

## COMPLEX DYNAMICAL SYSTEMS ON BOUNDED SYMMETRIC DOMAINS

VICTOR KHATSKEVICH  
SIMEON REICH  
DAVID SHOIKHET

ABSTRACT. We characterize those holomorphic mappings which are the infinitesimal generators of semi-flows on bounded symmetric domains in complex Banach spaces.

### 1. INTRODUCTION

Let  $D$  be a bounded domain in a complex Banach space  $X$ . By  $\text{Hol}(D, X)$  we denote the set of holomorphic mappings from  $D$  into  $X$ . Let  $\text{Hol}(D)$  be the semigroup (with respect to composition) of all holomorphic self-mappings of  $D$ , and let  $\text{Aut}(D) \subset \text{Hol}(D)$  be the subgroup consisting of all holomorphic automorphisms of  $D$ .

A family  $S = \{F_t\} \subset \text{Hol}(D)$ ,  $t \geq 0$  ( $-\infty < t < \infty$ ), is called a continuous one-parameter semigroup (group) if

$$F_{s+t} = F_s \circ F_t, \quad t \geq 0 \quad (-\infty < t < \infty), \quad (1)$$

and

$$\lim_{\substack{t \rightarrow 0^+ \\ (t \rightarrow 0)}} F_t(x) = x, \quad x \in D. \quad (2)$$

A mapping  $f \in \text{Hol}(D, X)$  is said to be an infinitesimal generator of a semi-flow (complete flow) if there exists a one-parameter semigroup (group)  $S_f = \{F_t\}$  such that for each  $x \in D$ ,

$$f(x) = \lim_{\substack{t \rightarrow 0^+ \\ (t \rightarrow 0)}} \frac{x - F_t(x)}{t}, \quad (3)$$

where once again the limit is taken with respect to the norm of  $X$ . We denote by  $\text{hol}(D)$  the family of all (infinitesimal) holomorphic generators on  $D$ .

Note that if  $f \in \text{hol}(D)$  generates a complete flow  $S_f = \{F_t\}_{t \in \mathbb{R}}$ , then  $F_t \in \text{Aut}(D)$  and  $F_t^{-1} = F_{-t}$  for all  $t \in \mathbb{R}$ . In this case one writes that  $f \in \text{aut}(D)$ .

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1991 *Mathematics Subject Classification*. 34G20, 46G20, 47H20, 58C10.

*Key words and phrases*. Bounded symmetric domain, complex Banach space, holomorphic mapping, infinitesimal generator, semi-complete vector field.

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Submitted August 25, 1997. Published October 31, 1997.

It can be shown (see, for example, [10] and [11]) that since  $f \in \text{hol}(D)$  is locally bounded on  $D$ , the Cauchy problem

$$\begin{cases} \frac{\partial u(t,x)}{\partial t} + f(u(t,x)) = 0 \\ u(0,x) = x, \quad x \in D, \end{cases} \quad (4)$$

can be solved on  $\mathbb{R}^+ = [0, \infty)$  for each  $x \in D$  and  $u(t,x) = F_t(x)$ . Thus (4) defines an analytic dynamical system and  $S_f = \{F_t\}_{t \geq 0}$  is a uniquely defined semi-flow on  $D$ .

Moreover, the convergence in (2) is uniform on each ball strictly inside  $D$ . If, in addition,  $f \in \text{aut}(D)$ , then the Cauchy problem (4) can be solved for all  $t \in \mathbb{R} = (-\infty, \infty)$ .

Note also that if  $g \in \text{Hol}(D, X)$ , then by allowing  $f$  to operate on  $g$  by means of the formula  $(fg)(x) = g'(x) \circ f(x)$  we can interpret  $f$  as a derivation of  $\text{Hol}(D, X)$ , i.e., as a holomorphic vector field. Using this terminology,  $f \in \text{hol}(D)$  will be called a semi-complete vector field, and  $f \in \text{aut}(D)$  a complete vector field (see, for example, [7], [6], [13] and [10]). It is known that  $\text{aut}(D)$  is a real Banach Lie algebra, while  $\text{hol}(D)$  is only a real cone (see [1], [10] and [11]).

