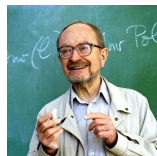


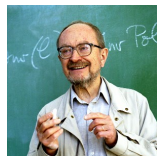
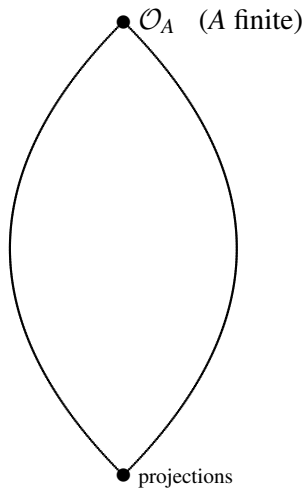
# Clones with Finitely Many Relative $R$ -Classes

**Á. Szendrei**

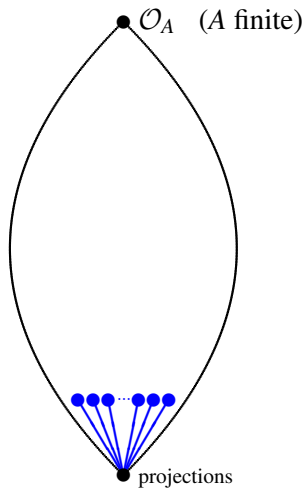
University of Colorado at Boulder  
and  
University of Szeged

**Universal Algebra and Lattice Theory**  
Szeged, Hungary, June 21–25, 2012

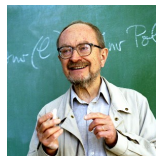


**Finite algebras, clones on finite sets**

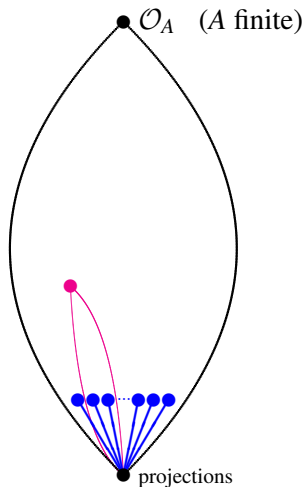
## Finite algebras, clones on finite sets



minimal clones

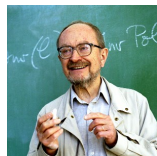


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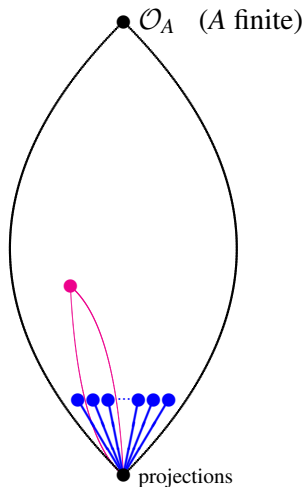


homogeneous algebras

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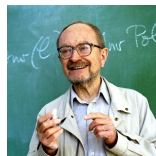


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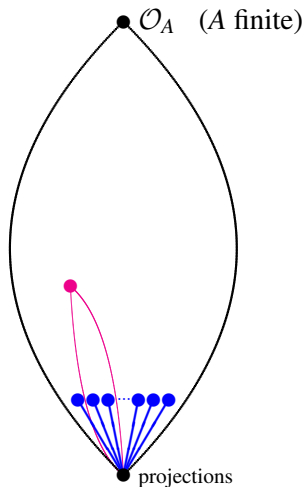


homogeneous algebras  
 ↳ descr of clones

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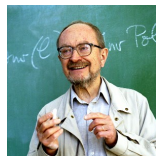


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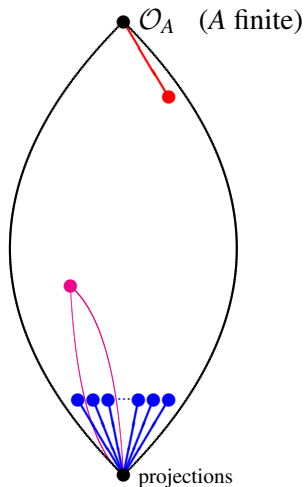


↗ (almost all) are functionally complete  
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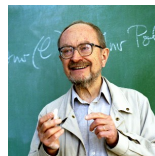


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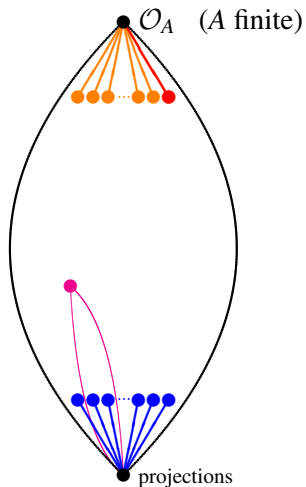


Słupecki's  
Completeness Thm



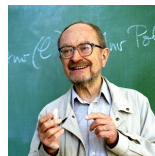


## Finite algebras, clones on finite sets

Rosenberg's  
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Let  $A$  be a  $k$ -element set,  $k \geq 3$ .



### **Słupecki's Completeness Theorem:**

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**Słupecki's Completeness Theorem:** If a clone  $\mathcal{C}$  on  $A$  contains

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The set of all operations  $f \in \mathcal{O}_A$  such that

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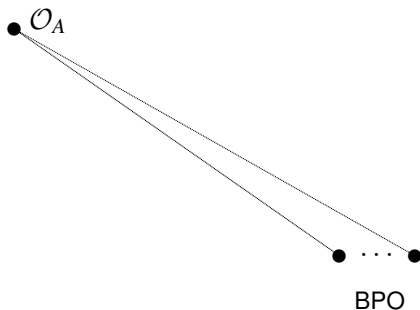
**Słupecki's Completeness Theorem (restated):** There is a unique maximal clone on  $A$  that contains all unary operations: Słupecki's clone.



**Rosenberg's Completeness Theorem:** The maximal clones on a  $k$ -element set  $A$  are the clones  $\{\rho\}^\perp = \{f \in \mathcal{O}_A : f \text{ preserves } \rho\}$  for one of six types of relations  $\rho$ :

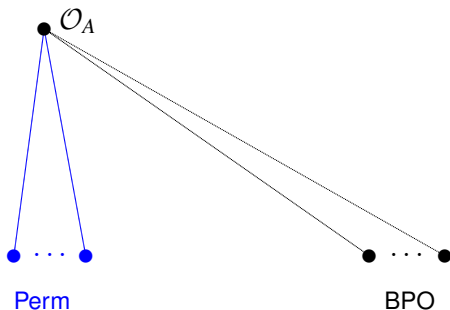


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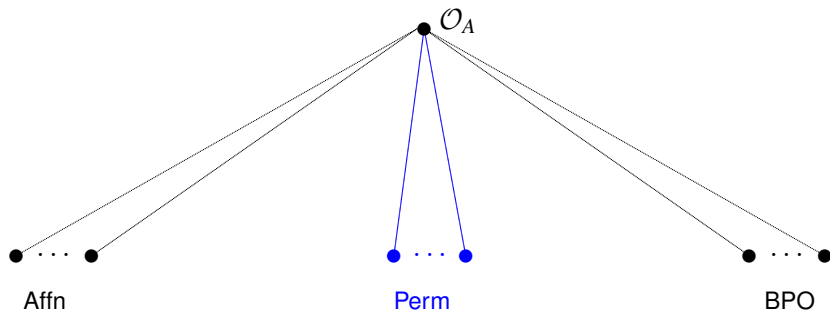
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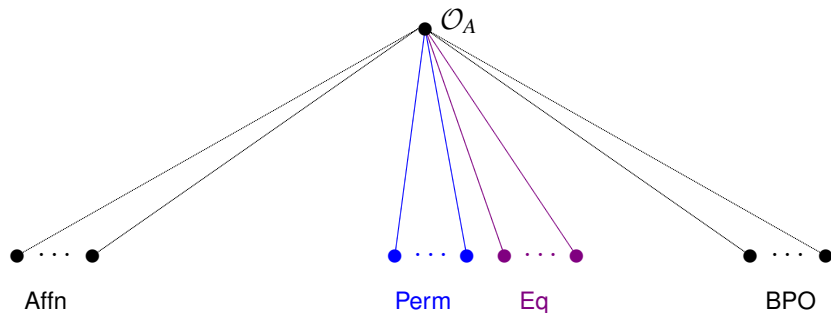


