda$  such that

$$Z_\lambda^+(h_\lambda)(z)Z_\lambda^-(h_\lambda)(z) < 0$$

is called the sliding set, where  $Z_\lambda^\pm(h_\lambda)(z) := \nabla h_\lambda(z) \cdot Z_\lambda^\pm(z)$  is the Lie-derivative of  $h_\lambda$  with respect to the vector field  $Z_\lambda^\pm$  at  $z$ . A sliding point  $z \in \Sigma_\lambda^{sl}$  is stable (resp. unstable) if  $Z_\lambda^+(h_\lambda)(z) < 0$  and  $Z_\lambda^-(h_\lambda)(z) > 0$  (resp.  $Z_\lambda^+(h_\lambda)(z) > 0$  and  $Z_\lambda^-(h_\lambda)(z) < 0$ ). We write  $\Sigma_\lambda^{sl} = \Sigma_\lambda^s \cup \Sigma_\lambda^u$  where  $\Sigma_\lambda^s$  (resp.  $\Sigma_\lambda^u$ ) is the set of all stable (resp. unstable) sliding points. In  $\Sigma_\lambda^s$  (resp.  $\Sigma_\lambda^u$ ) the vector fields  $Z_\lambda^\pm$  point toward (resp. away from) the switching boundary. We call the set  $\Sigma_\lambda^{cr} \subset \Sigma_\lambda$  of all points  $z \in \Sigma_\lambda$  such that

$$Z_\lambda^+(h_\lambda)(z)Z_\lambda^-(h_\lambda)(z) > 0$$

the crossing set.

At each point  $z \in \Sigma_\lambda^{cr}$  the orbit of (2.1) crosses the switching boundary  $\Sigma_\lambda$  (it is the concatenation of the orbit of  $Z_\lambda^+$  and the orbit of  $Z_\lambda^-$  through  $z$ ). Along the sliding set  $\Sigma_\lambda^{sl}$ , the flow is given by the Filippov sliding vector field [16]

$$Z_\lambda^{sl}(z) = \frac{1}{(Z_\lambda^+ - Z_\lambda^-)(h_\lambda)} (Z_\lambda^+(h_\lambda)Z_\lambda^- - Z_\lambda^-(h_\lambda)Z_\lambda^+)(z), \quad z \in \Sigma_\lambda^{sl}. \quad (2.2)$$

The sliding vector field  $Z_\lambda^{sl}$  defined in (2.2) is tangent to  $\Sigma_\lambda^{sl}$ , i.e.,  $Z_\lambda^{sl}(z)$  is equal to the convex combination  $\tau Z_\lambda^+(z) + (1 - \tau)Z_\lambda^-(z)$  with

$$\tau = \tau_\lambda(z) = \frac{-Z_\lambda^-(h_\lambda)}{(Z_\lambda^+ - Z_\lambda^-)(h_\lambda)}(z) \in ]0, 1[. \quad (2.3)$$

We say that  $z \in \Sigma_\lambda^{sl}$  is a pseudo-equilibrium of (2.1) if  $Z_\lambda^{sl}(z) = 0$ .

A point  $z \in \Sigma_\lambda$  where  $Z_\lambda^+(h_\lambda)(z) = 0$  or  $Z_\lambda^-(h_\lambda)(z) = 0$  is a PWS singularity called tangency. We say that  $z \in \Sigma_\lambda$  is a fold singularity (or a fold point) of  $Z_\lambda^+$  (resp.  $Z_\lambda^-$ ) if  $Z_\lambda^+(h_\lambda)(z) = 0$  and  $(Z_\lambda^+)^2(h_\lambda)(z) \neq 0$  (resp.  $Z_\lambda^-(h_\lambda)(z) = 0$  and  $(Z_\lambda^-)^2(h_\lambda)(z) \neq 0$ ). The fold point is visible if  $(Z_\lambda^+)^2(h_\lambda)(z) > 0$  (resp.  $(Z_\lambda^-)^2(h_\lambda)(z) < 0$ ) and invisible if  $(Z_\lambda^+)^2(h_\lambda)(z) < 0$  (resp.  $(Z_\lambda^-)^2(h_\lambda)(z) > 0$ ).

We say that  $z \in \Sigma_\lambda$  is a two-fold singularity if  $z$  is a fold point of both  $Z_\lambda^\pm$ . A two-fold singularity  $z \in \Sigma_\lambda$  is said to be visible-visible (VV) if  $z$  is visible in both  $Z_\lambda^\pm$ , invisible-invisible (II) if  $z$  is invisible in both  $Z_\lambda^\pm$ , and visible-invisible (VI) if  $z$  is visible in  $Z_\lambda^+$  and invisible in  $Z_\lambda^-$  or visible in  $Z_\lambda^-$  and invisible in  $Z_\lambda^+$ . Following [28], there exist 7 (generic) cases for two-fold singularities taking into account the direction of the flow of  $Z_\lambda^\pm$  and  $Z_\lambda^{sl}$ : 2 visible-visible cases (denoted by  $VV_1$  and  $VV_2$  in [28]), 2 invisible-invisible cases ( $II_1$  and  $II_2$ ) and 3 visible-invisible cases ( $VI_1$ ,  $VI_2$  and  $VI_3$ ). For more details we refer to [1, 19, 27, 28]. In Section 2.2 we define the notion of slow divergence integral near two-fold singularities of sliding type ( $VV_1$ ,  $II_1$ ,  $VI_2$  and  $VI_3$ ). The four sliding cases are illustrated in Fig. 2.2. We also treat a visible-invisible two-fold singularity where the sliding vector field points toward (or away from) the two-fold singularity on both sides (Fig. 2.3).

We consider a regularized PWS system [25]

$$\dot{z} = \phi(h_\lambda(z)\epsilon^{-1})Z_\lambda^+(z) + (1 - \phi(h_\lambda(z)\epsilon^{-1}))Z_\lambda^-(z) \quad (2.4)$$

where  $0 < \epsilon \ll 1$  and  $\phi : \mathbb{R} \rightarrow \mathbb{R}$  is a smooth regularization function. We assume that  $\phi$  is strictly monotone, i.e.,

$$\phi'(u) > 0 \quad \text{for all } u \in \mathbb{R}, \quad (2.5)$$

and  $\phi$  has the following asymptotics for  $u \rightarrow \pm\infty$ :

$$\phi(u) \rightarrow \begin{cases} 1 & \text{for } u \rightarrow \infty, \\ 0 & \text{for } u \rightarrow -\infty. \end{cases} \quad (2.6)$$

Moreover, we assume that  $\phi$  is smooth at  $\pm\infty$  in the following sense: The functions

$$\phi_+(u) := \begin{cases} 1 & \text{for } u = 0, \\ \phi(u^{-1}) & \text{for } u > 0, \end{cases}, \quad \phi_-(u) := \begin{cases} \phi(-u^{-1}) & \text{for } u > 0, \\ 0 & \text{for } u = 0, \end{cases}$$

are smooth at  $u = 0$ .

Using the asymptotics of  $\phi$  given in (2.6), the system (2.4) becomes the PWS system (2.1) in the limit  $\epsilon \rightarrow 0$ . Combining this with the fact that  $\phi$  is smooth at  $\pm\infty$ , we have that, for  $z$  kept in any fixed compact set in  $V \setminus \Sigma_\lambda$ , the right hand side of (2.4) is an  $o(1)$ -perturbation of the right hand side of (2.1) where  $o(1)$  is a smooth function in  $(z, \epsilon, \lambda)$  and tends to 0 as  $\epsilon \rightarrow 0$ , uniformly in  $(z, \lambda)$ . Thus, the PWS system (2.1) describes the dynamics of (2.4), for  $\epsilon > 0$  small, as long as  $z$  is kept uniformly away from  $\Sigma_\lambda$ .