Our purpose in this paper is to describe the class of semi-complete vector fields on a bounded symmetric domain. To motivate our approach we briefly review some previous results.

For the one-dimensional case, namely,  $D = \Delta$ , the open unit disk in the complex plane  $\mathbb{C}$ , an implicit condition which characterizes  $\text{hol}(\Delta)$  was obtained by E. Berkson and H. Porta [4].

It was shown by M. Abate [1] that their condition can be rewritten explicitly in the form

$$\text{Re } f(x)\bar{x} \geq -\frac{1}{2}\text{Re } f'(x)(1 - |x|^2). \quad (5)$$

As a matter of fact, this condition is the special case  $n = 1$  of a more general (and more complicated) condition, which is valid for the open Euclidean unit ball in  $\mathbb{C}^n$  (see [1]).

On the other hand, it follows directly from the definition, that if  $f \in \text{hol}(D)$  has a continuous extension to  $\bar{\Delta}$ , then

$$\text{Re } f(x)\bar{x} \geq 0 \quad \text{for all } x \in \partial\Delta. \quad (6)$$

Unfortunately, it is not clear how to derive (6) from (5) in such a situation. At the same time, by rewriting (6) in the form

$$\text{Re } [f(x) - f(0)]\bar{x} \geq -\text{Re } f(0)\bar{x},$$

and dividing the left-hand side by  $|x|^2 = 1$ , we get

$$\text{Re} \left( \frac{f(x) - f(0)}{x} \right) \geq -\text{Re } \overline{f(0)}x, \quad x \in \partial\Delta.$$

Now it follows by the maximum principle for harmonic functions that the last inequality holds also for  $x \in \Delta$ . Multiplying it by  $|x|^2$ ,  $x \in \Delta$ ,  $x \neq 0$ , we obtain

$$\text{Re } f(x)\bar{x} \geq \text{Re } f(0)\bar{x}(1 - |x|^2), \quad x \in \Delta. \quad (7)$$

We claim that even if  $f \in \text{Hol}(\Delta, \mathbb{C})$  does not extend continuously to  $\bar{\Delta}$ , condition (7) is necessary and sufficient for  $f$  to be an infinitesimal generator of a semi-flow.

Indeed, for the case of the open unit ball  $B$  in a Hilbert space  $H$ , it was shown in [11], by using its hyperbolic metric, that the condition

$$\operatorname{Re} \langle f(x), x \rangle \geq \operatorname{Re} \langle f(0), x \rangle (1 - \|x\|^2), \quad x \in B, \quad (8)$$

where  $\langle \cdot, \cdot \rangle$  is the inner product in  $H$ , characterizes the class  $\operatorname{hol}(B)$ .

Note that a crucial point of the approach in [11] was the smoothness of the boundary of  $B$ . It is clear that such a property is no longer valid for the finite product  $B^n$  equipped with the max norm, and all the more so for the open unit ball in  $\mathcal{L}(H, H)$ , the space of bounded linear operators from  $H$  into  $H$ .

Another technical way to extend (8) to  $B^n$ , by using a special curve defined by a family of Möbius transformations, was employed in [12].

Therefore a natural idea which arises is that this be done for each Banach space  $X$  the open unit ball  $D$  of which is a homogeneous domain (i.e., for each pair  $x, y \in D$  there is  $F \in \operatorname{Aut}(D)$  such that  $F(x) = y$ ).

Indeed, since every such ball is a bounded symmetric domain (see the definition below), one can propose using the more general and well-developed theory of such domains to derive an analog of condition (8) which will characterize  $\operatorname{hol}(D)$ .

It will become clear that such an approach does not require difficult calculations, and moreover, it establishes new facts concerning the description of semi-complete vector fields.

A domain  $D$  is called symmetric if for all  $a \in D$  there exists  $F_a \in \operatorname{Aut}(D)$  such that  $F_a^2 = I_D$  and  $a$  is an isolated fixed point of  $F_a$ .