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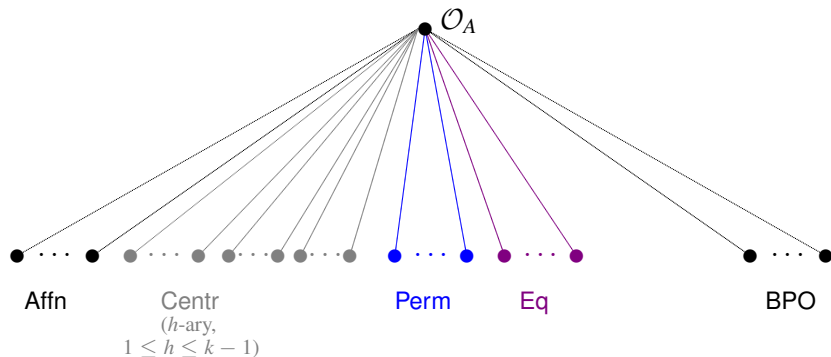


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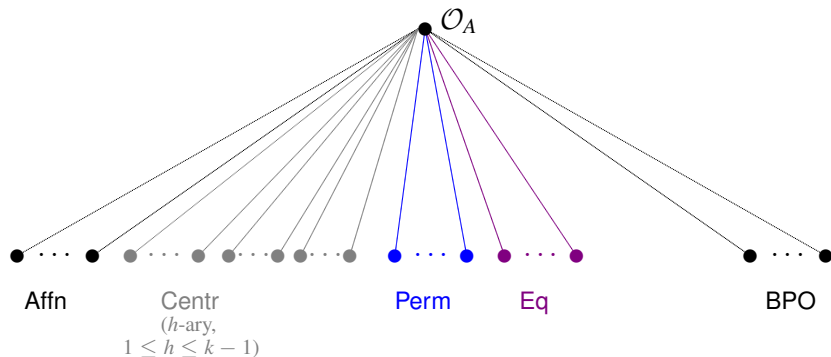


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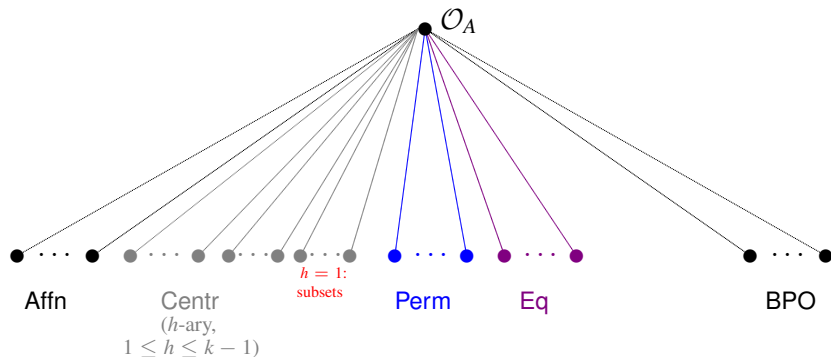
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- totally symmetric
- $\neq A^h$ , but contains  $\{c\} \times A^{h-1}$  for some  $c \in A$



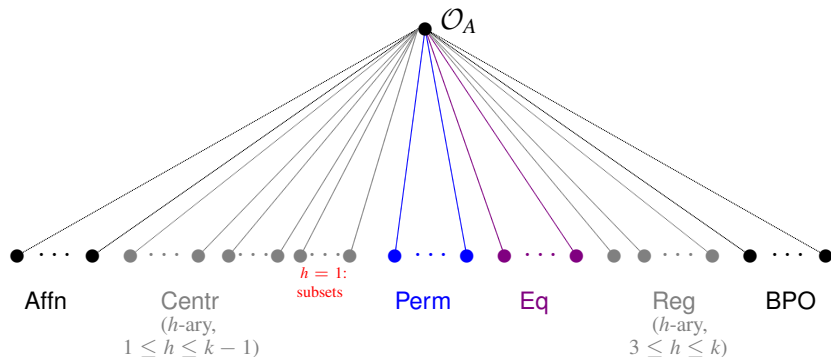
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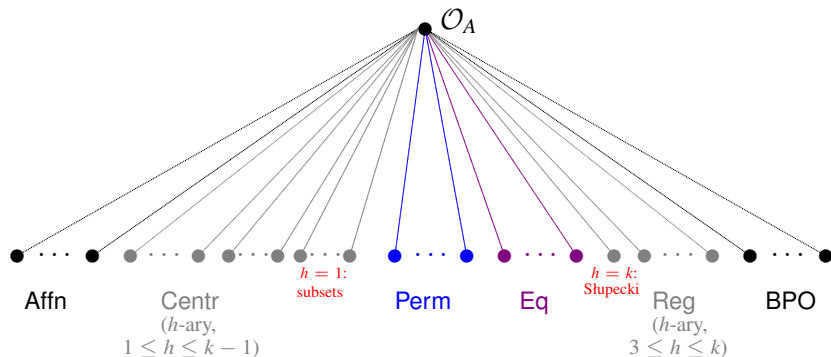
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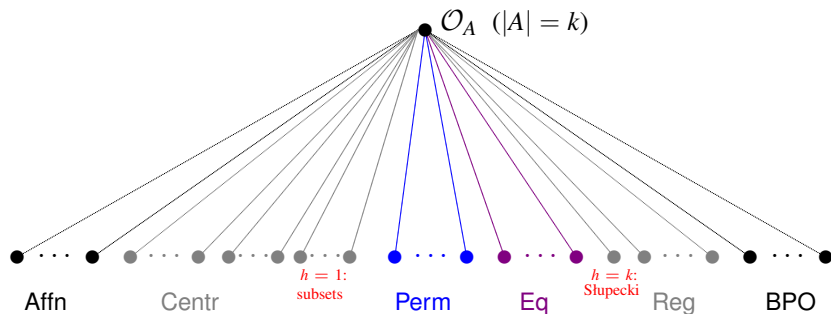
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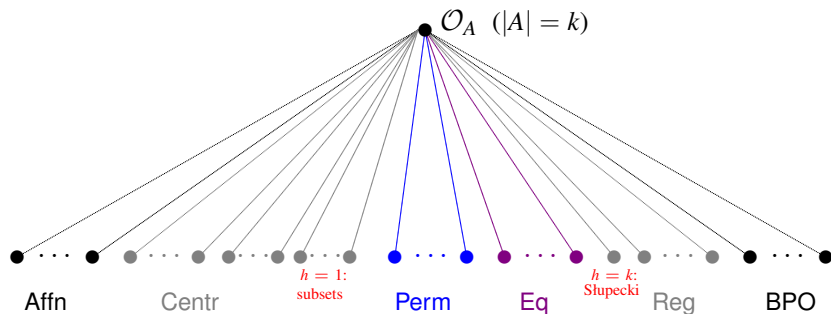
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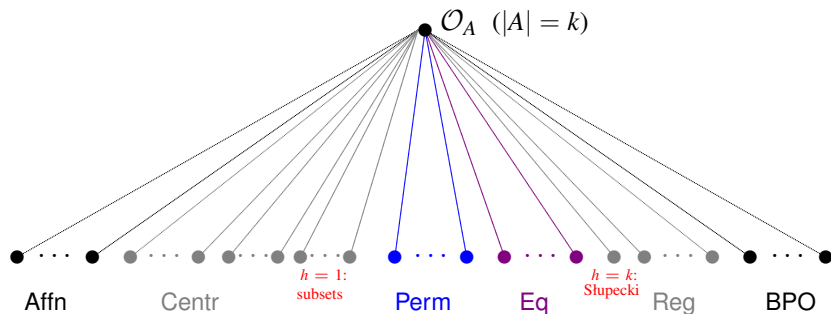
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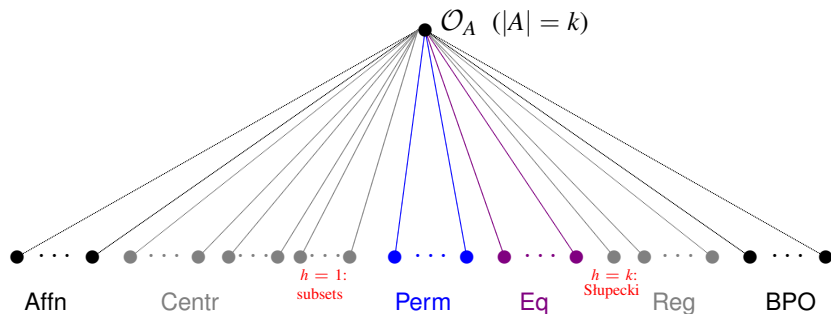