Near  $\Sigma_\lambda^{\text{sl}}$ , the dynamics of (2.4), with  $0 < \epsilon \ll 1$ , is given by Proposition 2.1 (see also [33]).

## 2.1 Definition and invariance of the slow divergence integral

**Proposition 2.1.** *Suppose that the PWS system (2.1) has a stable (resp. unstable) sliding point  $p \in \Sigma_{\lambda_0}^{\text{sl}}$ . Then, for each  $0 < \epsilon \ll 1$  and  $\lambda \sim \lambda_0$ , (2.4) has a locally invariant manifold near  $p$  with foliation by stable (resp. unstable) fibers, and the reduced dynamics on this manifold (when  $\epsilon \rightarrow 0$ ) is given by sliding vector field  $Z_\lambda^{\text{sl}}$  defined in (2.2).*

*Proof.* Without loss of generality, we can assume that  $\frac{\partial h_{\lambda_0}}{\partial y}(p) \neq 0$ . Then the switching boundary  $\Sigma_\lambda$  (locally near  $p$ ) is the graph of a smooth function  $y = f_\lambda(x)$ . Using  $h_\lambda(x, y) = \epsilon \tilde{y}$ , the system (2.4) multiplied by  $\epsilon > 0$  becomes a slow-fast system

$$\begin{aligned} \dot{x} &= \epsilon (\phi(\tilde{y})X_\lambda^+(x, f_\lambda(x)) + (1 - \phi(\tilde{y}))X_\lambda^-(x, f_\lambda(x)) + O(\epsilon \tilde{y})), \\ \dot{\tilde{y}} &= \phi(\tilde{y})Z_\lambda^+(h_\lambda)(x, f_\lambda(x)) + (1 - \phi(\tilde{y}))Z_\lambda^-(h_\lambda)(x, f_\lambda(x)) + O(\epsilon \tilde{y}). \end{aligned} \quad (2.7)$$

When  $\epsilon = 0$ , the curve of singularities of (2.7) is given by  $\tilde{y} = \phi^{-1}(\tau_\lambda(x, f_\lambda(x)))$  where  $\tau_\lambda$  is defined in (2.3). Each singularity  $(x, \phi^{-1}(\tau_\lambda(x, f_\lambda(x))))$  is semi-hyperbolic with the nonzero eigenvalue equal to the divergence of the vector field (2.7), with  $\epsilon = 0$ , computed in that singularity:

$$(Z_\lambda^+ - Z_\lambda^-)(h_\lambda)(x, f_\lambda(x))\phi'(\phi^{-1}(\tau_\lambda(x, f_\lambda(x)))) \quad (2.8)$$

The reason why the eigenvalue in (2.8) is nonzero is because  $Z_\lambda^+(h_\lambda)Z_\lambda^-(h_\lambda) < 0$  and  $\phi' > 0$  (see (2.5)). The curve of singularities is attracting (resp. repelling) if  $p$  is a stable (resp. unstable) sliding point. The result follows now from Fenichel's theory [15]. Notice that the reduced dynamics of (2.7) along the curve of singularities is given by the vector field

$$(\tau_\lambda X_\lambda^+ + (1 - \tau_\lambda)X_\lambda^-)(x, f_\lambda(x)). \quad (2.9)$$

We divided the  $x$ -component in (2.7) by  $\epsilon$  and let  $\epsilon \rightarrow 0$  with  $\tilde{y} = \phi^{-1}(\tau_\lambda(x, f_\lambda(x)))$ . We get the same expression (2.9) if we use the definition of the slow vector field introduced in Section 1. This completes the proof.  $\square$

Following [6, Chapter 5] or Section 1 in the smooth slow–fast system (2.7) one can define the notion of slow divergence integral along normally hyperbolic curve of singularities  $\tilde{y} = \phi^{-1}(\tau_\lambda(x, f_\lambda(x)))$  when the sliding vector field in (2.9) has no singularities: it is the integral of the divergence in (2.8) where the variable of integration is the time variable of the flow of the sliding vector field. This is our motivation for the definition of the notion of slow divergence integral of regularized PWS system (2.4) (see also [25]).

**Definition 2.2 (Slow divergence integral).** Let  $m_\lambda \subset \Sigma_\lambda^{sl}$  be a bounded segment (Fig. 2.1) not containing pseudo-equilibria of the PWS system (2.1). Let  $z_\lambda : [t_1, t_2] \rightarrow \mathbb{R}^2$  be a solution of  $z'(t) = Z_\lambda^{sl}(z(t))$  where  $z_\lambda(t_1)$  and  $z_\lambda(t_2)$  are the end points of  $m_\lambda$  ( $z_\lambda$  is a parameterization of  $m_\lambda$ ). Then we define the slow divergence integral of regularized PWS system (2.4) associated to  $m_\lambda$  as

$$I(m_\lambda) = \int_{t_1}^{t_2} E_\lambda(z_\lambda(t)) dt \quad (2.10)$$

where

$$E_\lambda(z) = (Z_\lambda^+ - Z_\lambda^-)(h_\lambda)(z) \phi'(\phi^{-1}(\tau_\lambda(z))), \quad z \in \Sigma_\lambda^{sl}.$$

**Remark 2.3.** Note that the definition of the slow divergence integral given by (2.10) is independent of the choice of  $z_\lambda$ . Indeed, if  $\hat{z}_\lambda$  is another solution to  $z'(t) = Z_\lambda^{sl}(z(t))$  and  $p \in m_\lambda$ , then there exist  $\tilde{t} \in [t_1, t_2]$  and  $\bar{t}$  such that  $z_\lambda(\tilde{t}) = \hat{z}_\lambda(\bar{t}) = p$ . Then we have  $z_\lambda(t) = \hat{z}_\lambda(t + \bar{t} - \tilde{t})$  due to uniqueness of solutions. Now, we get

$$\int_{t_1 + \bar{t} - \tilde{t}}^{t_2 + \bar{t} - \tilde{t}} E_\lambda(\hat{z}_\lambda(s)) ds = \int_{t_1}^{t_2} E_\lambda(z_\lambda(t)) dt,$$

where we use the change of variable  $s = t + \bar{t} - \tilde{t}$ .

If  $m_\lambda$  is stable (resp. unstable), then  $I(m_\lambda)$  is negative (resp. positive).

The slow divergence integral from Definition 2.2 is invariant under smooth equivalences (Theorem 2.4.1 and Theorem 2.4.2). Theorem 2.4.3 tells us how to compute  $I(m_\lambda)$  for an arbitrary parameterization of  $m_\lambda$  (see also [6, Proposition 5.3]).

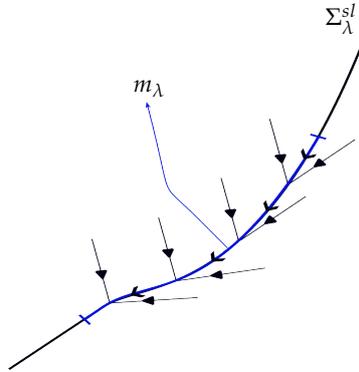


Figure 2.1: A segment  $m_\lambda \subset \Sigma_\lambda^{sl}$  (blue).