For the case when  $D$  is a bounded symmetric domain, the class  $\operatorname{aut}(D)$  of all complete vector fields on  $D$  has been well-described with the help of an algebraic approach (see, for example, [7], [13], [3] and [6]). Namely, it is known that  $\operatorname{aut}(D)$  is a real Banach Lie algebra and each  $f \in \operatorname{aut}(D)$  is a polynomial of degree at most 2. Moreover, if

$$p = \{f \in \operatorname{aut}(D) : f'(0) = 0\} \quad (9)$$

and

$$k = \{f \in \operatorname{aut}(D) : f(0) = 0\}, \quad (10)$$

then  $\operatorname{aut}(D)$  is the direct sum decomposition

$$\operatorname{aut}(D) = p \oplus k,$$

and each element of  $X$  can be realized as the constant term of a unique element of  $p$ , i.e., for each  $y \in X$  there is a unique two-homogeneous polynomial  $P_y$  such that the mapping  $g_y \in \operatorname{Hol}(X, X)$  defined by the formula

$$g_y(x) = y + P_y(x) \quad (11)$$

belongs to  $p \subset \operatorname{aut}(D)$ .

Furthermore, by Kaup's theorem [8], every bounded symmetric domain  $D$  can be realized as the open unit ball of a  $JB^*$ -triple system, and moreover, it is a homogeneous domain, i.e., for each pair  $x, y \in D$  there is  $F \in \operatorname{Aut}(D)$  such that  $F(x) = y$ .

Note also that an automorphism which moves the origin to  $y \in D$  can be generated by  $g \in p \subset \operatorname{aut}(D)$ , i.e.,  $g$  has the form (11) (see, for example, [13] and [6]).

So, in the sequel we will always assume that a bounded symmetric domain is realized as a convex balanced domain. At the same time, in this case the gauge

of  $D$  (the Minkowski functional) can be defined as  $c_D(0, \cdot)$ , where  $c_D(\cdot, \cdot)$  is the infinitesimal Carathéodory metric on  $D$ , and  $D$  is the indicatrix of this gauge, i.e.,

$$D = \{x \in X : c_D(0, x) < 1\}.$$

Thus, since  $D$  is bounded,  $c_D(0, \cdot)$  is a norm which is equivalent to the norm of  $X$ , and  $D$  can be considered the open unit ball of  $X$  when it is equipped with this norm. So, our problem may be formulated as follows.

Let  $X$  be a complex Banach space such that the open unit ball  $D$  of  $X$  is a homogeneous domain. What are the geometric conditions which characterize semi-complete vector fields on  $D$ ?

Let  $X'$  be the dual space of  $X$ . As usual, we use the pairing  $\langle x, x' \rangle$  to denote the action of a linear functional  $x' \in X'$  on an element  $x \in X$ . In particular, for  $X = H$ , a Hilbert space,  $\langle \cdot, \cdot \rangle$  means the inner product in  $H$ . Recall also that the normalized duality mapping  $J : X \rightarrow 2^{X'}$  is defined by

$$J(x) = \{x' \in X' : \langle x, x' \rangle = \|x\|^2 = \|x'\|^2\}.$$

## 2. MAIN RESULT

**Theorem 1.** *Let  $X$  be a complex Banach space such that the open unit ball  $D$  of  $X$  is a homogeneous domain. Then the following assertions hold:*

1. *If  $f \in \text{hol}(D)$ , then for each  $x \in D$  and for each  $x' \in J(x)$ ,*

$$\text{Re}\langle f(x), x' \rangle \geq \text{Re}\langle f(0), x' \rangle(1 - \|x\|^2). \quad (12)$$

2. *If  $f \in \text{Hol}(D, X)$  is bounded on each subset strictly inside  $D$  and for each  $x \in D$  there exists  $x' \in J(x)$  such that (12) holds, then  $f \in \text{hol}(D)$ .*
3. *If  $f \in \text{hol}(D)$  and  $S_f = \{F_t\}_{t \geq 0}$  is the semi-flow generated by  $f$ , then  $F_t \in \text{Hol}(D)$  satisfies the following estimate:*

$$\|F_t(x)\| \leq \frac{\|x\| + 1 - e^{-2\|f(0)\|t}(1 - \|x\|)}{\|x\| + 1 + e^{-2\|f(0)\|t}(1 - \|x\|)}. \quad (13)$$

To prove our theorem we need several preliminary assertions.

