

# The minimal clones generated by semiprojections on a four-element set

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$$\mathbf{k} := \{0, 1, \dots, k-1\}$$

$$P_k := \{f \mid f : \mathbf{k}^n \rightarrow \mathbf{k}, n \geq 1\} \quad (\text{the set of all functions on } \mathbf{k})$$

The  $n$ -ary projection onto the  $i$ -th coordinate  $e_i^n$  is defined by  $e_i^n(x_1, \dots, x_n) := x_i$  with  $i \in \{1, \dots, n\}$ .

$$J_k := \{e_i^n \in P_k \mid 1 \leq i \leq n\} \quad (\text{the set of all projections on } \mathbf{k})$$

Let  $f \in P_k^n$  and  $g_1, \dots, g_n \in P_k^m$ . The *composition*  $f(g_1, \dots, g_n) \in P_k^m$  is defined by

$$f(g_1, \dots, g_n)(x) := f(g_1(x), \dots, g_n(x))$$

for all  $x \in \mathbf{k}^m$ .

The set  $F \subseteq P_k$  is a *clone* iff  $F$  is composition-closed and  $J_k \subseteq F$ .

A clone  $M \subseteq P_k$  is a *minimal clone*, if  $M \neq J_k$  and there is no clone  $C \subseteq P_k$  with  $J_k \subset C \subset M$ .

One important property:

## Lemma

Let  $M \subseteq P_k$  be a minimal clone. Then  $M$  is generated by every non-trivial member, i.e.  $M = [f]$  for all  $f \in M \setminus J_k$ .

$[f]$  is the least clone containing  $f$  / closure of  $\{f\}$ .

# Rosenberg's Classification Theorem

## Theorem

Let  $f$  be a nontrivial operation of minimum arity in a minimal clone. Then  $f$  satisfies one of the following conditions:

- (I)  $f$  is unary, and  $f^p(x) = x$  for some prime  $p$ ;
- (II)  $f$  is a binary idempotent operation, i.e.  $f(x, x) = x$ ;
- (III)  $f$  is a ternary majority operation, i.e.  
 $f(x, x, y) = f(x, y, x) = f(y, x, x) = x$ ;
- (IV)  $f$  is a ternary minority operation with  $f(x, y, z) = x + y + z$ , where  $(\mathbf{k}, +)$  is an elementary 2-group;
- (V)  $f$  is a semiprojection, i.e. there exists an  $i$  ( $1 \leq i \leq n$ ) such that  $f(x_1, \dots, x_n) = x_i$  whenever the values of  $x_1, \dots, x_n$  are not pairwise distinct.

# Known results for $k = 4$

(I)	(unary)	53	(Pöschel, Kaluzhnin, 1979; precise description and formula for arbitrary $k$ )
(II)	(binary)	2182	(Szczepara, 1995)
(III)	(majority)	2441	(Waldhauser, 2000)
(IV)	(minority)	1	(Klein 4-group)

There is a description of minimal clones generated by  $k$ -ary conservative semiprojections on  $\mathbf{k}$ . (Jezek, Quackenbush, 1995)

## Definition (Projection)

A function  $f \in P_k$  is a projection, if there exists an  $i$  ( $1 \leq i \leq n$ ) such that  $f(x_1, \dots, x_n) = x_i$  for all values of  $x_1, \dots, x_n$ .

## Definition (Semiprojection)

A function  $f \in P_k$  is a semiprojection, if there exists an  $i$  ( $1 \leq i \leq n$ ) such that  $f(x_1, \dots, x_n) = x_i$  whenever the values of  $x_1, \dots, x_n$  are not pairwise distinct.

Let's fix  $i = 1$ .

# Are there many semiprojections on a 4-element set?

- There are  $4^{24} = 2^{48} \approx 10^{15}$  ternary semiprojections.  
The same number for 4-ary semiprojections.
- How long does it take to check all of them (brute-force-style)?
- Let's be (too) optimistic: only 10000 cpu ticks per semiprojection is needed to decide if it generates a minimal clone.
- That makes over 45 CPU-years with a 2 GHz CPU.

# Closure for a specific arity

Let  $F \subseteq P_k$  and  $n \in \mathbb{N}$ . Let  $F^n$  be the  $n$ -ary functions of  $F$ .  
Let  $F \subseteq P_k^n$  and  $m \in \mathbb{N}$ . We define

$$F_{m,0} := J_k^m \quad \text{and} \\ F_{m,j+1} := F_{m,j} \cup \{f(g_1, \dots, g_n) \mid f \in F, g_1, \dots, g_n \in F_{m,j}\}$$

## Lemma

Let  $n, m \in \mathbb{N}$  and  $F \subseteq P_k^n$ .  
Then  $[F]^m = F_{m,l}$  for some  $l \in \mathbb{N}$ .