**Theorem 2.4 (Invariance of the slow divergence integral).** *Let us denote the family of vector field in (2.4) by  $Z_{\epsilon,\lambda}$  and let  $m_\lambda \subset \Sigma_\lambda^{sl}$  be as in Definition 2.2. The following statements are true.*

1. *Let  $T : V_w \rightarrow V_z \subset V$  ( $w \mapsto z = T(w)$ ) be a smooth coordinate transformation, with open sets  $V_w, V_z \subset \mathbb{R}^2$ . Let  $I(m_\lambda)$  be the slow divergence integral of  $Z_{\epsilon,\lambda}$  along  $m_\lambda \subset V_z$ . Then the slow divergence integral  $I(T^{-1}(m_\lambda))$  of the pullback of the vector field  $Z_{\epsilon,\lambda}|_{V_z}$  along  $T^{-1}(m_\lambda) \subset V_w$  is equal to  $I(m_\lambda)$ .*
2. *Let  $g$  be a smooth strictly positive function defined in a neighborhood of  $m_\lambda$ . Then the slow divergence integral of  $Z_{\epsilon,\lambda}$  along  $m_\lambda$  is equal to the slow divergence integral of the equivalent vector field  $g \cdot Z_{\epsilon,\lambda}$  along  $m_\lambda$ .*
3. *Let  $p_\lambda : [v_1, v_2] \rightarrow \mathbb{R}^2$  be a parameterization of  $m_\lambda$ . Then we have*

$$I(m_\lambda) = \int_{v_1}^{v_2} \frac{E_\lambda(p_\lambda(v)) dv}{|\tilde{p}_\lambda(v)|},$$

where  $\tilde{p}_\lambda$  is a smooth  $\lambda$ -family of nowhere zero functions satisfying

$$Z_\lambda^{sl}(p_\lambda(v)) = \tilde{p}_\lambda(v) p'_\lambda(v).$$

*Proof.* *Statement 1.* The pullback of the vector field  $Z_{\epsilon,\lambda}|_{V_z}$  can be written as

$$T^*(Z_{\epsilon,\lambda}|_{V_z})(w) = \phi(h_\lambda \circ T(w)\epsilon^{-1})W_\lambda^+(w) + (1 - \phi(h_\lambda \circ T(w)\epsilon^{-1}))W_\lambda^-(w)$$

where  $W_\lambda^\pm(w) = DT(w)^{-1}(Z_\lambda^\pm \circ T)(w)$ . It is not difficult to see that the Lie-derivative of  $h_\lambda \circ T$  with respect to the vector field  $W_\lambda^\pm$  is given by

$$W_\lambda^\pm(h_\lambda \circ T)(w) = Z_\lambda^\pm(h_\lambda)(T(w)). \quad (2.11)$$

Using (2.11) and Definition 2.2 we find that the slow divergence integral of  $T^*(Z_{\epsilon,\lambda}|_{V_z})$  along  $T^{-1}(m_\lambda)$  is given by

$$I(T^{-1}(m_\lambda)) = \int_{t_1}^{t_2} E_\lambda(T(w_\lambda(t))) dt$$

where  $w_\lambda : [t_1, t_2] \rightarrow T^{-1}(m_\lambda)$  is a solution of  $w'(t) = W_\lambda^{sl}(w(t))$  (the Filippov sliding vector field along  $T^{-1}(m_\lambda)$  is given by  $W_\lambda^{sl}(w) = DT(w)^{-1}Z_\lambda^{sl}(T(w))$ ). Since  $T \circ w_\lambda : [t_1, t_2] \rightarrow m_\lambda$  is a solution to  $z'(t) = Z_\lambda^{sl}(z(t))$ , the result follows.

*Statement 2.* From Definition 2.2 it follows that the slow divergence integral of  $g \cdot Z_{\epsilon,\lambda}$  along  $m_\lambda$  is equal to

$$I(m_\lambda) = \int_{\hat{t}_1}^{\hat{t}_2} E_\lambda(\hat{z}_\lambda(\hat{t}))g(\hat{z}_\lambda(\hat{t}))d\hat{t} \quad (2.12)$$

where  $\hat{z}_\lambda : [\hat{t}_1, \hat{t}_2] \rightarrow m_\lambda$  and  $\hat{z}'_\lambda(\hat{t}) = g(\hat{z}_\lambda(\hat{t}))Z_\lambda^{sl}(\hat{z}_\lambda(\hat{t}))$ . We make in the integral in (2.12) the change of variable  $t = \rho(\hat{t}) = \int_{\hat{t}_1}^{\hat{t}} g(\hat{z}_\lambda(v))dv$  with  $\hat{t} \in [\hat{t}_1, \hat{t}_2]$ . Then we have

$$\int_{\hat{t}_1}^{\hat{t}_2} E_\lambda(\hat{z}_\lambda(\hat{t}))g(\hat{z}_\lambda(\hat{t}))d\hat{t} = \int_0^{\rho(\hat{t}_2)} E_\lambda(\hat{z}_\lambda \circ \rho^{-1}(t))dt.$$

Since  $(\hat{z}_\lambda \circ \rho^{-1})'(t) = Z_\lambda^{sl}((\hat{z}_\lambda \circ \rho^{-1})(t))$ ,  $t \in [0, \rho(\hat{t}_2)]$ , this integral is the slow divergence integral of  $Z_{\epsilon,\lambda}$  associated to  $m_\lambda$ . This completes the proof of Statement 2.

*Statement 3.* The proof of Statement 3 is similar to the proof of Statement 2. □

**Remark 2.5.** It follows directly from Definition 2.2 that the slow divergence integral of  $-Z_{\epsilon,\lambda}$  along  $m_\lambda$  is equal to the slow divergence integral of  $Z_{\epsilon,\lambda}$  along  $m_\lambda$  multiplied by  $-1$ .

We will use the invariance of the slow divergence integral under smooth equivalences in Section 2.2 and Section 2.3.

If  $m_\lambda \subset \Sigma_\lambda^{sl}$  contains pseudo-equilibria, then the slow divergence integral associated to  $m_\lambda$  is not well-defined.

## 2.2 The slow divergence integral near two-fold singularities

In this section we suppose that the sliding vector field  $Z_\lambda^{sl}$ , given by (2.2), is defined around a two-fold singularity. Our goal is to define the notion of slow divergence integral near such a two-fold singularity. Since the slow divergence integral (2.10) is invariant under smooth equivalences (Theorem 2.4), we use a normal form of (2.1), locally near the two-fold singularity, in which  $h_\lambda(x, y) = y$  and the two-fold point corresponds to the origin  $p = (0, 0)$ . Notice that such normal form coordinates exist because  $\nabla h_\lambda(z) \neq (0, 0)$ ,  $\forall z \in \Sigma_\lambda$ , in (2.1).

Using  $h_\lambda(x, y) = y$  the two-fold  $p$  satisfies

$$Z_\lambda^\pm(h_\lambda)(0) = Y_\lambda^\pm(0) = 0, \quad (Z_\lambda^\pm)^2(h_\lambda)(0) = X_\lambda^\pm(0)\partial_x Y_\lambda^\pm(0) \neq 0, \quad (2.13)$$

and the sliding vector field  $Z_\lambda^{sl}$  near  $p$  can be written as

$$X_\lambda^{sl}(x) = \frac{\det Z_\lambda(x)}{(Y_\lambda^- - Y_\lambda^+)(x, 0)} \quad (2.14)$$

where

$$\det Z_\lambda(x) := (X_\lambda^+ Y_\lambda^- - X_\lambda^- Y_\lambda^+)(x, 0).$$

**Remark 2.6.** The notation  $\det Z_\lambda$  comes from [1]. In [25] a similar notation has been used for  $-(X_\lambda^+ Y_\lambda^- - X_\lambda^- Y_\lambda^+)$ .

Since we assumed that the sliding vector field  $X_\lambda^{sl}$  is defined around the two-fold  $p$ , we find that  $X_\lambda^+(0)X_\lambda^-(0) > 0$  if the folds have the same visibility (visible-visible or invisible-invisible) and  $X_\lambda^+(0)X_\lambda^-(0) < 0$  if the folds have opposite visibility. We have  $p \in \partial\Sigma_\lambda^s \cap \partial\Sigma_\lambda^\mu$ . These properties follow directly from (2.13) and the definition of visible and invisible folds (see [1, Lemma 2.8]), and imply that  $\partial_x(Y_\lambda^- - Y_\lambda^+)(0) \neq 0$  and  $\partial_x Y_\lambda^+(0)\partial_x Y_\lambda^-(0) < 0$ .

