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# On isometries of Finsler manifolds

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- - Finsler metrics, examples
- – isometries of Finsler manifolds
- $\bullet$  the group of isometries
- - characterizations of isometries with area and angle
- – Finsler manifolds with many isometries
- – Weinstein theorem for Finsler manifolds

### The notion of a Finsler metric

Approach I:  $\forall p \in M$   $L_p : T_pM \to R^+$  norm

• 
$$L_p(u) \ge 0$$
 = 0  $\iff u = 0$ 

- $L_p(\lambda(u)) = \lambda L_p(u)$   $\lambda > 0$  positively homogeneous
- $L_p(u+v) \le L_p(u) + L_p(v)$  convexity

• 
$$L^2: TM \setminus \{0\} \to R^+$$
 is of class  $C^2$ 

• 
$$L_p(-u) = L_p(u)$$
 symmetrical/ reversible

indicatrix:  $\mathcal{I}_p = \{ u \in T_pM \mid L_p(u) = 1 \}$ 

#### Approach II: variational problem

$$\int_{a}^{b} L(x(t), \dot{x}(t)) dt \longrightarrow \text{Euler-Lagrange equations}$$
  
.  $\uparrow \text{ positively homogenous}$ 

Riemannian case:  $L(x, \dot{x}) = \sqrt{g_{ij}(x)\dot{x}^i\dot{x}^j}$ 

Finslerian case: 
$$g_{ij}(x,y) = \frac{1}{2} \frac{\partial^2 L^2}{\partial y^i \partial y^j}$$

g(x,y): Riemannian metric in the Finsler vector bundle VTM

Approach III:  $d: M \times M \to R^+$  is a metric  $v \in T_pM; c: [0, 1] \to M$  with  $c(0) = p, \dot{c}(0) = v$  $L_p(v) = \lim_{t \to 0} \frac{d(p, c(t))}{t}$ 

# Example 1: Funk metric $\Omega \subset R^{n} \text{ strictly convex}$ $d(p,q) = \ln \frac{|z-p|}{|z-q|}$ $p + \frac{y}{L(y)} \in \partial \Omega$ $B^{n} = \Omega; \quad L(y) = \frac{\sqrt{|y|^{2} - (|p|^{2}|y|^{2} - (p,y)^{2})} + (p,y)}{1 - |p|^{2}}$ = projectively flat

- projectively flat
- constant negative curvature -1/4
- non-reversible
- Randers metric

Example 2: Hilbert metric  

$$\tilde{d}(p,q) = \frac{1}{2}(d(p,q) + d(q,p)) = \frac{1}{2} \left| \ln \left( \frac{|z-p|}{|z-q|} : \frac{|v-p|}{|v-q|} \right) \right|$$

**Example 3**: Katok's example (1973), W. Ziller (1982)

 $S^2$ ; standard Riemannian metric  $\alpha$  $\Phi_t$ : one parameter group of rotations leaving the north & south poles invariant

- *X*: Killing vector field
- $\beta$ : Killing form

$$L_{\varepsilon}(x,y) = \alpha(x,y) + \varepsilon \beta(x,y)$$

**Theorem:** For any irrational  $\varepsilon$  a curve c is a closed geodesic of  $L_{\varepsilon}$  if and only if c is a closed geodesic of  $\alpha$  and invariant with respect to  $\Phi_t$ .

Properties:

- the length of the two closed geodesics:  $\frac{2\pi}{1+\varepsilon}$ ;  $\frac{2\pi}{1-\varepsilon}$
- $-L_{\varepsilon}$  is a Finsler metric  $\iff |\varepsilon| < 1$

#### **Isometries of Finsler manifolds**

(M,L) : Finsler manifold

d: the induced distance function, not necessarily reversible

The length of a curve in (M, L) is given as usual:

$$\ell(c) = \int_0^1 L(\dot{c}) dt$$

The induced distance d between  $x, y \in M$  can be defined by taking the infimum of the length of all curves joining x to y:

$$d(x,y) = \inf\{\ell(c) \mid c(0) = x, c(1) = y\}$$

1. an isometry: a diffeomorphism  $\phi : M \to M$  of M onto itself which preserves L:

$$L(d\phi(u)) = L(u) \qquad \forall u \in TM$$

2. an isometry: a mapping  $\phi : M \to M$  of M onto itself which preserves the distance between each pair of points:

$$d(\phi(x),\phi(x)) = d(x,y) \qquad \forall x,y \in M$$

[Deng, Shaoqiang and Hou, Zixin: The group of isometries of a Finsler space. Pacific J. Math. 207 (2002), no. 1, 149–155] generalizes the Myers-Steenrod theorem in Riemannian geometry:

the two definitions are equivalent.