# Interaction between semiprojections of different arities

## Lemma

Let  $f \in P_k^n$  be a semiprojection. Then  $[f]$  is a minimal clone in  $P_k$  if and only if

- there is no non-trivial  $n$ -ary semiprojection  $g \in [f]^n$  with  $[g]^n \subsetneq [f]^n$ , and
- there is no non-trivial  $m$ -ary semiprojection  $h \in [f]$  with  $n < m \leq k$ .

Note: for  $n = k$  the second condition is trivially true.

# “Smaller” representative

Let  $f$  be an ternary semiprojection on  $\mathbf{4}$ . Then  $(f(0, 1, 2), f(0, 1, 3), f(0, 2, 1), \dots, f(3, 2, 1))$  is a representative of the clone generated by  $f$ .

## Idea

*Only generate the “smallest” representatives with respect to the lexicographical order on tuples.*

# Reduce the number of semiprojections to check (step I)

## Idea

*If two functions differ only by permutation of variables, then they generate the same clone. We only need to take the “smaller” representative of the clone.*

*Many functions have the same permutation which give a “smaller” representative.*

$x_1$	$x_2$	$x_3$	$f(x_1, x_2, x_3)$	$f(x_1, x_3, x_2)$
0	1	2	1	0
0	1	3	1	?
0	2	1	0	1
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

# Reduce the number of semiprojections to check (step II)

Let  $\pi$  be a permutation of  $\{0, 1, \dots, k-1\}$ . Then  $\sigma_\pi : P_k \rightarrow P_k$  defined by  $\sigma_\pi(f) = \pi^{-1}(f(\pi(x_1), \dots, \pi(x_n)))$  is called *inner automorphism* of  $P_k$ .

## Idea (Inner Automorphisms)

*For a minimal clone  $C$  generated by a semiprojection, it is easy to find all the other clones isomorphic to  $C$  w.r.t. an inner automorphism. We only need to take the “smallest” representative of these clones.*

Let  $\pi(0) = 0, \pi(1) = 1, \pi(2) = 3, \pi(3) = 2$ .

$x_1$	$x_2$	$x_3$	$f_a(\mathbf{x})$	$(\sigma_\pi f_0)(\mathbf{x})$	$(\sigma_\pi f_1)(\mathbf{x})$	$(\sigma_\pi f_2)(\mathbf{x})$	$(\sigma_\pi f_3)(\mathbf{x})$
0	1	2	2	0	1	3	2
0	1	3	$a$	?	?	?	?
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

This example reduces the number of candidates by  $2 \cdot 4^{22}$  after only two places are known.

# Reduce the number of semiprojections to check (step III)

## Idea

*We search for minimal clones. Thus every function (except the projections) contained therein generates it. Use compositions of partially defined functions to find “smaller” representatives in the clone.*

$x_1$	$x_2$	$x_3$	$f(x_1, x_2, x_3)$	$f(x_1, x_2, f(x_1, x_2, x_3))$
0	1	2	1	$f(0, 1, 1) = 0$
0	1	3	2	$f(0, 1, 2) = 1$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

Note: If the “smaller” representative does not generate a minimal clone, then the original one does not.

# Results: 4-ary semiprojections

## Theorem

*There are 76 minimal clones generated by 4-ary semiprojections in 11 conjugacy classes.*

smallest representative	S	A
112233002233001133001122	1	1
000033112211221122003333	3	3
000000111213222123333132	6	4
000000111111222233333322	3	6
000000002233222222333333	1	4
000000000333222222333333	6	12
000000000333000333333333	6	6
000000000000222222333333	3	12
000000000000222222222222	15	12
000000000000000000033333	15	12
000000000000000000000000	3	4

S: # of distinct (w.r.t. permutation of variables) 4-ary semiprojections

A: # of isomorphic clones (w.r.t. to inner automorphisms)

# Interaction between semiprojections of different arities

## Lemma

Let  $f \in P_k^n$  be a semiprojection. Then  $[f]$  is a minimal clone in  $P_k$  if and only if

- there is no non-trivial  $n$ -ary semiprojection  $g \in [f]^n$  with  $[g]^n \subsetneq [f]^n$ , and
- there is no non-trivial  $m$ -ary semiprojection  $h \in [f]$  with  $n < m \leq k$ .