Using  $\partial_x(Y_\lambda^- - Y_\lambda^+)(0) \neq 0$  it is clear that the sliding vector field in (2.14) has a removable singularity in  $x = 0$  and

$$X_\lambda^{sl}(x) = v + O(x), \quad v = \frac{(\det Z_\lambda)'(0)}{\partial_x(Y_\lambda^- - Y_\lambda^+)(0)}. \quad (2.15)$$

From [1, Lemma 2.9] and [1, Corollary 2.10] it follows that  $v \neq 0$  and  $\text{sgn}(v) = \text{sgn}(X_\lambda^+(0))$  if the folds have the same visibility ( $VV_1$  and  $II_1$  in Fig. 2.2), and that  $v \neq 0$  and  $\text{sgn}(v) = -\text{sgn}(X_\lambda^+(0)(\det Z_\lambda)'(0))$  if the folds have opposite visibility and  $(\det Z_\lambda)'(0) \neq 0$  ( $VI_2$  and  $VI_3$  in Fig. 2.2). If the folds have opposite visibility, we assume that  $v \neq 0$  in (2.15) or  $x = 0$  is a hyperbolic singularity of the sliding vector field  $X_\lambda^{sl}$  (or, equivalently,  $x = 0$  is a zero of multiplicity 1 or 2 of the function  $\det Z_\lambda$ ). We refer to Fig. 2.3 (the multiplicity of the zero  $x = 0$  of  $\det Z_\lambda$  is 2).

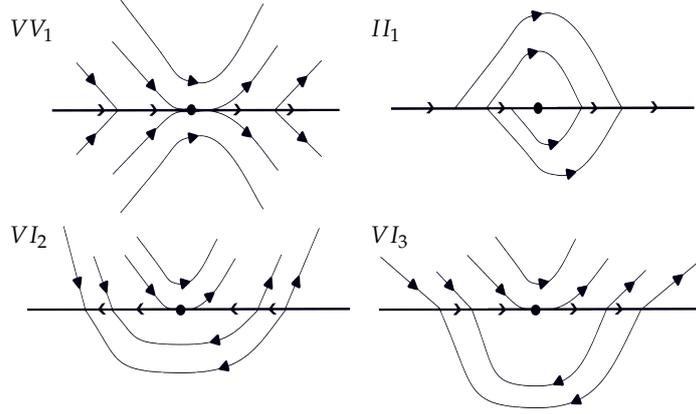


Figure 2.2: The different types of two-fold singularities with sliding: the folds in  $VV_1$  and  $II_1$  have the same visibility, while the folds in  $VI_2$  and  $VI_3$  have opposite visibility.

From  $\partial_x(Y_\lambda^- - Y_\lambda^+)(0) \neq 0$  and  $\partial_x Y_\lambda^+(0)\partial_x Y_\lambda^-(0) < 0$  it follows that the function  $\tau_\lambda$  defined in (2.3) has the following property when  $x \rightarrow 0$ :

$$\lim_{x \rightarrow 0} \tau_\lambda(x, 0) = \lim_{x \rightarrow 0} \frac{-Y_\lambda^-}{Y_\lambda^+ - Y_\lambda^-}(x, 0) = \frac{\partial_x Y_\lambda^-(0)}{\partial_x(Y_\lambda^- - Y_\lambda^+)(0)} \in ]0, 1[. \quad (2.16)$$

Let us now compute the slow divergence integral along  $[x_0, x_1]$ , with  $0 < x_0 < x_1$ . We assume that  $x_1$  is small enough such that  $[x_0, x_1]$  does not contain any singularities of the sliding vector field  $X_\lambda^{sl}$ . We use Theorem 2.4.3. We take  $p_\lambda(x) = (x, 0)$ ,  $x \in [x_0, x_1]$ , in Theorem 2.4.3. Then we have

$$\tilde{p}_\lambda(x) = \frac{\det Z_\lambda(x)}{(Y_\lambda^- - Y_\lambda^+)(x, 0)}, \quad E_\lambda(p_\lambda(v)) = (Y_\lambda^+ - Y_\lambda^-)(x, 0)\phi' \left( \phi^{-1}(\tau_\lambda(x, 0)) \right).$$

This implies

$$I([x_0, x_1]) = \int_{x_0}^{x_1} \frac{|Y_\lambda^- - Y_\lambda^+|(Y_\lambda^+ - Y_\lambda^-)(x, 0)}{|\det Z_\lambda|(x)} \phi' \left( \phi^{-1} \left( \frac{-Y_\lambda^-}{Y_\lambda^+ - Y_\lambda^-}(x, 0) \right) \right) dx. \quad (2.17)$$

Finally, we define the slow divergence integral along  $[0, x_1]$  (the left end point of  $[0, x_1]$  is the two-fold point).

**Definition 2.7.** Let  $m_\lambda = [0, x_1]$ . Then the slow divergence integral along  $m_\lambda$  is defined as

$$I(m_\lambda) = \lim_{x_0 \rightarrow 0^+} I([x_0, x_1])$$

where  $I([x_0, x_1])$  is given in (2.17).

**Remark 2.8.** Notice that the function  $x \mapsto \phi'(\phi^{-1}(\tau_\lambda(x, 0)))$  in (2.17) can be defined at  $x = 0$  such that this function is smooth and positive on the segment  $m_\lambda$  (see (2.5), (2.6) and (2.16)). If the folds have the same visibility, then  $I(m_\lambda)$  is well-defined (finite) because  $v \neq 0$  in (2.15). Since we assume that the multiplicity of the zero  $x = 0$  of  $\det Z_\lambda$  does not exceed 2 when the folds have opposite visibility,  $I(m_\lambda)$  is finite.

**Remark 2.9.** The slow divergence integral along  $m_\lambda = [x_0, 0]$ , with  $x_0 < 0$ , can be defined in a similar way:  $I(m_\lambda) = \lim_{x_1 \rightarrow 0^-} I([x_0, x_1])$  where  $I([x_0, x_1])$  has the same form (2.17).

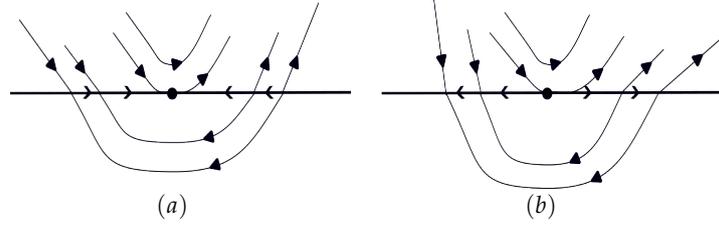


Figure 2.3: Non-generic visible-invisible two-fold singularities. The (extended) sliding vector field has a hyperbolic singularity at the two-fold points. (a) The sliding vector field points toward the two-fold singularity. (b) The sliding vector field points away from the two-fold singularity.