**Proposition 1.** [10], [11]. *Let  $D$  be a bounded convex domain in  $X$ . Then  $f \in \text{Hol}(D, X)$  is semi-complete (i.e., belongs to  $\text{hol}(D)$ ) if and only if for each  $\lambda > 0$  the nonlinear resolvent  $R(\lambda, f) = (I + \lambda f)^{-1}$  is a well-defined holomorphic self-mapping of  $D$ .*

*In addition, if  $S_f = \{F_t\}_{t \geq 0}$  is the semi-flow generated by  $f$ , then it can be given by the exponential formula*

$$F_t = \lim_{n \rightarrow \infty} R^n\left(\frac{1}{n}t, f\right), \quad t \geq 0, \quad (14)$$

*where the limit in (14) is taken with respect to the norm of  $X$  uniformly on each subset strictly inside  $D$ .*

**Proposition 2.** [10], [11]. *Let  $D$  be as in Proposition 1. Then  $\text{hol}(D)$  is a real cone, i.e., for each pair  $f$  and  $g$  from  $\text{hol}(D)$  and all  $\alpha, \beta > 0$ , the mapping  $\alpha f + \beta g$  also belongs to  $\text{hol}(D)$ .*

Since  $\text{aut}(D) = \text{hol}(D) \cap (-\text{hol}(D))$  is a linear space, Proposition 2 immediately implies the following assertion.

**Proposition 3.** *Let  $D$  be a bounded balanced convex symmetric domain in  $X$ . Then each element  $f \in \text{hol}(D)$  can be represented as*

$$f = h + g, \quad (15)$$

where  $h \in \text{hol}(D)$  with  $h(0) = 0$  and  $g = g_y \in p \subset \text{aut}(D)$  is defined by (11) with  $y = f(0)$ . This representation is unique.

**Proposition 4.** *Let  $f \in \text{hol}(D)$  be as above, and let  $g_{f(0)} \in p \subset \text{aut}(D)$  be defined by (11). Then for each  $x \in D$  and for each  $x' \in J(x)$  the following inequality holds:*

$$\text{Re} \langle f(x), x' \rangle \geq \text{Re} \langle g_{f(0)}(x), x' \rangle. \quad (16)$$

*Proof.* Indeed, it follows by (15) that  $h = f - g_{f(0)}$  belongs to  $\text{hol}(D)$  and

$$h(0) = 0. \quad (17)$$

Let  $S_h = \{\mathcal{H}_t\}_{t \geq 0} \subset \text{Hol}(D)$  be the semi-flow generated by  $h$ , i.e., for each  $x \in D$ ,

$$\lim_{t \rightarrow 0^+} \frac{x - \mathcal{H}_t(x)}{t} = h(x).$$

It follows by the uniqueness of the solution to the Cauchy problem (4) and by (17) that the origin is a common fixed point of  $S_h = \{\mathcal{H}_t\}_{t \geq 0}$  for all  $t \geq 0$ . Since  $\|\mathcal{H}_t(x)\| \leq 1$ , it follows by the Schwarz Lemma that  $\|\mathcal{H}_t(x)\| \leq \|x\|$  for all  $x \in D$ . Now using (17), we get

$$\text{Re} \langle h(x), x' \rangle \geq 0 \quad (18)$$

for all  $x' \in J(x)$ . By the definition of  $h$ , (18) is exactly (16), and we are done.