**Theorem.** Let  $x \in M$  and  $B_x(r)$  be a tangent ball of  $T_x(M)$ such that  $\exp_x$  is a  $C^1$  diffeomorphism from  $B_x(r)$  onto  $\mathcal{B}_x^+(r)$ . For  $A, B \in B_x(r)$ ,  $A \neq B$ , let  $a = \exp_x A, b = \exp_x B$ . Then

$$rac{L(x,A-B)}{d(a,b)} 
ightarrow 1$$

as  $(A, B) \to (0, 0)$ .

**Theorem.** Let  $\|\cdot\|_1$ ,  $\|\cdot\|_2$  be two Minkowski norms on  $\mathbb{R}^n$ . Let  $\phi$  be a mapping of  $\mathbb{R}^n$  into itself such that  $\|\phi(A) - \phi(B)\|_2 = \|A - B\|_1$ ,  $\forall A, B \in \mathbb{R}^n$ . Then  $\phi$  is a diffeomorphism.

**Corollary.** Let (M, L) be a Finsler space and  $\phi$  be a distancepreserving mapping of M onto itself. Then  $\phi$  is a diffeomorphism.

**Theorem.** [Deng, Hou, 2002] The group of isometries I(M) is a Lie transformation group. The isotropy subgroup  $I_x(M)$  is compact.

#### Area in Minkowski spaces

 $(\mathbb{R}^n, L)$ : Minkowski space  $\mathcal{B} = \{v \in \mathbb{R}^n : L(v) < 1\}$ : Minkowski ball

Minkowski measure of  $D \subset \mathbb{R}^n$ :

$$\|D\|_M = \frac{\pi \|D\|_E}{\|\mathcal{B}\|_E}$$

independent of  $\|\cdot\|_E$ 

#### **Angles in Finsler geometry**

**Finsler angle** of Finsler vectors;  $U, V \in V_u T M$ :

$$\sphericalangle_F(U,V) = \arccos \frac{g_u(U,V)}{\sqrt{g_u(U,U)}} \sqrt{g_u(V,V)}$$

**Minkowski angle** of tangent vectors, rays in the tangent spaces u, v: non-parallel vectors in  $T_x M$ ;  $\Sigma$ : generated linear space by u, v;  $\mathcal{B}^2 = \Sigma \cap \mathcal{B}$ ;  $D = \operatorname{conv}(u, v) \cap \mathcal{B}^2$ 

$$\triangleleft_M(u,v) = \epsilon 2 \|D\|_M, \qquad \epsilon = \pm 1$$

Properties: additive, symmetric; the measure of straight angle is  $\pi$  iff L is absolutely homogeneous (reversible).

**Observation.**  $\phi$  :  $(M, L_1) \rightarrow (\overline{M}, L_2)$  is an isometry if and only for indicatrices

$$d\phi(\mathcal{I}_p) = \overline{\mathcal{I}}_{\phi(p)} \qquad \forall p \in M.$$

$$L_2(d\phi(u)) = L_2(L_1(u)d\phi(\frac{u}{L_1(u)})) = L_1(u)L_2(d\phi(\frac{u}{L_1(u)})) = L_1(u).$$

#### Theorem. [Tamássy, 2007)]

A diffeomorphism  $\phi$ :  $(M, L_1) \rightarrow (\overline{M}, L_2)$  is an isometry if and only if  $d\phi$  preserves the 2-dimensional area and the Minkowski angle.