### 2.3 The slow divergence integral near one-sided tangency points

In this section we define the slow divergence integral near a tangency point  $p \in \partial\Sigma_\lambda^s \cup \partial\Sigma_\lambda^u$  where both vectors  $Z_\lambda^\pm(p)$  are nonzero and precisely one of them is tangent to  $\Sigma_\lambda$  at  $p$  (see e.g. Fig. 2.4). Like in Section 2.2, the switching boundary  $\Sigma_\lambda$  is locally given by  $h_\lambda(x, y) = y$  and  $p = (0, 0)$ . Since we suppose that  $p \in \partial\Sigma_\lambda^s \cup \partial\Sigma_\lambda^u$ , there is a side of  $p$  (without loss of generality we take  $x > 0$ ) where the sliding vector field is defined, and given by (2.14). If the (nonzero) vector  $Z_\lambda^+(0)$  (resp.  $Z_\lambda^-(0)$ ) is tangent to  $\Sigma_\lambda$ , then  $X_\lambda^+(0) \neq 0$ ,  $Y_\lambda^+(0) = 0$  and  $Y_\lambda^-(0) \neq 0$  (resp.  $X_\lambda^-(0) \neq 0$ ,  $Y_\lambda^-(0) = 0$  and  $Y_\lambda^+(0) \neq 0$ ) and

$$X_\lambda^{sl}(x) = X_\lambda^+(0) + O(x) \quad \left( \text{resp. } X_\lambda^{sl}(x) = X_\lambda^-(0) + O(x) \right). \quad (2.18)$$

Since  $X_\lambda^+(0) \neq 0$  (resp.  $X_\lambda^-(0) \neq 0$ ), the sliding vector field  $X_\lambda^{sl}$  in (2.18) is regular near  $x = 0$ . Thus, the segment  $[x_0, x_1]$ , with  $0 < x_0 < x_1$ , does not contain any singularities of  $X_\lambda^{sl}$  if  $x_1$  is small enough and we can define the slow divergence integral along  $[x_0, x_1]$  exactly in the same way as in Section 2.2. The slow divergence integral is given by (2.17) and we use the same notation  $I([x_0, x_1])$ .

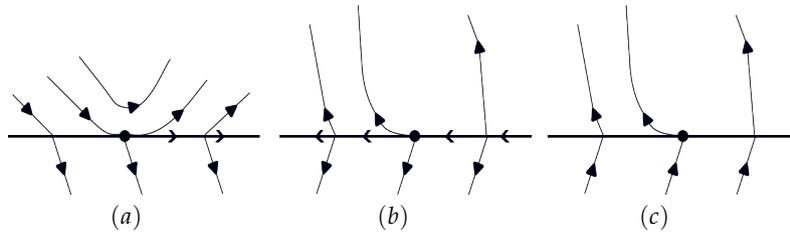


Figure 2.4: (a) The sliding vector field is defined on one side of the tangency point. (b) The sliding vector field is defined on both sides of the tangency point. (c) A crossing region around the tangency point (in this case the slow divergence integral near the tangency point is not defined).

We can now define the slow divergence integral near the tangency point  $p$ .

**Definition 2.10.** Let  $m_\lambda = [0, x_1]$ . Then the slow divergence integral along  $m_\lambda$  is defined as

$$I(m_\lambda) = \lim_{x_0 \rightarrow 0^+} I([x_0, x_1]).$$

**Remark 2.11.** The slow divergence integral  $I(m_\lambda)$  from Definition 2.10 is well-defined. Indeed,  $\lim_{u \rightarrow \pm\infty} \phi'(u) = 0$  (due to the smoothness of  $\phi$  at  $\pm\infty$  given after (2.6)). Moreover, we have (a)  $\lim_{u \rightarrow 1^-} \phi^{-1}(u) = +\infty$ , (b)  $\lim_{u \rightarrow 0^+} \phi^{-1}(u) = -\infty$  (see (2.6)) and finally (c)  $\frac{-Y_\lambda^-}{Y_\lambda^+ - Y_\lambda^-}(x, 0)$  tends to 1 (resp. 0) as  $x \rightarrow 0^+$  when  $Z_\lambda^+(0)$  (resp.  $Z_\lambda^-(0)$ ) is tangent to  $\Sigma_\lambda$ . It follows from (a), (b) and (c) that the integrand in (2.17) can be defined at  $x = 0$  (0 for  $x = 0$ ) and that the integrand is continuous on the segment  $m_\lambda$ . This implies that  $I(m_\lambda)$  is well-defined.

### 3 Limit cycles and fractal analysis through visible-invisible two-fold $VI_3$

#### 3.1 Model and assumptions

We consider a PWS system (2.1) where we assume that  $\lambda \sim \lambda_0 \in \mathbb{R}$ , and  $h_\lambda(x, y) = y$  (the switching boundary is the line  $y = 0$ ).

**Assumption A.** Suppose that there are  $\eta_- < 0$  and  $\eta_+ > 0$  such that the PWS system (2.1) for  $\lambda = \lambda_0$  has stable sliding for all  $x \in [\eta_-, 0]$  (i.e.,  $Y_{\lambda_0}^+(x, 0) < 0$  and  $Y_{\lambda_0}^-(x, 0) > 0$  for  $x \in [\eta_-, 0]$ ) and unstable sliding for all  $x \in ]0, \eta_+]$  (i.e.,  $Y_{\lambda_0}^+(x, 0) > 0$  and  $Y_{\lambda_0}^-(x, 0) < 0$  for  $x \in ]0, \eta_+]$ ). Moreover, we assume that the Filippov sliding vector field  $X_\lambda^{sl}$  given by (2.14) is positive for  $x \in [\eta_-, \eta_+] \setminus \{0\}$  and  $\lambda = \lambda_0$ .

Assumption A implies that  $Y_{\lambda_0}^\pm(0) = 0$  and the origin  $z = 0$  is therefore a tangency point (see Section 2). We assume that  $z = 0$  for  $\lambda = \lambda_0$  is a two-fold singularity. Moreover, we suppose that the two-fold singularity is visible from "above" and invisible from "below", i.e., the orbit of  $Z_{\lambda_0}^+$  through  $z = 0$  is contained within  $y > 0$  near  $z = 0$ , and the orbit of  $Z_{\lambda_0}^-$  through  $z = 0$  is not contained within  $y < 0$  (Section 2).

**Assumption B.** We assume that the origin  $z = 0$  in the PWS system (2.1) is a visible-invisible two-fold for  $\lambda = \lambda_0$ :  $Y_{\lambda_0}^\pm(0) = 0$  and

$$\begin{cases} X_{\lambda_0}^+(0) > 0, & \begin{cases} X_{\lambda_0}^-(0) < 0, \\ \partial_x Y_{\lambda_0}^-(0) < 0. \end{cases} \\ \partial_x Y_{\lambda_0}^+(0) > 0, & \end{cases} \quad (3.1)$$

Additionally, we assume that  $(\det Z_{\lambda_0})'(0) < 0$  where  $\det Z_\lambda$  is defined in (2.14).

**Remark 3.1.** From (3.1) it follows that  $\partial_x(Y_{\lambda_0}^- - Y_{\lambda_0}^+)(0) < 0$ . This, together with  $(\det Z_{\lambda_0})'(0) < 0$  and (2.15), implies that  $X_{\lambda_0}^{sl}(0) > 0$ . Thus,  $X_{\lambda_0}^{sl}(x) > 0$  for all  $x \in [\eta_-, \eta_+]$  (see Assumption A).

Assumption B and the Implicit Function Theorem imply the existence of smooth  $\lambda$ -families of fold singularities  $z_+ = (x_+(\lambda), 0)$  from above and fold singularities  $z_- = (x_-(\lambda), 0)$  from below, for  $\lambda \sim \lambda_0$ , with  $x_\pm(\lambda_0) = 0$ . The following assumption deals with non-zero velocity of the collision between  $z_+$  and  $z_-$  for  $\lambda = \lambda_0$  at the origin  $z = 0$ :

$$x'_+(\lambda_0) - x'_-(\lambda_0) = \left( -\frac{\partial_\lambda Y_{\lambda_0}^+}{\partial_x Y_{\lambda_0}^+} + \frac{\partial_\lambda Y_{\lambda_0}^-}{\partial_x Y_{\lambda_0}^-} \right) (0) \neq 0$$

where  $\partial_\lambda Y_{\lambda_0}^\pm$  means the partial derivative of  $Y_\lambda^\pm$  w.r.t.  $\lambda$ , computed in  $\lambda = \lambda_0$ .