Now it is very easy to prove the necessity of (12) for  $f$  to be a semi-complete vector field. In fact, for each  $u \in \partial D$  and each  $g \in \text{aut}(D)$  we have

$$\text{Re} \langle g(u), u' \rangle = 0 \quad (19)$$

whenever  $u' \in J(u)$  (note that  $g$  is holomorphically extensible to  $\partial D$ ). In particular, this holds for  $g_y = y + P_y(x) \in p$  where  $P_y$  is a homogeneous polynomial of degree 2. Therefore, if for  $x \in D, x \neq 0$ , we set  $u = \frac{1}{\|x\|}x$ , we obtain

$$\begin{aligned} \text{Re} \langle g_y(x), x' \rangle &= \text{Re} \langle y + P_y(x), x' \rangle = \text{Re} \langle y, x' \rangle + \text{Re} \langle P_y(x), x' \rangle \\ &= \text{Re} \langle y, x' \rangle + \|x\|^3 \text{Re} \langle P_y(u), u' \rangle \\ &= \text{Re} \langle y, x' \rangle + \|x\|^3 (\text{Re} \langle P_y(u), u' \rangle + \langle y, u' \rangle) \\ &\quad - \|x\|^3 \text{Re} \langle y, u' \rangle \\ &= \text{Re} \langle y, x' \rangle - \|x\|^2 \text{Re} \langle y, \|x\|u' \rangle \\ &= \text{Re} \langle y, x' \rangle (1 - \|x\|^2). \end{aligned}$$

Using this equality with  $y = f(0)$  and (16) we obtain (12). Assertion 1 of our theorem is proved. To prove assertions 2 and 3 we first establish a somewhat more general proposition.

**Proposition 5.** *Let  $X$  be an arbitrary complex Banach space, and let  $D$  be the open unit ball in  $X$ . Suppose that  $f \in \text{Hol}(D, X)$  is bounded on each subset strictly inside  $D$  and satisfies the following condition: For each  $x \in D$  and some  $x' \in J(x)$ ,*

$$\text{Re} \langle f(x), x' \rangle \geq \alpha(\|x\|) \cdot \|x\|, \quad (20)$$

where  $\alpha : [0, 1] \rightarrow \mathbb{R}$  is an increasing continuous function on  $[0, 1]$  such that

$$\alpha(0) \cdot \alpha(1) \leq 0. \quad (21)$$

Then

1.  $f$  is a semi-complete vector field on  $D$ .
2. If  $S_f = \{F_t\}$  is the semi-flow generated by  $f$ , then for all  $t \geq 0$  and  $x \in D$ ,

$$\|F_t(x)\| \leq \beta_t(\|x\|), \quad (22)$$

where  $\beta_t$  is the solution of the Cauchy problem

$$\begin{cases} \frac{d\beta_t(s)}{dt} + \alpha(\beta_t(s)) = 0, \\ \beta_0(s) = s, \quad s \in [0, 1]. \end{cases} \quad (23)$$

*Proof.* Fix  $r \in (0, 1)$  and consider the equations

$$x + \lambda f(x) = z \quad (24)$$

$$s + \lambda \alpha(s) = \|z\|, \quad (25)$$

where  $z \in \bar{D}_r = \{x \in X : \|x\| \leq r < 1\}$ ,  $s \in [0, 1]$ , and  $\lambda > 0$ . It follows from (21) that for a fixed  $z \in \bar{D}_r$ , the function  $\gamma(s) = s + \lambda \alpha(s) - \|z\|$  satisfies the conditions  $\gamma(0) \leq 0$ ,  $\gamma(1) > 0$ . Hence equation (25) has a unique solution  $s_0 = s_0(z) \in [0, 1]$ . So, for an arbitrary  $\delta > 0$  we can find  $\epsilon > 0$  such that  $\gamma(s_0 + \delta) \geq \epsilon$ . Now taking  $x \in D$  such that  $\|x\| = s = s_0 + \delta$ , we have by (20) for such  $x$  and any  $x' \in J(x)$ ,

$$\begin{aligned} \operatorname{Re} \langle x + \lambda f(x) - z, x' \rangle &= \operatorname{Re} (\langle x, x' \rangle + \lambda \langle f(x), x' \rangle - \langle z, x' \rangle) \\ &\geq s^2 + \lambda \alpha(s) \cdot s - \|z\| \cdot s \\ &= s\gamma(s) \geq s \cdot \epsilon. \end{aligned}$$