**Maximal subclones known  
for maximal clone of type**



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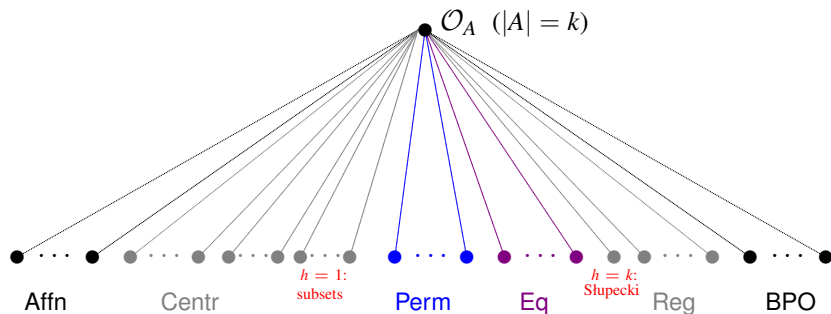
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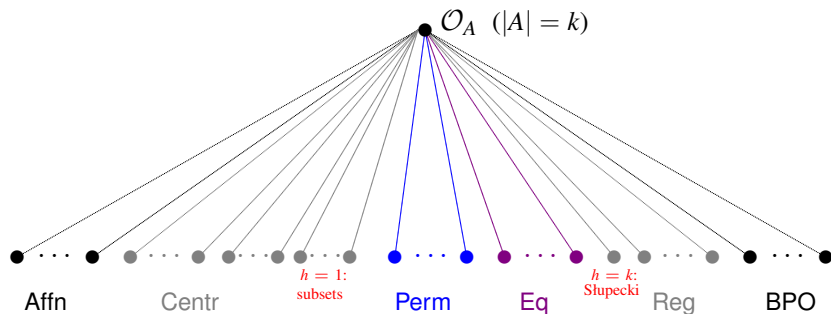


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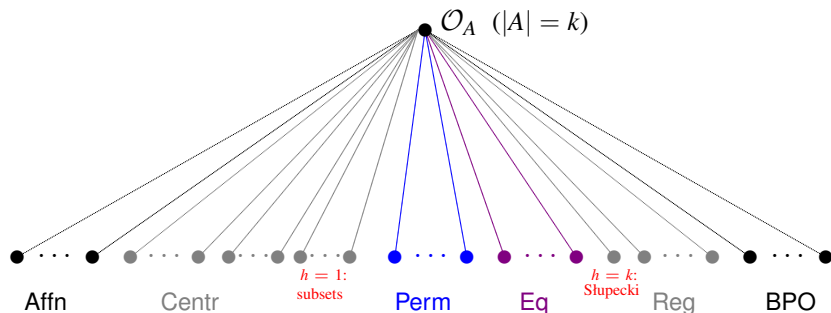


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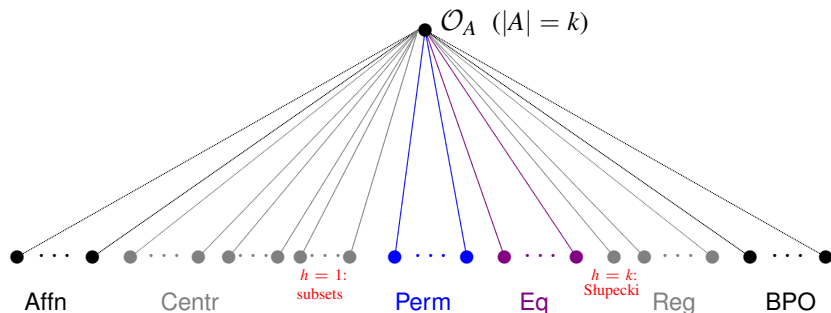


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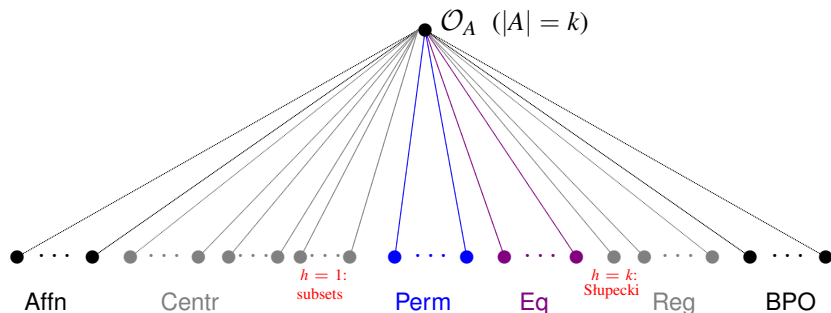


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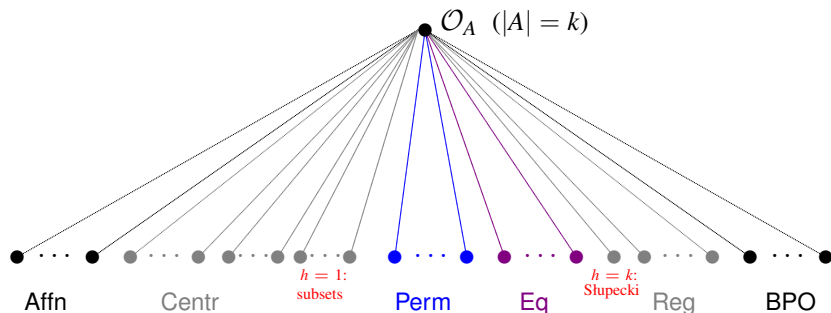
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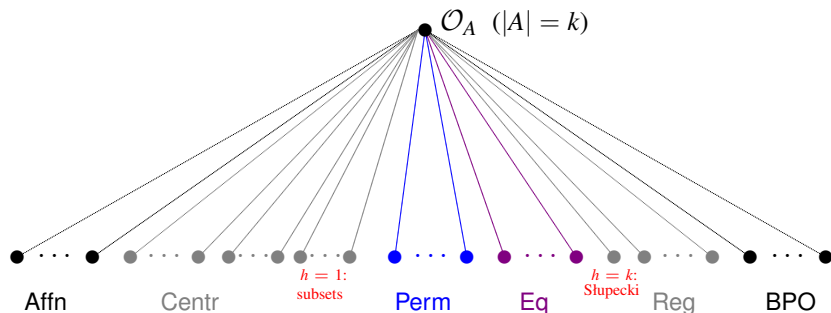