**Assumption C.** We assume that

$$\partial_\lambda Y_\lambda^- \partial_x Y_\lambda^+ \neq \partial_\lambda Y_\lambda^+ \partial_x Y_\lambda^- \quad (3.2)$$

at  $(z, \lambda) = (0, \lambda_0)$ .

We consider a regularized PWS system (2.4) with  $h_\lambda(x, y) = y$ :

$$\dot{z} = \phi(y\epsilon^{-2})Z_\lambda^+(z) + (1 - \phi(y\epsilon^{-2}))Z_\lambda^-(z) \quad (3.3)$$

where  $0 < \epsilon \ll 1$  and  $\phi : \mathbb{R} \rightarrow \mathbb{R}$  is a smooth regularization function that satisfies the assumptions given after (2.4). More precisely, we have

**Assumption D.** We suppose that  $\phi$  satisfies (2.5) and (2.6) and that  $\phi$  is smooth at  $\pm\infty$ .

It is more convenient to write  $\epsilon^{-2}$  in (3.3) instead of  $\epsilon^{-1}$  so that we can directly use results from [25] (see Section 4).

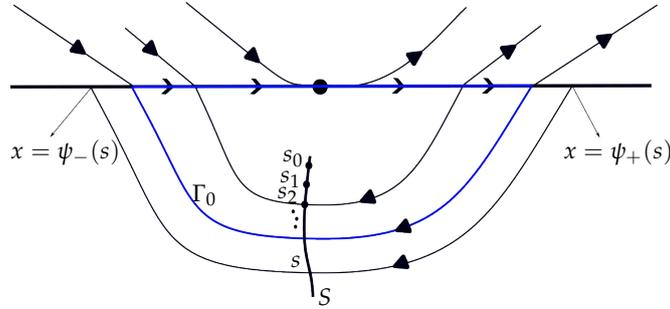


Figure 3.1: A fractal sequence  $(s_n)_{n \in \mathbb{N}}$  near the canard cycle  $\Gamma_0$ .

Let  $S$  be an open section transversally cutting orbits of  $Z_\lambda^-$ , parametrized by a regular parameter  $s \sim 0$  (Fig. 3.1). We assume that  $s$  increases as we approach the origin  $z = 0$ . For  $\lambda = \lambda_0$ , let  $\Gamma_s$  be the limit periodic set consisting of the orbit of  $Z_{\lambda_0}^-$  connecting  $(\psi_+(s), 0)$  and  $(\psi_-(s), 0)$ , and the segment  $[\psi_-(s), \psi_+(s)] \subset \{y = 0\}$  (Fig. 3.1). We suppose that  $[\psi_-(s), \psi_+(s)] \subset [\eta_-, \eta_+]$  for all  $s \sim 0$ . In [25]  $\Gamma_s$  is called a canard cycle. From the chosen parameterization of  $S$  it follows that  $\psi'_-(s) > 0$  and  $\psi'_+(s) < 0$ . Following [25, Section 3], to study the number of limit cycles of (3.3) produced by  $\Gamma_s$  for  $(\epsilon, \lambda) \sim (0, \lambda_0)$  one can use the slow divergence integral associated to the segment  $[\psi_-(s), \psi_+(s)]$ :

$$I(s) = \int_{\psi_-(s)}^{\psi_+(s)} \frac{(Y_{\lambda_0}^+ - Y_{\lambda_0}^-)^2(x, 0)}{-\det Z_{\lambda_0}(x)} \phi' \left( \phi^{-1} \left( \frac{-Y_{\lambda_0}^-}{Y_{\lambda_0}^+ - Y_{\lambda_0}^-}(x, 0) \right) \right) dx. \quad (3.4)$$

**Remark 3.2.** In (3.4) we use Definition 2.7 and Remark 2.9. Note that

$$I(s) = I(m_{\lambda_0}) + I(\tilde{m}_{\lambda_0})$$

where  $m_{\lambda_0} = [0, \psi_+(s)]$  and  $\tilde{m}_{\lambda_0} = [\psi_-(s), 0]$ .

**Remark 3.3.** We suppose that Assumptions A through D are satisfied and write

$$\lambda = \lambda_0 + \epsilon \tilde{\lambda}$$

with  $\tilde{\lambda} \sim 0$ . We say that the cyclicity of the canard cycle  $\Gamma_0$  inside (3.3) is bounded by  $N \in \mathbb{N}$  if there exist  $\epsilon_0 > 0$ ,  $\delta_0 > 0$  and a neighborhood  $\mathcal{U}$  of 0 in the  $\tilde{\lambda}$ -space such that (3.3) has at most  $N$  limit cycles, each lying within Hausdorff distance  $\delta_0$  of  $\Gamma_0$ , for all  $(\epsilon, \tilde{\lambda}) \in ]0, \epsilon_0] \times \mathcal{U}$ . We call the smallest  $N$  with this property the cyclicity of  $\Gamma_0$  and denote it by  $\text{Cycl}(\Gamma_0)$ .

If the slow divergence integral  $I$  in (3.4) has a simple zero at  $s = 0$ , then  $\text{Cycl}(\Gamma_0) = 2$  and, for each small  $\epsilon > 0$ , the  $\tilde{\lambda}$ -family in (3.3) undergoes a saddle-node bifurcation of limit cycles near  $\Gamma_0$  when we vary  $\tilde{\lambda} \sim 0$ . Under the same assumption on  $I$ , there is a smooth function  $\tilde{\lambda} = \tilde{\lambda}(\epsilon)$ ,  $\tilde{\lambda}(0) = 0$ , such that (3.3) with  $\lambda = \lambda_0 + \epsilon \tilde{\lambda}(\epsilon)$  has a unique (hyperbolic) limit cycle Hausdorff close to  $\Gamma_0$  for each small  $\epsilon > 0$ .

If  $I$  has a zero of multiplicity  $m \geq 1$  at  $s = 0$ , then  $\text{Cycl}(\Gamma_0) \leq m + 1$ . When  $I(0) < 0$  (resp.  $I(0) > 0$ ), then  $\text{Cycl}(\Gamma_0) = 1$ , and the limit cycle is hyperbolic and attracting (resp. repelling).

We refer the reader to [25, Theorem 3.1] and [25, Remark 3.4].

We say that the canard cycle  $\Gamma_0$  is balanced if  $s = 0$  is a zero of  $I(s)$  defined in (3.4) ( $I(0) = 0$ ). If  $\Gamma_0$  is balanced, then there exists a unique function  $G(s)$  satisfying  $G(0) = 0$ ,  $G'(0) > 0$  and

$$\int_{\psi_-(s)}^{\psi_+(G(s))} \frac{(Y_{\lambda_0}^+ - Y_{\lambda_0}^-)^2(x, 0)}{-\det Z_{\lambda_0}(x)} \phi' \left( \phi^{-1} \left( \frac{-Y_{\lambda_0}^-}{Y_{\lambda_0}^+ - Y_{\lambda_0}^-}(x, 0) \right) \right) dx = 0 \quad (3.5)$$

for  $s \sim 0$ . This follows from the Implicit Function Theorem because  $I(0) = 0$ ,  $\psi'_-(s) > 0$ ,  $\psi'_+(s) < 0$  and the integrand in (3.4) is negative for  $x < 0$  and positive for  $x > 0$  (see Assumptions A and D). We call  $G$  defined by (3.5) the slow relation function.

**Assumption E.** We suppose that  $\Gamma_0$  is balanced and that  $s = 0$  is an isolated zero of  $I(s)$ , meaning that  $s = 0$  has a small neighborhood  $] -\tilde{s}, \tilde{s}[$  ( $\tilde{s} > 0$ ) that does not contain any other zero of  $I(s)$ .