Note:  $3 = n < k = 4$  is the difficult part to follow.

Observation: there is a ternary semiprojection  $f$  with more than 50 non-trivial 4-ary functions.

# Ternary semiprojections: Strategy I

There are 179 minimal representative (w.r.t. permutation of variables and inner automorphisms) which fulfill the first condition, i.e. semiprojections  $f \in P_4^3$  such that no semiprojection  $g \in P_4^3$  with  $[g]^3 \subsetneq [f]^3$  exists.

## Idea

*There are the following two possibilities:*

- *There is a 4-ary semiprojection in  $[f]$ . Then construct it, and thus  $f$  does not create a minimal clone.*
- *There is no 4-ary semiprojection in  $[f]$ . Then find for each minimal 4-ary semiprojection  $g$ , a relation  $\varrho_{g,f}$  with  $f \in \text{Pol } \varrho_{g,f}$  and  $g \notin \text{Pol } \varrho_{g,f}$ . Thus  $[f]$  is a minimal clone.*



# Ternary semiprojections: Strategy II

For 176 of 179 this worked by brute-force for the constructions and using only binary relations.

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$$f(x_1, f(x_2, x_3, x_4), x_2)$$

$$f(x_1, x_2, f(x_1, x_3, x_4))$$

$$f(x_1, x_2, f(x_2, x_3, x_4))$$

$$f(x_1, f(x_1, x_2, x_3), f(x_1, x_4, x_3))$$

$$f(x_1, f(x_2, x_1, x_3), f(x_4, x_2, x_1))$$

$$f(x_1, x_2, f(f(x_2, x_1, x_3), x_4, x_2))$$

$$f(x_1, x_2, f(f(x_2, x_3, x_1), x_2, x_4))$$

$$f(x_1, x_2, f(x_1, x_3, f(x_3, x_2, x_4)))$$

$$f(x_1, f(x_2, x_1, x_3), f(f(x_4, x_1, x_3), x_2, x_3))$$

$$f(x_1, f(x_1, x_2, x_3), f(f(x_2, x_3, x_4), x_1, f(x_4, x_1, x_3)))$$

$$f(f(x_1, x_2, x_3), f(f(x_2, x_1, x_4), f(x_4, x_1, x_3), x_3), x_3)$$

$$f(x_1, x_3, f(f(x_1, x_3, f(x_4, x_2, x_3)), x_2, f(f(x_1, x_2, x_4), x_3, x_2)))$$

# Results: Ternary semiprojections

## Theorem

*There are 489 minimal clones generated by ternary semiprojections in 35 conjugacy classes.*

smallest representative	S	A	smallest representative	S	A
000033112211221122003333	2	3	000000010111222222333131	2	24
000002131111220222333331	2	6	000000010111222222333333	2	24
000002111311220222133333	2	6	000000010111222222323323	2	12
000000112131221232331323	2	4	000000010111222222303303	2	12
000000111131221222333323	2	8	000000010111222222303101	2	24
000000111111323222232333	1	6	000000010010323323333333	2	12
000000111111223222233333	1	6	000000010010222222333333	2	24
000000111111222233333322	2	6	000000010010222222303000	2	12
000000111111222223333323	2	12	000000010010020020333333	2	12
000000111010222222333333	1	12	000000002131222222333333	1	12
000000111010222020333333	2	12	000000002121222222333333	1	24
000000012111222222303323	1	6	000000000101222323323323	2	24
000000010313222222333333	2	12	000000000101222222333232	2	24
000000010313020323333333	2	6	000000000101222222333333	2	12
000000010111232232333232	2	24	000000000101222222323323	2	24
000000010111222323333333	2	12	000000000101222222323222	2	12
000000010111222323323323	2	24	000000000101020222030333	2	12
000000010111222222333232	2	24			

Observation: In a minimal clone generated by a ternary semiprojection in  $P_4$  there are at most 2 distinct semiprojections.

# Conclusion

## Theorem

*There are 5242 minimal clones on a four-element set.*

	type	count	
(I)	unary functions	53	(Pöschel Kaluzhnin,1979)
(II)	binary idempotent functions	2182	(Szczepara, 1995)
(III)	majority functions	2441	(Waldhauser, 2008)
(IV)	minority functions	1	
(V)	semiprojections	565	
	sum	5242	

**Table:** The number of minimal clones on 4

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Thank you for your attention.

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