It follows by the same considerations as in Theorem 3 in [2] that equation (24) has a unique solution  $x = x(z)$  such that  $\|x(z)\| \leq s_0 + \delta$ . Since  $\delta > 0$  is arbitrary, we must have

$$\|x(z)\| \leq s_0.$$

In terms of nonlinear resolvents the last inequality can be rewritten as

$$\begin{aligned} \|R(\lambda, f)(z)\| &= \|(I_X + \lambda f)^{-1}(z)\| \leq R(\lambda, \alpha)(\|z\|) \\ &= (I_{\mathbb{R}} + \lambda \alpha)^{-1}(\|z\|). \end{aligned}$$

Now using Proposition 1 and the exponential formula (14) we deduce our assertion.

To prove our theorem we need only observe that the function

$$\alpha(s) = -\|f(0)\|(1 - s^2) \quad (26)$$

satisfies all the conditions of Proposition 5, and that the solution  $\beta_t(s)$  of the Cauchy problem (23) with  $\alpha$  defined by (26) has the same form as the right-hand side of (13). The theorem is proved.

**Remark 1.** If  $X$  is a  $J^*$ -algebra, then condition (16) can be rewritten in the form

$$\operatorname{Re} \langle f(x), x' \rangle \geq \operatorname{Re} \langle f(0) - x[f(0)]^* x, x' \rangle, \quad (27)$$

which also characterizes those mappings  $f \in \operatorname{Hol}(D, X)$  which are semi-complete vector fields on the open unit ball of  $X$ .

For example, consider the case of the algebra  $X = \mathcal{L}_c(H_1, H_2)$  of all linear compact operators  $\mathcal{A} : H_1 \rightarrow H_2$  ( $\mathcal{A}$  is defined on the whole of  $H_1$  and maps it compactly into  $H_2$ ), when  $H_1$  and  $H_2$  are Hilbert spaces.

Let  $\mathcal{D}$  be the open unit operator ball of  $\mathcal{L}_c(H_1, H_2)$ , that is,  $\mathcal{D} = \{\mathcal{A} \in \mathcal{L}_c(H_1, H_2) : \|\mathcal{A}\| < 1\}$ . Suppose that the mapping  $f$  belongs to  $\operatorname{Hol}(\mathcal{D}, X)$ . It is easy to see that for any  $\mathcal{A} \in \mathcal{L}_c(H_1, H_2)$  there exists  $x_{\mathcal{A}} \in H_1$  such that  $\|\mathcal{A}\| = \|\mathcal{A}x_{\mathcal{A}}\|$  and

$\|x_{\mathcal{A}}\| = 1$ . Indeed,  $\|\mathcal{A}\| = \sup_{\substack{\|x\|=1 \\ x \in H_1}} \|\mathcal{A}x\|$ , so there exists  $\{x_n\}_{n=1}^\infty$  such that  $\|x_n\| = 1$

and  $\|\mathcal{A}x_n\| \rightarrow \|\mathcal{A}\|$ , as  $n \rightarrow \infty$ . Since  $H_1$  is a Hilbert space, there exists a subsequence  $\{x_{n_k}\}_{k=1}^\infty$  of the sequence  $\{x_n\}_{n=1}^\infty$  which converges weakly to some  $x_{\mathcal{A}} \in H_1$ . Since  $\mathcal{A}$  is compact,  $\mathcal{A}x_{n_k} \rightarrow \mathcal{A}x_{\mathcal{A}}$  as  $k \rightarrow \infty$ . Hence  $\|\mathcal{A}x_{\mathcal{A}}\| = \|\mathcal{A}\|$  and  $\|x_{\mathcal{A}}\| = 1$ .

For any  $\mathcal{A} \in \mathcal{L}_c(H_1, H_2)$  we construct the support functional  $g_{\mathcal{A}} \in (\mathcal{L}_c(H_1, H_2))^*$  in the following way:

$$g_{\mathcal{A}}(T) := (Tx_{\mathcal{A}}, \|\mathcal{A}\|^{-1}\mathcal{A}x_{\mathcal{A}}), \quad T \in \mathcal{L}_c(H_1, H_2).$$

$\langle (x, y) \rangle$  is the scalar product in  $H_2$ ).