**Proof.** Necessity:  $d\phi$  is linear  $\Rightarrow$  preserves the ratio of areas :

$$\|d\phi(D)\|_{\bar{M}} = \frac{\pi \|d\phi(D)\|_E}{\|\bar{\mathcal{B}}^2\|_E} = \frac{\pi \|D\|_E}{\|\mathcal{B}^2\|_E} = \|D\|_M.$$

**Sufficiency.** Suppose:  $\phi : (M, L_1) \to (\overline{M}, L_2)$  diffeomorhism; preserves area and angle. Let  $\widehat{\mathcal{B}}_p = (d\phi)^{-1}(\overline{\mathcal{B}}_{\phi(p)})$ .

If  $\widehat{\mathcal{I}}_p \neq \mathcal{I}_p$ , then there are two nearby rays u, v such that  $\operatorname{conv}(u, v) \cap \mathcal{B}_p \subset \operatorname{conv}(u, v) \cap \widehat{\mathcal{B}}_p$ ,

however

 $\|\operatorname{conv}(u,v)\cap\mathcal{B}_p\|_M \stackrel{angle}{=} \|\operatorname{conv}(d\phi(u),d\phi(v))\cap\overline{\mathcal{B}}_{\phi(p)}\|_{\bar{M}} \stackrel{area}{=} \|\operatorname{conv}(u,v)\cap\widehat{\mathcal{B}}_p\|_{\bar{M}}$ 

Remark: In this case the Finsler angle is preserved, too.

H. C. Wang, J. London Math. Soc. 22 (1947):

$$n \neq 4$$
, dim  $I^F(M) > \frac{1}{2}n(n-1) + 1 \implies (M,L)$  is Riemannian

Ku Chao-Hao, Sci. Records N.S. **1** (1957), 215–218. A. I. Egorov, Gos. Ped. Inst. Ucen. Zap. (1974), 17–21.

There exist non-Riemannian Finsler spaces with

dim 
$$I^F(M) = \frac{1}{2}n(n-1) + 1.$$

[Szabo, Z. I. Generalized spaces with many isometries. Geom.Dedicata 11 (1981), no. 3, 369-383.]:

Study of all the non-Riemannian Finsler spaces having a group of motions of the largest order.

**Theorem 1.** If (M, L) is a non-Riemannian Finsler space of dimension n > 4 and its group of motions I(M) is of order n(n-1)/2 + 1, it must be of one of the following types: (1) (M, L) is a symmetric Berwald space which is the non-Riemannian Cartesian product of Riemannian spaces U [resp. V], where U = R,  $S^1$  and  $V = R^{n-1}, S^{n-1}, H^{n-1}, P^{n-1}(R)$ , (2) (M, L) is a  $BLF^n$ -space.

**Theorem 2.** Every  $BLF^n$  space  $(n \ge 2)$  is a non-Berwaldian Wagner space which is conformal to a Minkowski space.

- $H^n$ : hyperbolic space
- $G = \{\text{isometries of } H^n \text{ leaving } S \text{ and } S^* \text{ invariantly} \}$

$$G_p^0$$
 isotropy group at  $p \in H^n$ 

 $r:(0,2\pi] 
ightarrow \mathbb{R}$ 

 $(\varphi, r(\varphi))$  indicatrix of a Minkowski (non-Euclidean) norm

 $g^{\star}$  Riemannian metric tensor of  $H^n$ 

 $||X|| = \sqrt{g^{\star}(X, X)}$ 

$$L(X) = r(\operatorname{arc tan} \frac{g^{\star}(N,X)}{\|X - g^{\star}(N,X)N\|}) \|X\|$$

Alan Weinstein (1968):

Let f be a an isometry of a compact oriented Riemannian manifold M. Suppose that M has positive sectional curvature and that f preserves the orientation of M if the dimension is even, and reverses if it is odd. Then f has a fixed point: f(p) = p.

Weinstein's Theorem for Finsler manifolds: (Kozma & Peter, 2006)

Let f be an isometry of a compact oriented positively homogeneous Finsler manifold M of dimension n. If M has positive flag curvature and f preserves the orientation of M for n even and reverses the orientation of M for n odd, then f has a fixed point.