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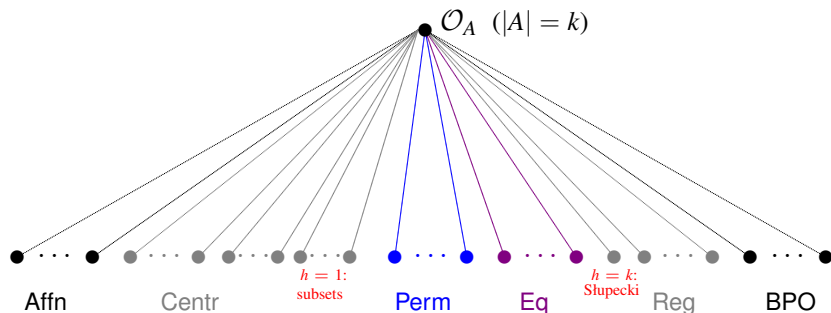


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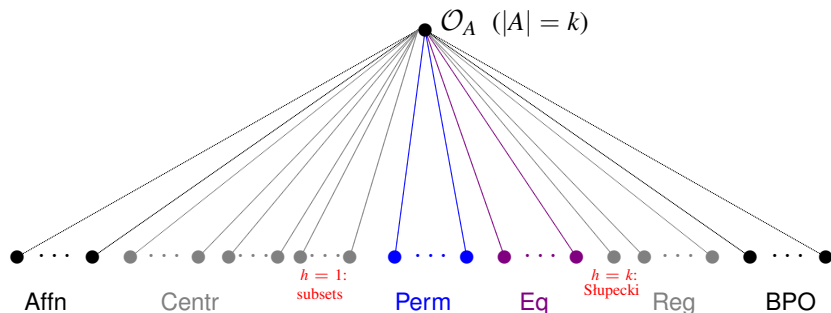


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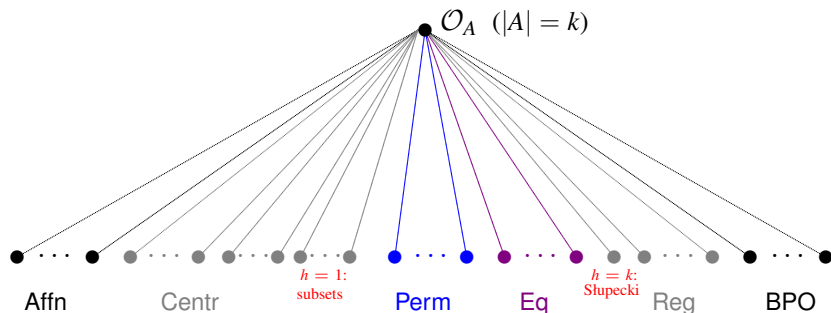


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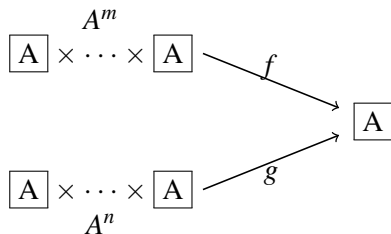
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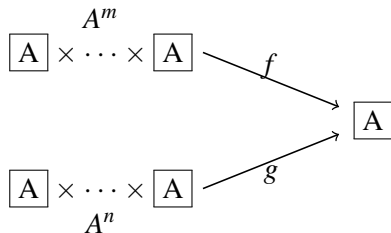




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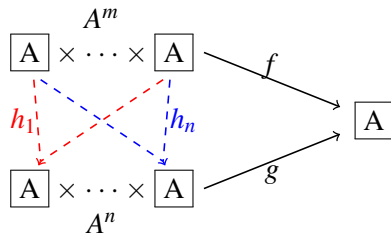
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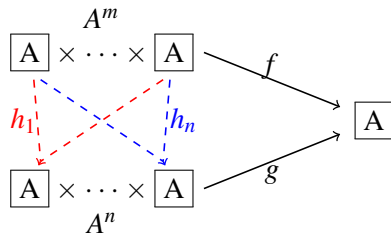
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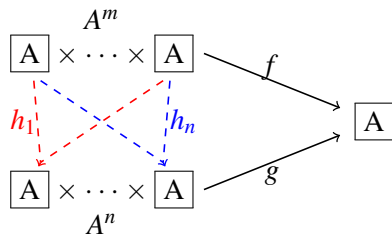
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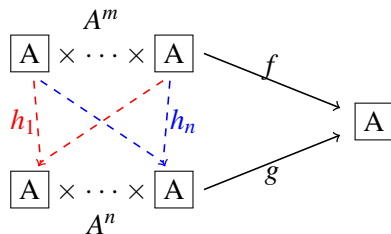
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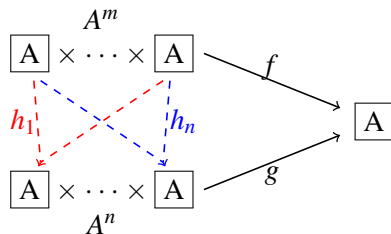
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$\diamond f \equiv_{\mathcal{O}_A} g \Leftrightarrow f(A) = g(A)$  [Henno'71]

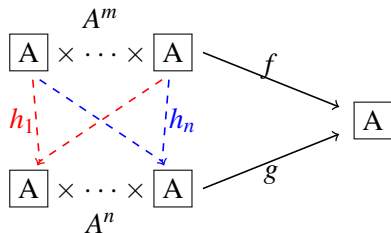
$\mathcal{C}$  a clone on  $A$

**Definition** of rels  $\leq_{\mathcal{C}}, \equiv_{\mathcal{C}}$  on  $\mathcal{O}_A$ : For  $f \in \mathcal{O}_A^{(m)}, g \in \mathcal{O}_A^{(n)}$

•  $f \leq_{\mathcal{C}} g \Leftrightarrow$

$\exists \mathbf{h} = (h_1, \dots, h_n) \in (\mathcal{C}^{(m)})^n$

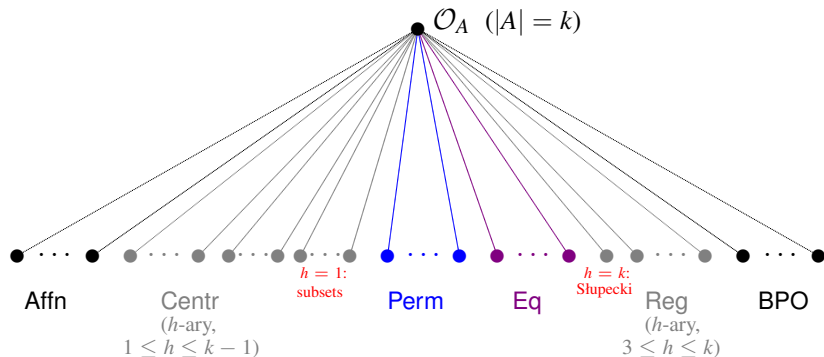
s.t.  $f = g \circ \mathbf{h}$



•  $f \equiv_{\mathcal{C}} g \Leftrightarrow f \leq_{\mathcal{C}} g \ \& \ f \geq_{\mathcal{C}} g$