We have  $|g_{\mathcal{A}}(T)| \leq \|Tx_{\mathcal{A}}\| \|x_{\mathcal{A}}\| \leq \|T\|$ ,  $g_{\mathcal{A}}(\mathcal{A}) = \|\mathcal{A}\|$ , hence  $\|g_{\mathcal{A}}\| = 1$ . Thus  $g_{\mathcal{A}}$  belongs to  $J(\mathcal{A})$ .

The following condition is a natural analog of (7) for this algebra:

$$\operatorname{Re} \mathcal{A}^* f(\mathcal{A}) \geq \operatorname{Re} \mathcal{A}^* f(0)(\mathcal{I} - |\mathcal{A}|^2) \tag{28}$$

(here  $|\mathcal{A}|^2 = \mathcal{A}^* \mathcal{A}$ ).

We claim that this simple condition implies (27). Indeed, (28) is equivalent to

$$\begin{aligned} \operatorname{Re} (\mathcal{A}^* f(\mathcal{A})x, x) &\geq \operatorname{Re} (\mathcal{A}^* f(0)(\mathcal{I} - |\mathcal{A}|^2)x, x) \\ &= \operatorname{Re} ((\mathcal{A}^* f(0)x, x) - \mathcal{A}^* f(0)\mathcal{A}^* \mathcal{A}x, x)) \\ &= \operatorname{Re} ((\mathcal{A}^* f(0)x, x) - (\mathcal{A}^* \mathcal{A}[f(0)]^* \mathcal{A}x, x)). \end{aligned}$$

Hence for  $x = x_{\mathcal{A}}$  we obtain:

$$\operatorname{Re} (f(\mathcal{A})x_{\mathcal{A}}, \mathcal{A}x_{\mathcal{A}}) \geq \operatorname{Re} ((f(0)x_{\mathcal{A}}, \mathcal{A}x_{\mathcal{A}}) - (\mathcal{A}[f(0)]^* \mathcal{A}x_{\mathcal{A}}, \mathcal{A}x_{\mathcal{A}})),$$

or, setting  $\mathcal{A}'$  to be  $g_{\mathcal{A}}$ ,

$$\operatorname{Re} \langle f(\mathcal{A}), \mathcal{A}' \rangle \geq \operatorname{Re} \langle f(0) - \mathcal{A}[f(0)]^* \mathcal{A}, \mathcal{A}' \rangle,$$

which is precisely (27).

Note that in the particular case when  $\min(\dim H_1, \dim H_2) < \infty$ ,  $\mathcal{L}_c(H_1, H_2) = \mathcal{L}(H_1, H_2)$ , the space of all bounded linear operators  $\mathcal{A} : H_1 \rightarrow H_2$ . So in this case all of the above is also true for the open unit ball  $\mathcal{D}$  of  $\mathcal{L}(H_1, H_2)$ .

**Remark 2.** *If  $f \in \operatorname{hol}(\mathcal{D})$ , then it follows from the representation (15) (see Proposition 3) that the linear operator  $A = f'(0)$  is accretive.*

Indeed, if  $h = f - g_{f(0)}$ , then  $h'(0) = f'(0) = A$ . But  $h(0) = 0$  and the origin is a common fixed point of the semi-flow  $S_h = \{\mathcal{H}_t\}_{t \geq 0}$ . Using the Cauchy inequalities, it is easy to check that the family  $\{B_t = (\mathcal{H}_t)'(0)\}_{t \geq 0}$  is a semigroup of linear contractions generated by  $A$ . Therefore  $A$  is accretive by the Lumer-Phillips Theorem.

Thus, if in the  $J^*$ -algebra  $X$  we consider the Riccati flow equation

$$\begin{cases} \dot{x}_t = a + bx_t - x_t a^* x_t, \\ x_0 = x \in D, \end{cases}$$

then this equation has a solution on  $D \times \mathbb{R}^+$  if and only if the element  $b \in X$  defines an accretive linear operator by  $x \mapsto bx$ .