Assumption E implies that  $I$  is either negative or positive for  $s > 0$  ( $I$  is continuous). Using the above mentioned property of the integrand in (3.4) it can be easily seen that  $0 < G(s) < s$  for  $s > 0$  when  $I$  is negative and  $G(s) > s$  for  $s > 0$  when  $I$  is positive. Let  $s_0 > 0$  be small and fixed. Thus, if  $I$  is negative (resp. positive), then the orbit of  $s_0$

$$U_0 = \{s_0, s_1, s_2, \dots\} \quad (3.6)$$

defined by  $s_{n+1} = G(s_n)$  (resp.  $s_{n+1} = G^{-1}(s_n)$ ),  $n \geq 0$ , tends monotonically to the fixed point  $s = 0$  of  $G$ . We want to study the Minkowski dimension of  $U_0$ .

Let us first define the notion of Minkowski (or box) dimension (see [14, 34] and references therein). Let  $U \subset \mathbb{R}^N$  be a bounded set. We define the  $\delta$ -neighborhood of  $U$ :

$$U_\delta = \{x \in \mathbb{R}^N \mid d(x, U) \leq \delta\},$$

and denote by  $|U_\delta|$  the Lebesgue measure of  $U_\delta$ . The lower  $u$ -dimensional Minkowski content of  $U$ , for  $u \geq 0$ , is defined by

$$\mathcal{M}_*^u(U) = \liminf_{\delta \rightarrow 0} \frac{|U_\delta|}{\delta^{N-u}},$$

and analogously the upper  $u$ -dimensional Minkowski content  $\mathcal{M}^{*u}(U)$  (we replace  $\liminf_{\delta \rightarrow 0}$  with  $\limsup_{\delta \rightarrow 0}$ ). We define lower and upper Minkowski dimensions of  $U$ :

$$\underline{\dim}_B U = \inf\{u \geq 0 \mid \mathcal{M}_*^u(U) = 0\}, \quad \overline{\dim}_B U = \inf\{u \geq 0 \mid \mathcal{M}^{*u}(U) = 0\}.$$

We have  $\underline{\dim}_B U \leq \overline{\dim}_B U$  and, if  $\underline{\dim}_B U = \overline{\dim}_B U$ , we call it the Minkowski dimension of  $U$ , and denote it by  $\dim_B U$ .

The upper Minkowski dimension is finitely stable. More precisely,

$$\overline{\dim}_B(U_1 \cup U_2) = \max\{\overline{\dim}_B U_1, \overline{\dim}_B U_2\}, \quad U_1, U_2 \subset \mathbb{R}^N.$$

If  $U_1 \subset U_2$ , then  $\underline{\dim}_B U_1 \leq \underline{\dim}_B U_2$  and  $\overline{\dim}_B U_1 \leq \overline{\dim}_B U_2$  ( $\underline{\dim}_B$  and  $\overline{\dim}_B$  are monotonic).

Furthermore, if  $0 < \mathcal{M}_*^d(U) \leq \mathcal{M}^{*d}(U) < \infty$  for some  $d$ , then we say that  $U$  is Minkowski nondegenerate. In this case we have necessarily that  $d = \dim_B U$ . Recall also that the notion of being Minkowski nondegenerate is invariant under bi-Lipschitz maps. Namely, if  $\Phi$  is a bi-Lipschitz map and  $U$  is Minkowski nondegenerate, then  $\Phi(U)$  is also Minkowski nondegenerate (see [36, Theorem 4.1]).

We use these properties in Section 4.2.

Following [12],  $\dim_B U_0$  exists, it is independent of the choice of  $s_0 > 0$  and can take only the following discrete set of values:  $0, \frac{1}{2}, \frac{2}{3}, \frac{3}{4}, \dots, 1$  (see also Theorem 4.1). The set  $U_0$  is defined in (3.6).

### 3.2 Statement of results

In this section we consider the family (3.3) that satisfies Assumptions A through E and assume that  $\lambda = \lambda_0 + \epsilon \tilde{\lambda}$  with  $\tilde{\lambda} \sim 0$ .

**Theorem 3.4.** *Let  $s_0 > 0$  be small and fixed and let  $U_0$  be the orbit of  $s_0$  defined in (3.6). If  $\dim_B U_0 = 0$ , then the following statements hold.*

1. ( $\lambda$  unbroken) *There exists a smooth function  $\tilde{\lambda} = \tilde{\lambda}(\epsilon)$ ,  $\tilde{\lambda}(0) = 0$ , such that (3.3) with  $\lambda = \lambda_0 + \epsilon \tilde{\lambda}(\epsilon)$  has a unique (hyperbolic) limit cycle Hausdorff close to  $\Gamma_0$  for each small  $\epsilon > 0$ .*
2. ( $\lambda$  broken) *We have that  $\text{Cycl}(\Gamma_0) = 2$  and, for every small  $\epsilon > 0$ , the  $\tilde{\lambda}$ -family (3.3) undergoes a saddle-node bifurcation of limit cycles Hausdorff close to  $\Gamma_0$ .*

Theorem 3.4 will be proved in Section 4.1.

**Theorem 3.5.** *Let  $U_0$  be the orbit of  $s_0$  defined in (3.6), for a small  $s_0 > 0$ . If  $\dim_B U_0 < 1$ , then  $\text{Cycl}(\Gamma_0) \leq \frac{2 - \dim_B U_0}{1 - \dim_B U_0}$ .*

Theorem 3.5 will be proved in Section 4.1.

**Theorem 3.6.** *Let  $U_0$  be the orbit of  $s_0$  defined in (3.6), for a small  $s_0 > 0$ , and  $\dim_B U_0 = 0$ . The following statements are true.*

1. *For  $\tilde{\lambda} = \tilde{\lambda}(\epsilon)$  given in Theorem 3.4.1 and for each small  $\epsilon > 0$ , the Minkowski dimension of any spiral trajectory accumulating (in forward or backward time) on the unique limit cycle of (3.3) near  $\Gamma_0$  is equal to 1.*
2. *For each small  $\epsilon > 0$ , the Minkowski dimension of any spiral trajectory accumulating (in forward or backward time) on the limit cycle of multiplicity 2 of (3.3), born in a saddle-node bifurcation of limit cycles Hausdorff close to  $\Gamma_0$ , is equal to  $\frac{3}{2}$  and moreover, the spiral is Minkowski nondegenerate.*

Theorem 3.6 will be proved in Section 4.2. A small (Hausdorff) neighborhood of  $\Gamma_0$  in which we consider spiral trajectories in Theorem 3.6.1 or Theorem 3.6.2 does not shrink to  $\Gamma_0$  as  $\epsilon \rightarrow 0$  (see Section 4.2).

## 4 Proof of Theorems 3.4–3.6

### 4.1 Proof of Theorems 3.4–3.5

Let  $\tilde{s} > 0$  be small and fixed. Suppose that  $F$  is a smooth function on  $]0, \tilde{s}[$ ,  $F(0) = 0$  and  $0 < F(s) < s$  for all  $s \in ]0, \tilde{s}[$ . We define  $H(s) := s - F(s)$  and the orbit of  $s_0 \in ]0, \tilde{s}[$  by  $H$ :

$$U := \{s_n = H^n(s_0) \mid n = 0, 1, \dots\}$$

where  $H^n$  denotes  $n$ -fold composition of  $H$ . It is clear that  $s_n$  tends monotonically to zero. We say that the multiplicity of the fixed point  $s = 0$  of  $H$  is equal to  $m$  if  $s = 0$  is a zero of multiplicity  $m$  of  $F$  ( $F(0) = \dots = F^{(m-1)}(0) = 0$  and  $F^{(m)}(0) \neq 0$ ). If  $F^{(n)}(0) = 0$  for each  $n = 0, 1, \dots$ , then we say that the multiplicity of  $s = 0$  of  $H$  is  $\infty$ .