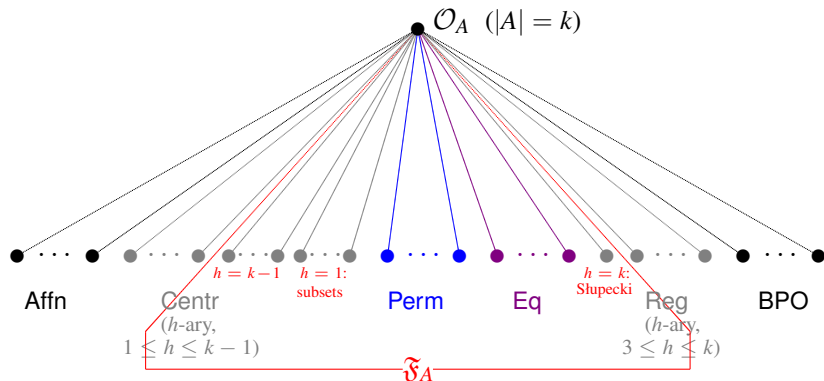
- Easy Facts:**
- ◊  $\leq_{\mathcal{C}}$  is a quasiorder,  $\equiv_{\mathcal{C}}$  is an equivalence relation
  - ◊  $\mathcal{C} \subseteq \mathcal{D} \Rightarrow \leq_{\mathcal{C}} \subseteq \leq_{\mathcal{D}}$
  - ◊  $f \equiv_{\mathcal{O}_A} g \Leftrightarrow f(A) = g(A)$  [Henno'71]
  - ◊  $\mathfrak{F}_A := \{\mathcal{C} : \equiv_{\mathcal{C}} \text{ has finitely many equiv classes}\} (\neq \emptyset)$   
is an order filter in the lattice of clones on finite  $A$

**Lehtonen–Sz’11:** The maximal clones in  $\mathfrak{F}_A$  are the following:

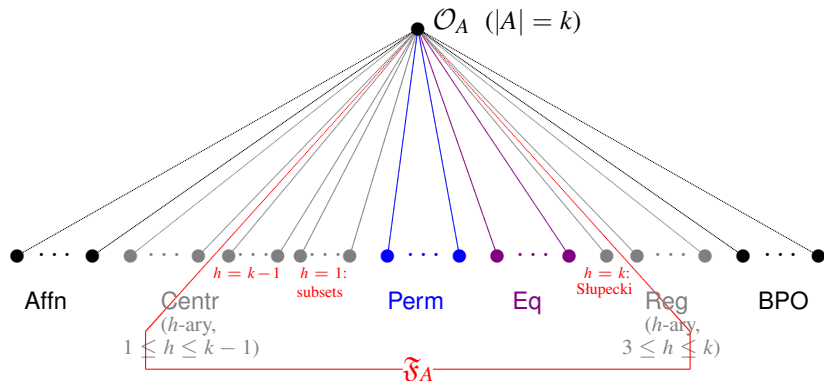




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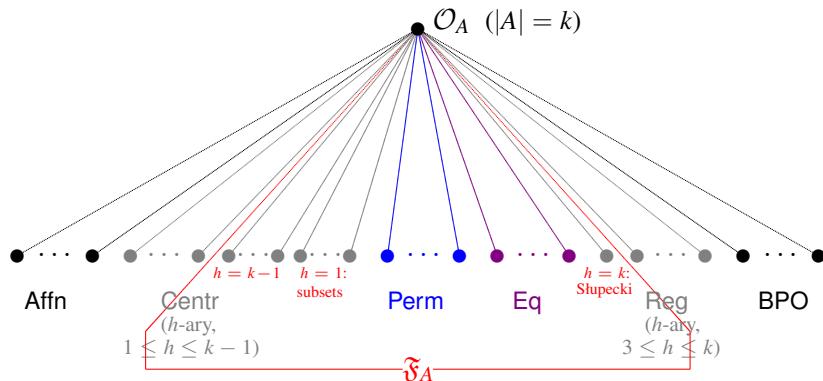


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$(k-1)$ -ary central relations:

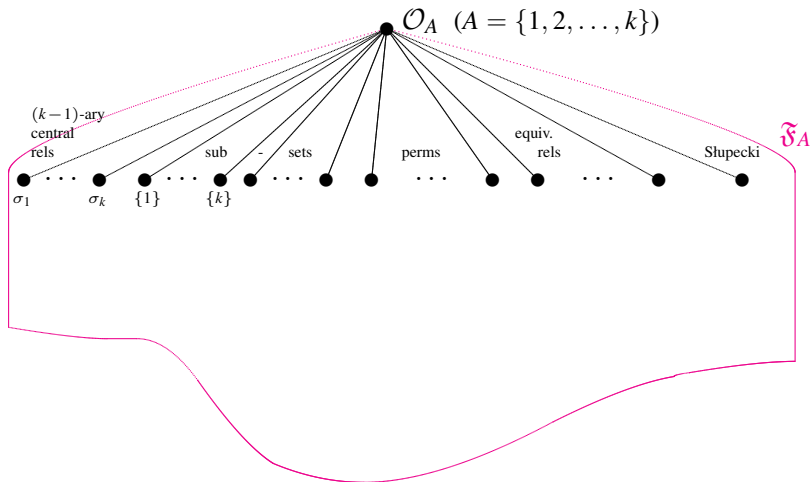
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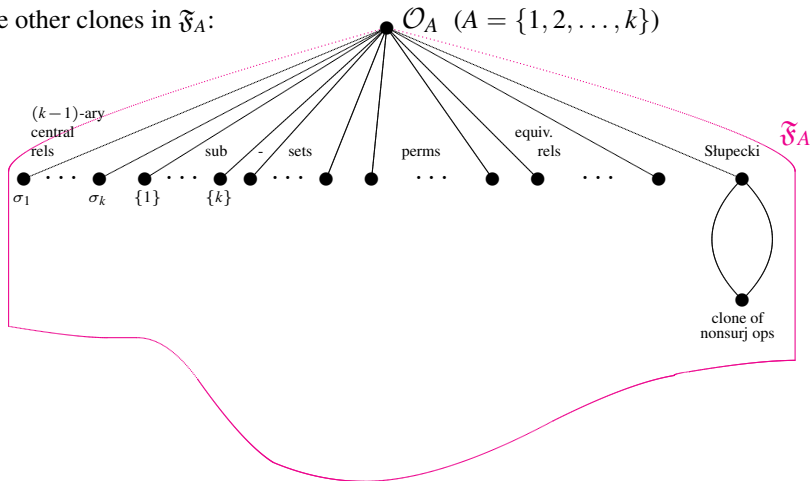


$(k-1)$ -ary central relations:  $\sigma_c$  ( $c \in A$ )

$$(a_1, \dots, a_{k-1}) \notin \sigma_c \Leftrightarrow \{a_1, \dots, a_{k-1}\} = A \setminus \{c\}$$