# flag curvature: $K(y,V) = \frac{g_y(R(V,y)y,V)}{g_y(y,y)g_y(V,V) - g_y^2(y,V)}$

#### second variation formula:

Consider now the variation of  $\sigma$  given by

$$\Sigma : (-\epsilon, \epsilon) \times [0, \ell] \to M$$

$$\frac{d^2\ell_{\Sigma}}{ds^2}(0) = \int_0^\ell \{g_{\dot{\sigma}}(\nabla_{\dot{\sigma}}U,\nabla_{\dot{\sigma}}U) - g_{\dot{\sigma}}(R_{\dot{\sigma}}(U),U)\}dt \\
+ g_{\dot{\sigma}(\ell)}(\kappa_\ell(0),\dot{\sigma}(\ell)) - g_{\dot{\sigma}(0)}(\kappa_0(0),\dot{\sigma}(0)) \\
+ \mathbf{T}_{\dot{\sigma}(0)}(U(0)) - \mathbf{T}_{\dot{\sigma}(\ell)}(U(\ell))$$

where  $T = \dot{\sigma}$  and U are the tangential and transversal vector fields, resp; of the variation  $\Sigma$ .

## **Proof:**

#### **Step 1**:

Suppose that the isometry f has no fixed points:

 $f(x) \neq x$  for all  $x \in M$ .

Since the manifold M is compact, the function  $h: M \to \mathbb{R}$ , given by h(x) = d(x, f(x)) attains its minimum at a point  $x \in M$ : h(x) > 0.

The completeness of the manifold M implies that there exists a minimizing normalized geodesic  $\sigma : [0, \ell]$  joining x and f(x). Show that the curves formed by  $\sigma$  and  $f \circ \sigma$  form a geodesic. Then  $df_x(\sigma'(0)) = \sigma'(\ell)$ .

#### **Step 2**:

Find a unit parallel vector field E(t) which is  $g_{\dot{\sigma}(t)}$ -orthogonal complement of  $\dot{\sigma}(t)$ . Then  $df_x(E(0)) = E(\ell)$ .

#### **Step 3**:

Construct a variation  $\Sigma$  of  $\sigma$  given by

$$\Sigma : (-\epsilon, \epsilon) \times [0, \ell] \to M$$
  
$$\Sigma(s, t) = \exp_{\sigma(t)}(sE(t)), \ s \in (-\epsilon, \epsilon), \ t \in [0, \ell].$$

Then

$$U(t) = \frac{\partial}{\partial s} \exp_{\sigma(t)}(sE(t))|_{s=0} = E(t),$$

so the transversal vector of the variation  $\Sigma$  is parallel transported along  $\sigma$ .

#### Step 4:

The second variation formula reduces to:

$$\frac{d^2\ell_{\Sigma}}{ds^2}(0) = -\int_0^\ell g_{\dot{\sigma}}(R(U,\dot{\sigma})\dot{\sigma},U)dt < 0,$$

which contradicts the minimality of the curve  $\sigma$ , which joins x and f(x).

Therefore d(x, f(x)) > 0 is impossible.

#### Chang Wan Kim (2007, J. Math. Kyoto):

*M* is oriented Finsler manifold with *k*-th Ricci curvature  $\geq k$ . *f* is an isometry satisfying  $d(x, f(x) > \pi \sqrt{(k-1)k})$ .

(1) If M is even dimensional, then f reverses the orientation. (2) If M is odd dimensional, then f is orientation preserving. **Killing vector field**  $X \in \mathfrak{X}(M)$  of (M, L): if any local oneparameter transformation group of X consists of local isometries.

zeros of  $X \iff$  fixed points of isometries

#### Chang Wan Kim (2007, J. Math. Kyoto):

M is an even-dimensional compact Finsler manifold of positive flag curvature, then every Killing field has a zero.

#### Theorem [S. Deng, 2007]

(M, L): connected, forward complete

 $V = \{p \in M | X(p) = 0\} = \cup V_i; V_i \text{ are connected components.}$ 

• each  $V_i$  is a totally geodesic closed submanifold of M; codim  $V_i$  is even;

•  $\forall x \in V_i, y \in V_j, i \neq j$  there is a one-parameter family of geodesics connecting x and y;  $\Rightarrow x$  and y are conjugate points.

• M compact; then for the Euler number :

$$\chi(M) = \sum \chi(V_i)$$

Corollary: the flag curvature is non-positive  $\implies V$  is empty or connected.