**Remark 3.** *As a matter of fact, if under the conditions of our Theorem, the operator  $B = iA$ , where  $A = f'(0)$ , is Hermitian, i.e.,  $\operatorname{Re} \langle Ax, x' \rangle = 0$  for all  $x \in X$  and  $x' \in J(x)$ , then  $f \in \operatorname{hol}(\mathcal{D})$  actually belongs to  $\operatorname{aut}(\mathcal{D})$ .*

Indeed, it is enough to prove that  $h$  in the representation (15) has the form

$$h(x) = f'(0)x. \quad (29)$$

To see this, let us represent  $h(x)$  by the Taylor formula

$$h(x) = h'(0)x + k(x),$$

where  $k(x)$  contains the terms of order greater or equal to 2. Then, by (18), we have

$$\operatorname{Re} \langle h(x), x' \rangle = \operatorname{Re} \langle h'(0)x, x' \rangle + \operatorname{Re} \langle k(x), x' \rangle \geq 0.$$

Since  $h'(0) = f'(0)$  we see that

$$\operatorname{Re} \langle k(x), x' \rangle \geq 0.$$

Since  $k(0) = 0$ , we get by the theorem that  $k \in \operatorname{hol}(D)$ . But  $k'(0) = 0$  and it follows by the infinitesimal version of the Cartan Uniqueness Theorem (see [10]) that  $k = 0$  and we are done.

Following S. G. Krein [9] (see also E. Vesentini [14]), a linear operator  $A : X \rightarrow X$  such that  $\operatorname{Re} \langle Ax, x' \rangle = 0$  for all  $x \in X$  and  $x' \in J(x)$  is called a conservative operator. So we have the following result.

**Corollary 1.** *Let  $f \in \operatorname{hol}(D)$ . Then  $f$  is a complete vector field ( $f \in \operatorname{aut}(D)$ ) if and only if the operator  $f'(0)$  is conservative.*

The following proposition is a direct consequence of assertion 3 of the Theorem. It is motivated by Proposition 7 in [5].

**Corollary 2.** *Let  $S = \{F_t\}_{t \geq 0}$  be a one-parameter semigroup of holomorphic self-mappings of  $D$  such that  $F_t$  converges to  $I$ , as  $t \rightarrow 0^+$ , locally uniformly on  $D$ . Then for each  $\rho \in (0, 1)$ ,  $M \in \mathbb{R}^+$  and  $\alpha \in \mathbb{R}^+$ , there exists a positive number  $A = A(\rho, M, \alpha) < 1$  such that*

$$\sup\{\|F_t(x)\| : \|\xi\| \leq M, \|x\| \leq \rho, 0 \leq t \leq \alpha\} \leq A,$$

where  $\xi = \frac{d^+ F_t(0)}{dt}$ .

**Acknowledgments.** We gratefully acknowledge valuable conversations with Professors Jonathan Arazy and Wilhelm Kaup. The second author was partially supported by the Fund for the Promotion of Research at the Technion and by the Technion VPR Fund - M. and M. L. Bank Mathematics Research Fund. All the authors thank the referee for several useful comments.

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VICTOR KHATSKEVICH

DEPARTMENT OF APPLIED MATHEMATICS, INTERNATIONAL COLLEGE OF TECHNOLOGY, P.O. BOX 78, 20101 KARMIEL, ISRAEL

SIMEON REICH

DEPARTMENT OF MATHEMATICS, TECHNION - ISRAEL INSTITUTE OF TECHNOLOGY, 32000 HAIFA, ISRAEL

*E-mail address:* `sreichtx.technion.ac.il`

DAVID SHOIKHET

DEPARTMENT OF APPLIED MATHEMATICS, INTERNATIONAL COLLEGE OF TECHNOLOGY, P.O. BOX 78, 20101 KARMIEL, ISRAEL

*E-mail address:* `davstx.technion.ac.il`