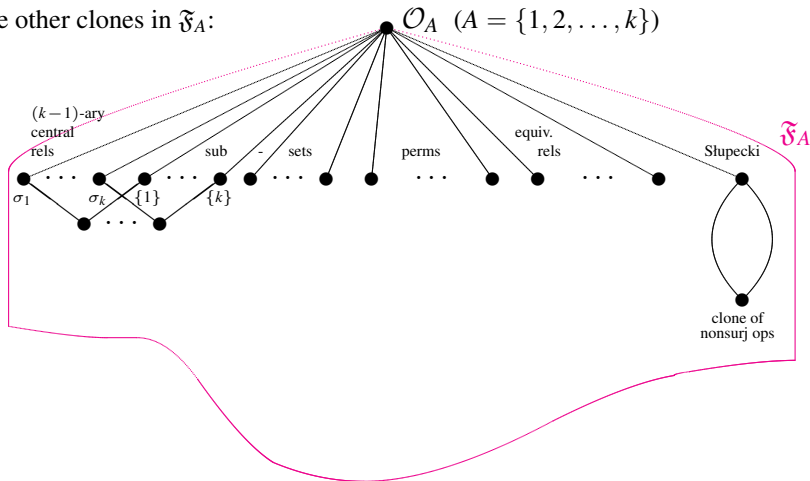
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**Lehtonen–Sz'11:**Some other clones in  $\mathfrak{F}_A$ :

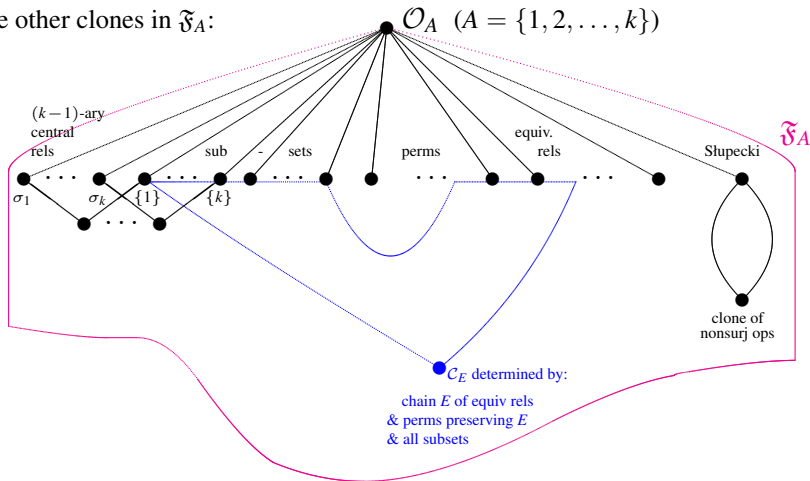
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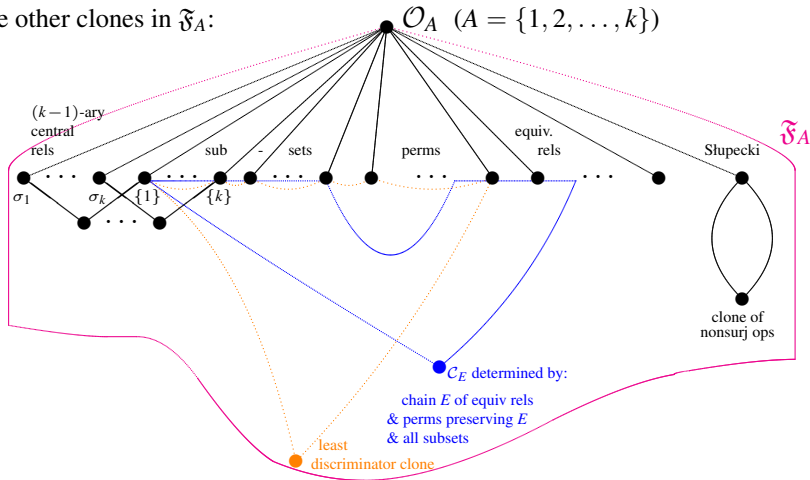
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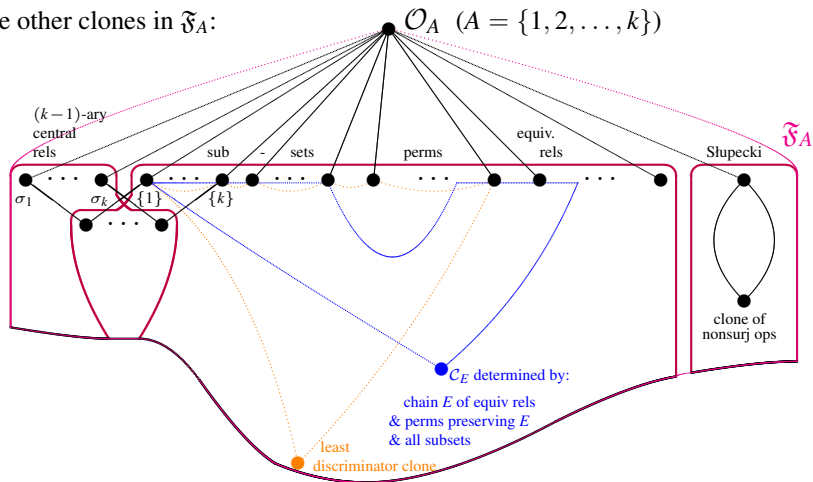


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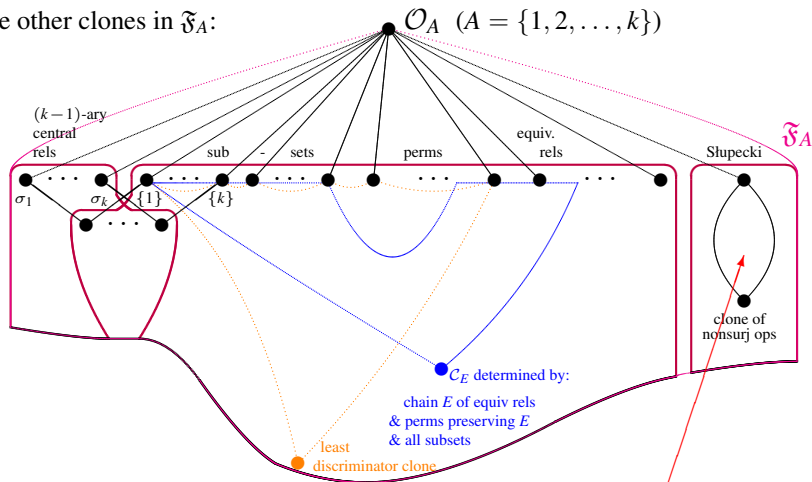