**Theorem 4.1** ([12]). *Let  $F$  be a smooth function on  $]0, \tilde{s}[$ ,  $F(0) = 0$  and  $0 < F(s) < s$  for each  $s \in ]0, \tilde{s}[$ . Let  $H = \text{id} - F$  and let  $U$  be the orbit of  $s_0 \in ]0, \tilde{s}[$  by  $H$ . Then  $\dim_B U$  is independent of the initial point  $s_0$  and, for  $1 \leq m \leq \infty$ , the following bijective correspondence holds:*

$$m = \frac{1}{1 - \dim_B U} \quad (4.1)$$

where  $m$  is the multiplicity of  $s = 0$  of  $H$  (if  $m = \infty$ , then  $\dim_B U = 1$ ).

If we denote by  $\Phi$  the integrand in (3.4) and (3.5), then we have

$$I(s) = \int_{\psi_-(s)}^{\psi_+(s)} \Phi(x) dx = \int_{\psi_-(s)}^{\psi_+(G(s))} \Phi(x) dx + \int_{\psi_+(G(s))}^{\psi_+(s)} \Phi(x) dx = \int_{\psi_+(G(s))}^{\psi_+(s)} \Phi(x) dx$$

where in the last step we use (3.5). From  $\psi'_+(s) < 0$ ,  $\Phi(x) > 0$  for  $x > 0$  and The Fundamental Theorem of Calculus it follows that there exists a negative smooth function  $\Psi(s)$  such that

$$I(s) = \Psi(s)(s - G(s)).$$

This implies that  $s = 0$  is a zero of multiplicity  $m$  of  $I(s)$  if and only if  $s = 0$  is a zero of multiplicity  $m$  of  $s - G(s)$ .

We will first suppose that the orbit  $U_0$  in (3.6) is generated by the slow relation function  $G$ . If  $\dim_B U_0 = 0$ , then Theorem 4.1, with  $H = G$ , implies that the multiplicity of the fixed point  $s = 0$  of  $G$  is 1. Thus, we have that  $s = 0$  is a simple zero of  $I$  and Theorem 3.4.1 (resp. Theorem 3.4.2) follows directly from [25, Theorem 3.1] (resp. [25, Remark 3.4]). See also Remark 3.3. If  $\dim_B U_0 < 1$ , then the multiplicity of  $s = 0$  of  $G$  is equal to  $\frac{1}{1 - \dim_B U_0}$  (see (4.1)). Thus,  $s = 0$  is a zero of multiplicity  $\frac{1}{1 - \dim_B U_0}$  of  $I$  and [25, Remark 3.4]) implies that

$$\text{Cycl}(\Gamma_0) \leq 1 + \frac{1}{1 - \dim_B U_0} = \frac{2 - \dim_B U_0}{1 - \dim_B U_0}.$$

This completes the proof of Theorem 3.5.

If  $U_0$  is generated by  $G^{-1}$ , Theorem 3.4 and Theorem 3.5 can be proved in the same way as above (we use Theorem 4.1 with  $H = G^{-1}$  and the fact that  $G$  and  $G^{-1}$  have the same multiplicity of the fixed point  $s = 0$ ).

## 4.2 Proof of Theorem 3.6

The Minkowski dimension of spiral trajectories accumulating on a hyperbolic or non-hyperbolic limit cycle of planar vector fields (without parameters) has been studied in [35,37]. We prove Theorem 3.6 for spiral trajectories in a Hausdorff neighborhood of the canard cycle  $\Gamma_0$  that does not shrink to  $\Gamma_0$  as  $\epsilon \rightarrow 0$ .

We will first prove Theorem 3.6.1. We assume that  $\dim_B U_0 = 0$  and  $\tilde{\lambda}(\epsilon)$  is given in Theorem 3.4.1. Let  $\bar{V}$  be a fixed neighborhood of  $\Gamma_0$ . Then the unique limit cycle of (3.3) with  $\tilde{\lambda} = \tilde{\lambda}(\epsilon)$  is located in  $\bar{V}$  for each  $\epsilon > 0$  small enough (see [25]). For such fixed  $\epsilon > 0$ , let  $\Gamma$  be any spiral trajectory in  $\bar{V}$  accumulating on the limit cycle (in the forward time if the limit cycle is attracting or in the backward time if the limit cycle is repelling). We write  $\Gamma = \tilde{\Gamma} \cup \bar{\Gamma}$  where  $\bar{\Gamma}$  is the part of  $\Gamma$  sufficiently close to the limit cycle (we can apply the results of [35,37]) and  $\tilde{\Gamma}$  is the rest of  $\Gamma$  (of finite length). It is clear that  $\dim_B \tilde{\Gamma} = 1$  and  $\overline{\dim}_B \bar{\Gamma} \geq 1$ . Since  $\underline{\dim}_B \leq \overline{\dim}_B$ ,  $\underline{\dim}_B$  is monotonic and  $\overline{\dim}_B$  is finitely stable (see Section 3.1), we have

$$\underline{\dim}_B \bar{\Gamma} \leq \underline{\dim}_B(\tilde{\Gamma} \cup \bar{\Gamma}) \leq \overline{\dim}_B(\tilde{\Gamma} \cup \bar{\Gamma}) = \max\{\overline{\dim}_B \tilde{\Gamma}, \overline{\dim}_B \bar{\Gamma}\} = \overline{\dim}_B \bar{\Gamma}. \quad (4.2)$$

Since the limit cycle is hyperbolic (see Theorem 3.4.1), [35, Theorem 10] implies that  $\dim_B \bar{\Gamma} = \underline{\dim}_B \bar{\Gamma} = \overline{\dim}_B \bar{\Gamma} = 1$ . Using (4.2) we obtain  $\dim_B \Gamma = 1$ . This completes the proof of Theorem 3.6.1.

The first part of Theorem 3.6.2 can be proved in the same way as Theorem 3.6.1. Since the non-hyperbolic limit cycle is generated by a saddle-node bifurcation of limit cycles we have  $\dim_B \bar{\Gamma} = \underline{\dim}_B \bar{\Gamma} = \overline{\dim}_B \bar{\Gamma} = \frac{3}{2}$  (see [35, Theorem 10] and [37, Theorem 1]). To prove the claim about Minkowski nondegeneracy; first observe that  $\mathcal{M}^{3/2}(\tilde{\Gamma}) = 0$  since  $\dim_B(\tilde{\Gamma}) = 1 < 3/2$  so that this part does not affect the upper and lower Minkowski content of  $\Gamma = \tilde{\Gamma} \cup \bar{\Gamma}$ ; hence, it is enough to show that  $\bar{\Gamma}$  is Minkowski nondegenerate. To see this, we observe that  $\bar{\Gamma}$  can be partitioned into finitely many pieces  $\bar{\Gamma}_i; i = 1, \dots, k$  such that each  $\bar{\Gamma}_i$  is bi-Lipschitz equivalent to  $[0, 1[ \times U$  by the Lipschitz flow-box Theorem [3]. Note also that  $\dim_B U = 1/2$  and it is Minkowski nondegenerate which implies that  $[0, 1[ \times U$  is also Minkowski nondegenerate; see the proof of [37, Theorem 4(b)]. Finally, the finite stability of Minkowski dimension and of Minkowski nondegeneracy now complete the proof exactly as in the proof of [37, Theorem 4(b)].

## Declarations

**Ethical Approval** Not applicable.

**Competing interests** The authors declare that they have no conflict of interest.

**Authors' contributions** All authors conceived of the presented idea, developed the theory, performed the computations and contributed to the final manuscript.

**Funding** The research of R. Huzak and G. Radunović was supported by: Croatian Science Foundation (HRZZ) grant PZS-2019-02-3055 from "Research Cooperability" program funded by the European Social Fund. Additionally, the research of G. Radunović was partially supported by the HRZZ grant UIP-2017-05-1020.

**Availability of data and materials** Not applicable.

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