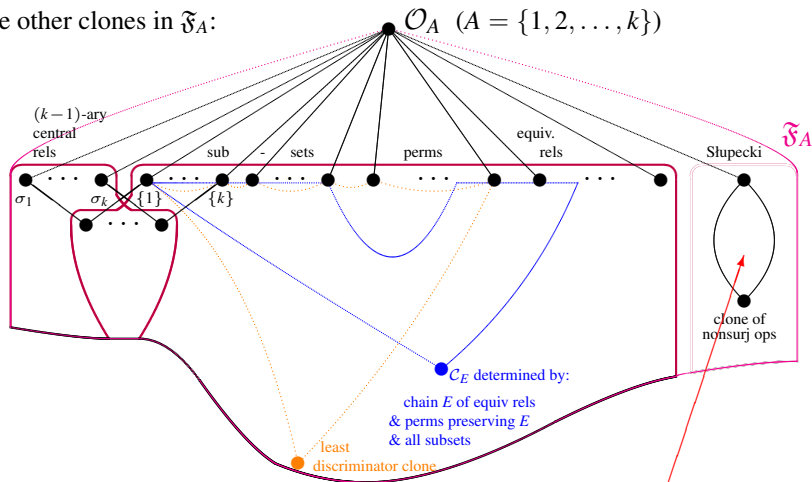
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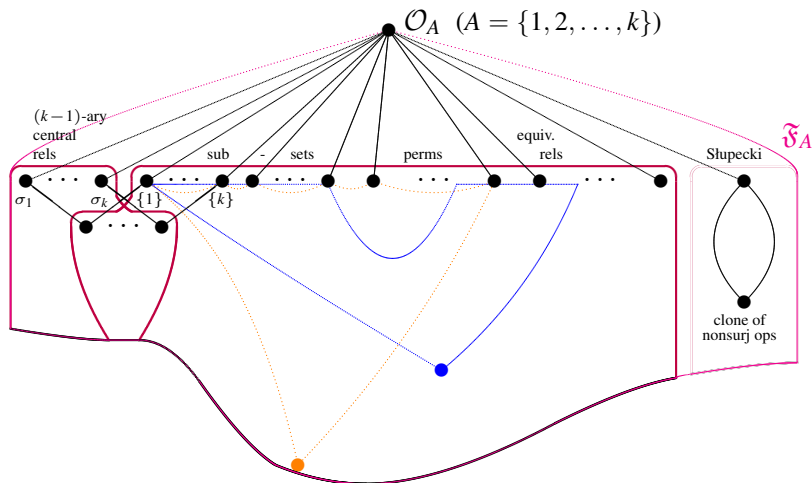
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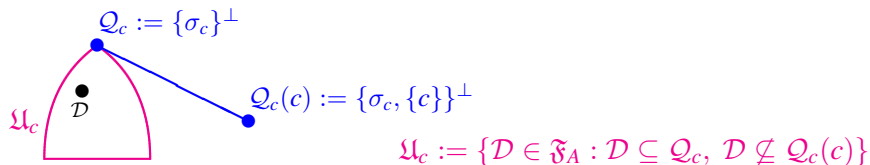
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**Question:** Which subclones of  $\mathcal{Q}_c := \{\sigma_c\}^\perp$  ( $c \in A$ ) belong to  $\mathfrak{F}_A$ ?

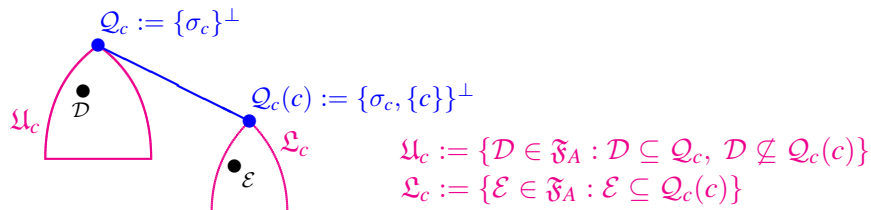




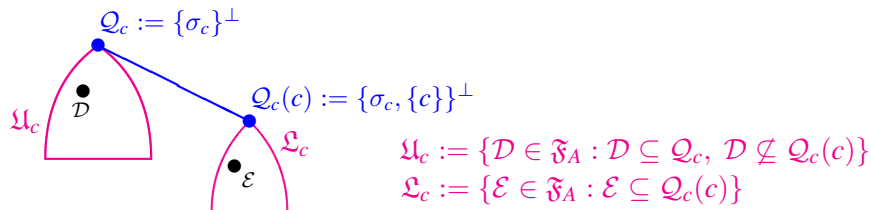
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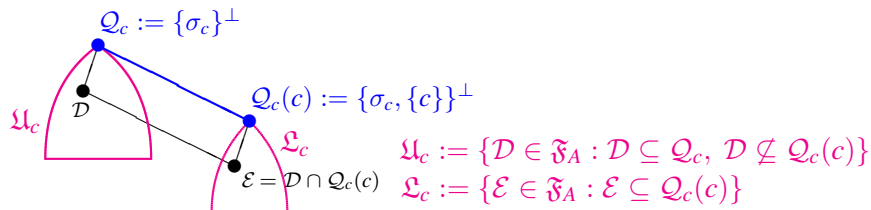


### Theorem 1.

$\mathcal{E} \in \mathfrak{L}_c \Rightarrow \mathcal{E} = Q_c(c) \cap R^\perp$  for some set  $R$  of reflexive rels on  $A$ .



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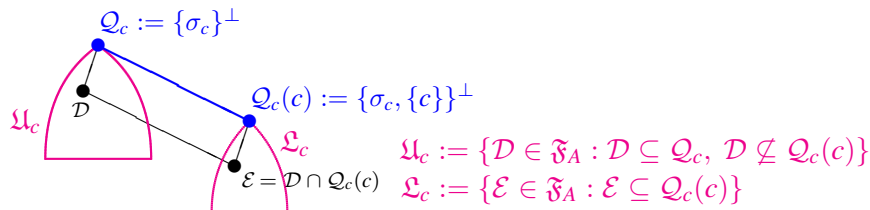


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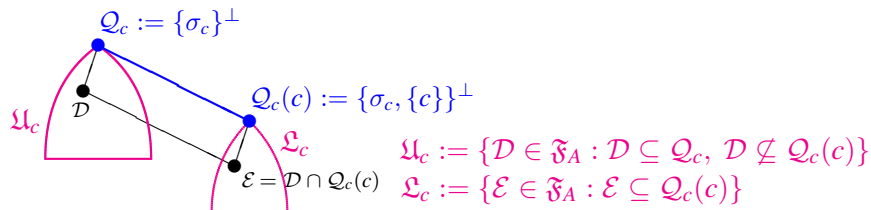
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Thus,  $\mathcal{U}_c$  determines  $\mathcal{L}_c$ .

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(1)  $\Rightarrow$  (i)  $\rho = \chi_c$  or (ii)  $\rho = \chi_c \setminus \{(c, c)\}$ .

Case (ii):  $\theta := \rho \circ \rho^{-1} \in \text{Eq}$ , so  $\mathcal{E} \subseteq \mathcal{Q}_c \cap \{\theta\}^\perp \notin \mathfrak{F}_A$



To show:  $\mathcal{E} = \mathcal{Q}_c(c) \cap \{\rho\}^\perp \subsetneq \mathcal{Q}_c(c)$  ( $\rho$  indecomposable),  $\mathcal{E} \in \mathfrak{F}_A \Rightarrow \rho$  reflexive

**Special case:**  $\rho$  binary and  $|A| \geq 4$

(1)  $\rho_i := \text{pr}_i(\rho)$  is  $\{c\}$  or  $A$  ( $i = 1, 2$ ); hence  $\rho_1 = \rho_2 = A$

$\Delta(\rho) := \{a \in A : (a, a) \in \rho\}$  is  $\emptyset$ ,  $\{c\}$ , or  $A$

- otherwise,  $\mathcal{E} \subseteq \mathcal{Q}_c \cap \{S\}^\perp \notin \mathfrak{F}_A$  for  $S = \rho_1, \rho_2$ , or  $\Delta(\rho)$

(2)  $\rho|_B \neq \emptyset$  for  $B := A \setminus \{c\} \Rightarrow \Delta(\rho) = A$ , i.e.  $\rho$  is reflexive

- otherwise,  $\rho|_B$  is irreflexive, so

$\{(\rho \times \sigma_c)|_B\}^\perp = \{\rho|_B\}^\perp \cap \{\sigma_c|_B\}^\perp = \{\rho|_B\}^\perp \cap \text{Stupecki}_B \notin \mathfrak{F}_B$

thus  $\mathcal{E} \subseteq \{\rho \times \sigma_c\}^\perp \notin \mathfrak{F}_A$  follows from Lemma below

- **Lemma.** [L-Sz'11] For any relation  $\tau$  on  $A$  and any subset  $B \subseteq A$ ,

$\{\tau|_B\}^\perp \notin \mathfrak{F}_B \Rightarrow \{\tau\}^\perp \notin \mathfrak{F}_A$ .

(3)  $\rho|_B = \emptyset$  is impossible

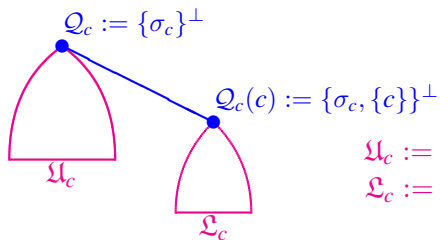
- $\rho|_B = \emptyset \Rightarrow \rho \subseteq \chi_c := (\{c\} \times A) \cup (A \times \{c\})$

(1)  $\Rightarrow$  (i)  $\rho = \chi_c$  or (ii)  $\rho = \chi_c \setminus \{(c, c)\}$ .

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- Case (i): can be proved that  $\{\chi_c, \sigma_c\}^\perp \notin \mathfrak{F}_A$

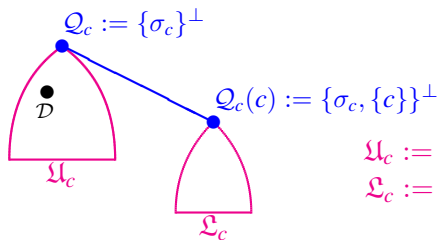
The subclones of  $\mathcal{Q}_c$  in  $\mathfrak{U}_c$  ( $c \in A$ ,  $|A| = k \geq 3$ ):



$$\mathfrak{U}_c := \{\mathcal{D} \in \mathfrak{F}_A : \mathcal{D} \subseteq \mathcal{Q}_c, \mathcal{D} \not\subseteq \mathcal{Q}_c(c)\}$$

$$\mathfrak{L}_c := \{\mathcal{E} \in \mathfrak{F}_A : \mathcal{E} \subseteq \mathcal{Q}_c(c)\}$$

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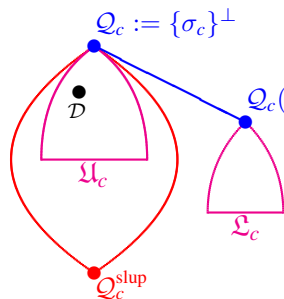


$$\mathfrak{U}_c := \{\mathcal{D} \in \mathfrak{F}_A : \mathcal{D} \subseteq Q_c, \mathcal{D} \not\subseteq Q_c(c)\}$$

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**Theorem 2.** *If  $\mathcal{D} \in \mathfrak{U}_c$ , then*

The subclones of  $\mathcal{Q}_c$  in  $\mathfrak{U}_c$  ( $c \in A$ ,  $|A| = k \geq 3$ ):



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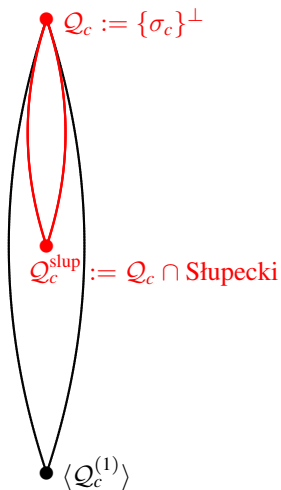
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**Theorem 2.** If  $\mathcal{D} \in \mathfrak{U}_c$ , then  $\mathcal{D}$  contains the clone

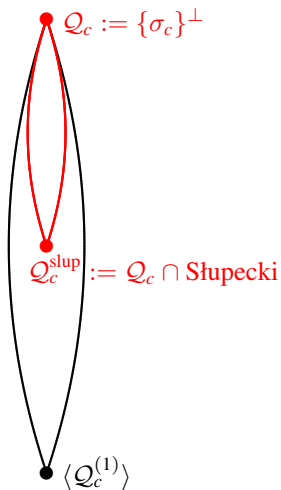
$$\mathcal{Q}_c^{\text{slup}} := \mathcal{Q}_c \cap \text{Słupecki} := \{f \in \mathcal{Q}_c : f \text{ ess unary or nonsurj}\}.$$



$$A = \{1, 2, \dots, k\}, \quad k \geq 3$$

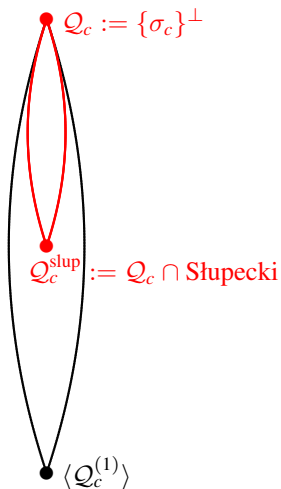


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- **Krokhin'99:** Construction yields  $|[Q_c^{\text{slup}}, Q_c]| = 2^{\aleph_0}$

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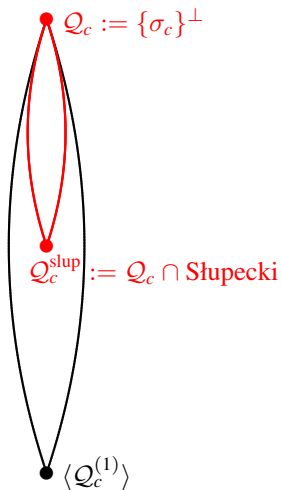
$$|[Q_c^{\text{slup}}, Q_c]| = 2^{\aleph_0}$$

- **Note:** Since  $Q_c$  is fin generated,

$$\diamond \mathcal{D} \subsetneq Q_c \Rightarrow \mathcal{D} \subseteq \mathcal{M} \text{ for a maximal } \mathcal{M} \subsetneq Q_c$$



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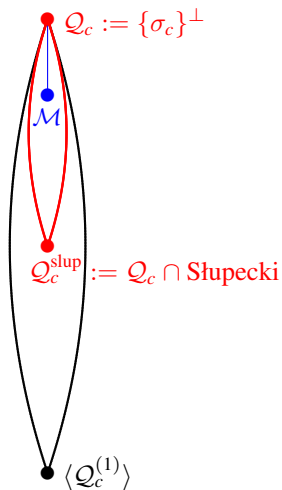
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- ◊  $\mathcal{D} \subsetneq Q_c \Rightarrow \mathcal{D} \subseteq \mathcal{M}$  for a maximal  $\mathcal{M} \subsetneq Q_c$
- ◊ only finitely many maximal  $\mathcal{M} \subsetneq Q_c$

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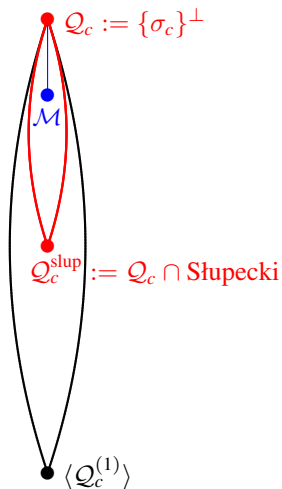
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Case  $|A| = 3$

- **Lau'82:**  $[Q_c^{\text{slup}}, Q_c]$  contains a unique maximal clone:  $\mathcal{M}$

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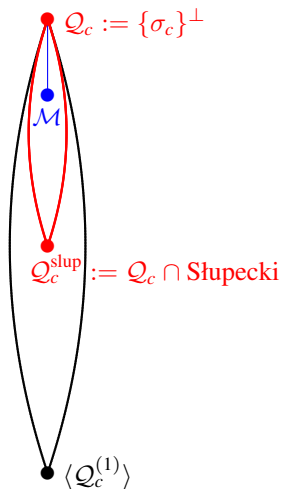
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hence  $\mathfrak{U}_c \cup \mathfrak{L}_c = \{Q_c, Q_c(c)\}$